

## [MS-WSRVCRM-Diff]:

# WS-ReliableMessaging Protocol: Advanced Flow Control Extension

---

### Intellectual Property Rights Notice for Open Specifications Documentation

- **Technical Documentation.** Microsoft publishes Open Specifications documentation (“this documentation”) for protocols, file formats, data portability, computer languages, and standards support. Additionally, overview documents cover inter-protocol relationships and interactions.
- **Copyrights.** This documentation is covered by Microsoft copyrights. Regardless of any other terms that are contained in the terms of use for the Microsoft website that hosts this documentation, you can make copies of it in order to develop implementations of the technologies that are described in this documentation and can distribute portions of it in your implementations that use these technologies or in your documentation as necessary to properly document the implementation. You can also distribute in your implementation, with or without modification, any schemas, IDLs, or code samples that are included in the documentation. This permission also applies to any documents that are referenced in the Open Specifications documentation.
- **No Trade Secrets.** Microsoft does not claim any trade secret rights in this documentation.
- **Patents.** Microsoft has patents that might cover your implementations of the technologies described in the Open Specifications documentation. Neither this notice nor Microsoft's delivery of this documentation grants any licenses under those patents or any other Microsoft patents. However, a given Open Specifications document might be covered by the Microsoft [Open Specifications Promise](#) or the [Microsoft Community Promise](#). If you would prefer a written license, or if the technologies described in this documentation are not covered by the Open Specifications Promise or Community Promise, as applicable, patent licenses are available by contacting [iplg@microsoft.com](mailto:iplg@microsoft.com).
- **License Programs.** To see all of the protocols in scope under a specific license program and the associated patents, visit the [Patent Map](#).
- **Trademarks.** The names of companies and products contained in this documentation might be covered by trademarks or similar intellectual property rights. This notice does not grant any licenses under those rights. For a list of Microsoft trademarks, visit [www.microsoft.com/trademarks](http://www.microsoft.com/trademarks).
- **Fictitious Names.** The example companies, organizations, products, domain names, email addresses, logos, people, places, and events that are depicted in this documentation are fictitious. No association with any real company, organization, product, domain name, email address, logo, person, place, or event is intended or should be inferred.

**Reservation of Rights.** All other rights are reserved, and this notice does not grant any rights other than as specifically described above, whether by implication, estoppel, or otherwise.

**Tools.** The Open Specifications documentation does not require the use of Microsoft programming tools or programming environments in order for you to develop an implementation. If you have access to Microsoft programming tools and environments, you are free to take advantage of them. Certain Open Specifications documents are intended for use in conjunction with publicly available standards specifications and network programming art and, as such, assume that the reader either is familiar with the aforementioned material or has immediate access to it.

**Support.** For questions and support, please contact [dochelp@microsoft.com](mailto:dochelp@microsoft.com).

## Revision Summary

Date	Revision History	Revision Class	Comments
4/8/2008	0.1	New	Version 0.1 release
6/20/2008	0.2	Minor	Clarified the meaning of the technical content.
7/25/2008	1.0	Major	Updated and revised the technical content.
8/29/2008	1.0.1	Editorial	Changed language and formatting in the technical content.
10/24/2008	1.0.2	Editorial	Changed language and formatting in the technical content.
12/5/2008	2.0	Major	Updated and revised the technical content.
1/16/2009	2.0.1	Editorial	Changed language and formatting in the technical content.
2/27/2009	2.0.2	Editorial	Changed language and formatting in the technical content.
4/10/2009	2.0.3	Editorial	Changed language and formatting in the technical content.
5/22/2009	2.0.4	Editorial	Changed language and formatting in the technical content.
7/2/2009	2.0.5	Editorial	Changed language and formatting in the technical content.
8/14/2009	2.0.6	Editorial	Changed language and formatting in the technical content.
9/25/2009	2.0.7	Editorial	Changed language and formatting in the technical content.
11/6/2009	2.0.8	Editorial	Changed language and formatting in the technical content.
12/18/2009	2.1	Minor	Clarified the meaning of the technical content.
1/29/2010	2.1.1	Editorial	Changed language and formatting in the technical content.
3/12/2010	3.0	Major	Updated and revised the technical content.
4/23/2010	3.0.1	Editorial	Changed language and formatting in the technical content.
6/4/2010	3.0.2	Editorial	Changed language and formatting in the technical content.
7/16/2010	4.0	Major	Updated and revised the technical content.
8/27/2010	4.0	None	No changes to the meaning, language, or formatting of the technical content.
10/8/2010	4.0	None	No changes to the meaning, language, or formatting of the technical content.
11/19/2010	4.0	None	No changes to the meaning, language, or formatting of the technical content.
1/7/2011	4.0	None	No changes to the meaning, language, or formatting of the technical content.
2/11/2011	4.0	None	No changes to the meaning, language, or formatting of the technical content.
3/25/2011	4.0	None	No changes to the meaning, language, or formatting of the technical content.
5/6/2011	4.0	None	No changes to the meaning, language, or formatting of the

Date	Revision History	Revision Class	Comments
			technical content.
6/17/2011	4.1	Minor	Clarified the meaning of the technical content.
9/23/2011	4.1	None	No changes to the meaning, language, or formatting of the technical content.
12/16/2011	5.0	Major	Updated and revised the technical content.
3/30/2012	5.0	None	No changes to the meaning, language, or formatting of the technical content.
7/12/2012	5.0	None	No changes to the meaning, language, or formatting of the technical content.
10/25/2012	5.0	None	No changes to the meaning, language, or formatting of the technical content.
1/31/2013	5.0	None	No changes to the meaning, language, or formatting of the technical content.
8/8/2013	5.0	None	No changes to the meaning, language, or formatting of the technical content.
11/14/2013	5.0	None	No changes to the meaning, language, or formatting of the technical content.
2/13/2014	5.0	None	No changes to the meaning, language, or formatting of the technical content.
5/15/2014	5.0	None	No changes to the meaning, language, or formatting of the technical content.
6/30/2015	6.0	Major	Significantly changed the technical content.
10/16/2015	6.0	None	No changes to the meaning, language, or formatting of the technical content.
7/14/2016	6.0	None	No changes to the meaning, language, or formatting of the technical content.
3/16/2017	7.0	Major	Significantly changed the technical content.
6/1/2017	7.0	None	No changes to the meaning, language, or formatting of the technical content.
3/13/2019	8.0	Major	Significantly changed the technical content.

# Table of Contents

<b>1</b>	<b>Introduction</b>	<b>6</b>
1.1	Glossary	6
1.2	References	6
1.2.1	Normative References	7
1.2.2	(Updated Section) Informative References	7
1.3	Overview	7
1.4	Relationship to Other Protocols	8
1.5	Prerequisites/Preconditions	8
1.6	Applicability Statement	8
1.7	Versioning and Capability Negotiation	8
1.8	Vendor-Extensible Fields	9
1.9	Standards Assignments	9
<b>2</b>	<b>Messages</b>	<b>10</b>
2.1	Transport	10
2.2	Message Syntax	10
2.2.1	SequenceAcknowledgement Header Block	10
2.2.2	AckRequested Header Block	10
2.2.3	BufferRemaining Element Syntax	10
<b>3</b>	<b>Protocol Details</b>	<b>11</b>
3.1	RMD Role Details	11
3.1.1	Abstract Data Model	11
3.1.1.1	FLOW_CONTROL_STATE	11
3.1.2	Timers	12
3.1.3	Initialization	12
3.1.4	Higher-Layer Triggered Events	12
3.1.5	Message Processing Events and Sequencing Rules	12
3.1.6	Timer Events	12
3.1.7	Other Local Events	12
3.1.7.1	GET_BUFFER_REMAINING	12
3.1.7.2	MESSAGE_PROCESSED	12
3.1.7.3	MESSAGE_RECEIVED	13
3.1.7.4	SET_BUFFER_REMAINING	13
3.2	RMS Role Details	13
3.2.1	Abstract Data Model	13
3.2.1.1	NOT_POLLING	14
3.2.1.2	POLLING	14
3.2.2	Timers	14
3.2.2.1	POLLING_TIMER	14
3.2.3	Initialization	14
3.2.4	Higher-Layer Triggered Events	15
3.2.5	Message Processing Events and Sequencing Rules	15
3.2.6	Timer Events	15
3.2.6.1	POLLING_TIMER_EXPIRED	15
3.2.7	Other Local Events	15
3.2.7.1	SEQ_ACK_RECEIVED	15
3.2.7.2	SEQ_TERMINATED	16
<b>4</b>	<b>Protocol Examples</b>	<b>17</b>
4.1	Message Examples	17
4.1.1	Message 1: Sequence(MessageNumber = 1)	17
4.1.2	Message 2: SequenceAcknowledgement(BufferRemaining = 1)	18
4.1.3	Message 3: Sequence(MessageNumber = 2)	19
4.1.4	Message 4: SequenceAcknowledgement(BufferRemaining = 0)	20

4.1.5	Message 5: SequenceAcknowledgement(BufferRemaining = 1) .....	21
4.1.6	Message 6: Sequence(MessageNumber = 3) .....	22
4.1.7	Message 7: SequenceAcknowledgement(BufferRemaining = 0) .....	23
<b>5</b>	<b>Security .....</b>	<b>25</b>
5.1	Security Considerations for Implementers .....	25
5.2	Index of Security Parameters .....	25
<b>6</b>	<b>(Updated Section) Appendix A: Product Behavior.....</b>	<b>26</b>
<b>7</b>	<b>Change Tracking.....</b>	<b>27</b>
<b>8</b>	<b>Index.....</b>	<b>28</b>

# 1 Introduction

This document specifies an advanced message flow control extension to the Web Services Reliable Messaging Protocol [WSRM1-0], [WSRM1-1], and [WSRM1-2].

Sections 1.5, 1.8, 1.9, 2, and 3 of this specification are normative. All other sections and examples in this specification are informative.

## 1.1 Glossary

This document uses the following terms:

**advanced flow-control extension (AFCE):** An extension to the Web Services Reliable Messaging Protocol [WSRM1-0], [WSRM1-1], and [WSRM1-2] that attempts to minimize the number of dropped messages by synchronizing the rate at which the reliable messaging source (RMS) sends messages with the rate at which the reliable messaging destination (RMD) can receive them.

**advanced flow-control object (AFCO):** The abstract construct used to demonstrate an implementation of the advanced flow-control extension (AFCE) on the reliable messaging destination (RMD).

**Application Destination (AD):** The endpoint to which a message is delivered. For fuller information, see [WSRM1-0], [WSRM1-1], and [WSRM1-2].

**reliable messaging destination (RMD):** An endpoint that receives a message. For more information, see [WSRM1-0], [WSRM1-1], and [WSRM1-2].

**reliable messaging source (RMS):** An endpoint that sends a message. For more information, see [WSRM1-0], [WSRM1-1], and [WSRM1-2].

**sequence:** A one-way, uniquely identifiable batch of messages between an RMS and an RMD.

**SOAP:** A lightweight protocol for exchanging structured information in a decentralized, distributed environment. SOAP uses XML technologies to define an extensible messaging framework, which provides a message construct that can be exchanged over a variety of underlying protocols. The framework has been designed to be independent of any particular programming model and other implementation-specific semantics. SOAP 1.2 supersedes SOAP 1.1. See [SOAP1.2-1/2003].

**Web Services Reliable Messaging (WSRM) Protocol:** A protocol that defines mechanisms that enable web services to ensure delivery of messages over unreliable communication networks. The WSRM Protocol allows different operating and middleware systems to reliably exchange these messages.

**MAY, SHOULD, MUST, SHOULD NOT, MUST NOT:** These terms (in all caps) are used as defined in [RFC2119]. All statements of optional behavior use either MAY, SHOULD, or SHOULD NOT.

## 1.2 References

Links to a document in the Microsoft Open Specifications library point to the correct section in the most recently published version of the referenced document. However, because individual documents in the library are not updated at the same time, the section numbers in the documents may not match. You can confirm the correct section numbering by checking the Errata.

### 1.2.1 Normative References

We conduct frequent surveys of the normative references to assure their continued availability. If you have any issue with finding a normative reference, please contact dochelp@microsoft.com. We will assist you in finding the relevant information.

[RFC2119] Bradner, S., "Key words for use in RFCs to Indicate Requirement Levels", BCP 14, RFC 2119, March 1997, <http://www.rfc-editor.org/rfc/rfc2119.txt>

[WSRM1-0] Bilorusets, R., "Web Services Reliable Messaging Protocol (WS-ReliableMessaging)", February 2005, <http://specs.xmlsoap.org/ws/2005/02/rm/>

[WSRM1-1] Fremantle, P., Patil, S., Davis, D., et al., "Web Services Reliable Messaging (WS-ReliableMessaging) Version 1.1", January 2008, <http://docs.oasis-open.org/ws-rx/wsrn/200702/wsrn-1.1-spec-os-01-e1.html>

[WSRM1-2] Fremantle, P., Patil, S., Davis, D., et al., "Web Services Reliable Messaging (WS-ReliableMessaging) Version 1.2", February 2009, <http://docs.oasis-open.org/ws-rx/wsrn/200702/wsrn-1.2-spec-os.html>

### 1.2.2 (Updated Section) Informative References

None.

[MS-NETOD] Microsoft Corporation, "Microsoft .NET Framework Protocols Overview".

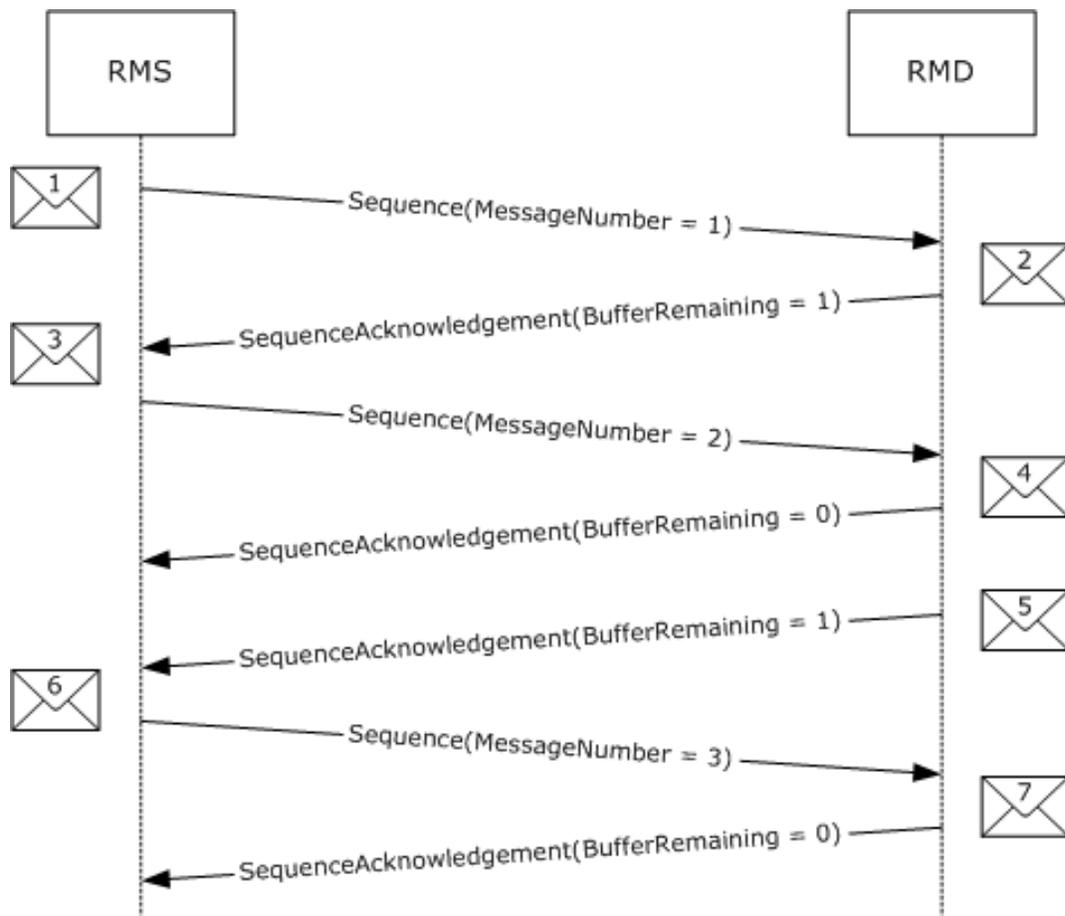
## 1.3 Overview

The advanced flow-control extension (AFCE) to web services reliable messaging protocol (WSRM) attempts to minimize the number of dropped messages by synchronizing the rate at which the reliable messaging source (RMS) sends messages with the rate at which the reliable messaging destination (RMD) can receive them. This minimization is achieved via the introduction of the BufferRemaining element in the WSRM protocol's SequenceAcknowledgement header block. This element is used to inform the RMS of the number of messages that the RMD is capable of receiving before messages start being dropped.

The RMS uses the BufferRemaining element's value to adjust the rate at which messages are sent. The RMS will not send new messages if the BufferRemaining element's value in a SequenceAcknowledgement header block is 0.

While the BufferRemaining element value is reported as 0, the RMS will periodically request for acknowledgements from the RMD until one is received containing a BufferRemaining element value greater than 0.

The example in the following figure shows an already-established reliable sequence between an RMS and an RMD. The RMS sends 2 messages (message 1 and 3), after which it is informed via the SequenceAcknowledgement header block (message 4) that the RMD can no longer receive any new messages (BufferRemaining is 0). Sometime later, the RMD informs the RMS that it can once again receive messages by changing the BufferRemaining value to 1 in a SequenceAcknowledgement header block (message 5). The RMS then proceeds to send the third message (message 6).



**Figure 1: Example message flow diagram between an RMS and an RMD with AFCE to WSRM**

#### 1.4 Relationship to Other Protocols

The advanced flow-control extension (AFCE) to web services reliable messaging protocol (WSRM) relies on the WSRM protocol, to which it is an extension.

#### 1.5 Prerequisites/Preconditions

The following prerequisites are necessary for using the AFCE to WSRM:

- An implementation of WSRM is available.
- A reliable sequence has been established.

#### 1.6 Applicability Statement

The AFCE to WSRM is applicable under all conditions where the WSRM protocol is applicable.

#### 1.7 Versioning and Capability Negotiation

There is a single version of the AFCE to WSRM protocol.

## **1.8 Vendor-Extensible Fields**

None.

## **1.9 Standards Assignments**

None.

## 2 Messages

### 2.1 Transport

The advanced flow-control extension (AFCE) to web services reliable messaging protocol (WSRM) does not impose any restrictions on the use of any specific Simple Object Access Protocol (SOAP) transport protocol.

### 2.2 Message Syntax

#### 2.2.1 SequenceAcknowledgement Header Block

The SequenceAcknowledgement header block is the SequenceAcknowledgement header block specified in WSRM with the following extension:

- The extensibility element of the SequenceAcknowledgement header block, as specified by the WSRM specifications [WSRM1-0], [WSRM1-1], and [WSRM1-2] MUST contain a BufferRemaining element.

#### 2.2.2 AckRequested Header Block

The AckRequested header block is the AckRequested header block specified in WSRM.

#### 2.2.3 BufferRemaining Element Syntax

The following is the element's schema.

```
<xs:schema
  targetNamespace="http://schemas.microsoft.com/ws/2006/05/rm"
  xmlns:xs="http://www.w3.org/2001/XMLSchema"
>
  <xs:element name="BufferRemaining">
    <xs:simpleType>
      <xs:restriction base="xs:int">
        <xs:minInclusive value="0"/>
        <xs:maxInclusive value="2147483647"/>
      </xs:restriction>
    </xs:simpleType>
  </xs:element>
</xs:schema>
```

## 3 Protocol Details

### 3.1 RMD Role Details

#### 3.1.1 Abstract Data Model

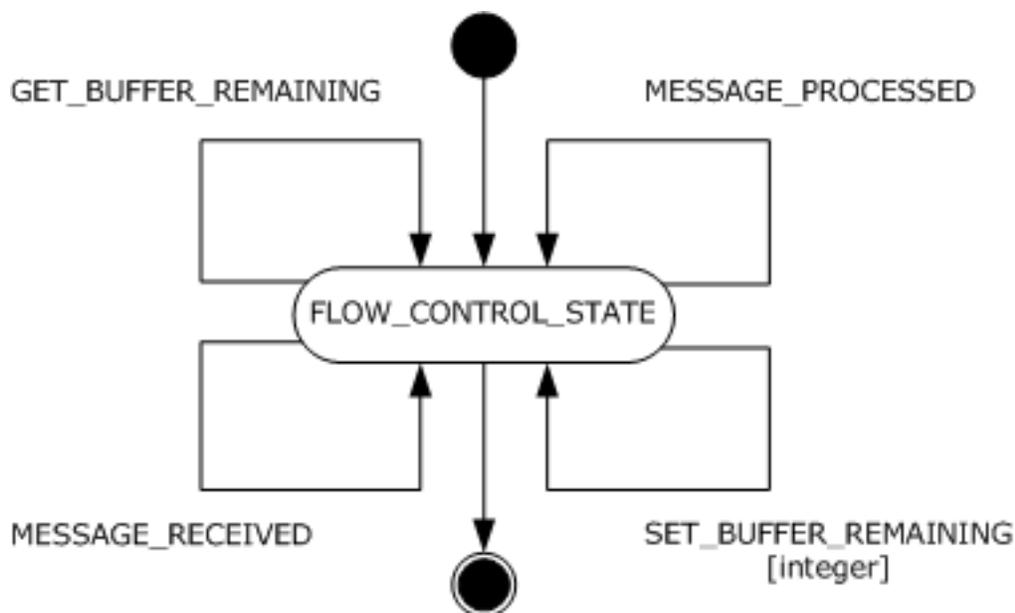
This section describes a conceptual model of possible data organization that an implementation maintains to participate in this protocol. The described organization is provided to facilitate the explanation of how the protocol behaves. This document does not mandate that implementations adhere to this model as long as their external behavior is consistent with that described in this document.

An abstract construct referred to as the advanced flow-control object (AFCO) is used in this section to describe the advanced flow-control extension (AFCE) to web services reliable messaging protocol (WSRM) on the reliable messaging destination (RMD).

The AFCO maintains the following data elements:

- **Buffer Remaining:** A 32-bit integer value that indicates the number of messages the RMD can to receive. The value of Buffer Remaining is non-negative.

The following figure shows a hypothetical implementation of the AFCO and the events that control its state on a hypothetical implementation-specific RMD.



**Figure 2: State diagram of the AFCO on the RMD**

##### 3.1.1.1 FLOW\_CONTROL\_STATE

The AFCO has a single state called FLOW\_CONTROL\_STATE.

The following local events are processed by this state:

- GET\_BUFFER\_REMAINING
- MESSAGE\_RECEIVED

- MESSAGE\_PROCESSED
- SET\_BUFFER\_REMAINING

### 3.1.2 Timers

None.

### 3.1.3 Initialization

When the RMD is initialized:

- The AFCO MUST start in the FLOW\_CONTROL\_STATE state.
- The **Buffer Remaining** field MUST be set to a value obtained from the RMD. [<1>](#)
- The **Buffer Remaining** field's maximum value MUST be specified by the RMD. [<2>](#)

### 3.1.4 Higher-Layer Triggered Events

None.

### 3.1.5 Message Processing Events and Sequencing Rules

None.

### 3.1.6 Timer Events

None.

### 3.1.7 Other Local Events

None.

#### 3.1.7.1 GET\_BUFFER\_REMAINING

The reliable messaging destination (RMD) MUST trigger the GET\_BUFFER\_REMAINING event when adding a SequenceAcknowledgement header block to a message.

If the GET\_BUFFER\_REMAINING event is signaled, the following actions MUST be performed:

- The GET\_BUFFER\_REMAINING event MUST return the value of the advanced flow-control object's (AFCO) **Buffer Remaining** field.
- The RMD MUST use the return value to set the value of the BufferRemaining element in the SequenceAcknowledgement header block.

#### 3.1.7.2 MESSAGE\_PROCESSED

The MESSAGE\_PROCESSED event SHOULD be triggered by the RMD when a message is processed by the application destination (AD).

If the MESSAGE\_PROCESSED event is signaled, the following actions MUST be performed:

- If the AFCO **Buffer Remaining** value is less than the maximum allowed by the RMD:

- The AFCO's **Buffer Remaining** value SHOULD be incremented by 1 by having the RMD trigger the SET\_BUFFER\_REMAINING event (see section 3.1.7.4).
- Otherwise:
  - The AFCO SHOULD NOT change its **Buffer Remaining** value.

### 3.1.7.3 MESSAGE\_RECEIVED

The RMD SHOULD trigger the MESSAGE\_RECEIVED event when a message is received.

If the MESSAGE\_RECEIVED event is signaled, the following actions MUST be performed:

- If the AFCO's **Buffer Remaining** has a value greater than 0:
  - The AFCO's **Buffer Remaining** value SHOULD be decremented by 1 by having the RMD trigger the SET\_BUFFER\_REMAINING event (see section 3.1.7.4).
- Otherwise:
  - The AFCO SHOULD NOT change its **Buffer Remaining** value.

### 3.1.7.4 SET\_BUFFER\_REMAINING

The RMD MAY trigger the SET\_BUFFER\_REMAINING event to control higher-layer implementation-specific flow control.

The SET\_BUFFER\_REMAINING event MUST be signaled by the higher-layer business logic containing the following arguments:

- The New **Buffer Remaining** argument

If the SET\_BUFFER\_REMAINING event is signaled, the AFCO MUST perform the following actions:

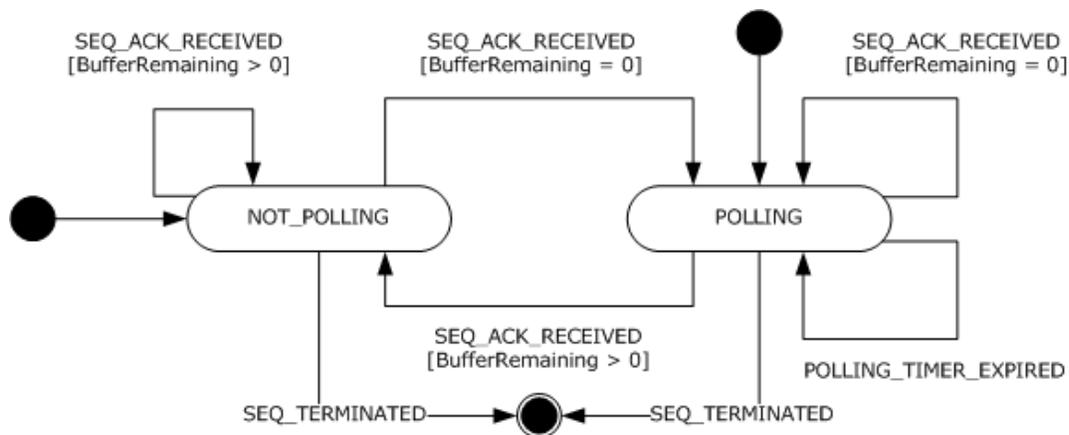
- The AFCO MUST set the value of its **Buffer Remaining** field to the New **Buffer Remaining** value.

## 3.2 RMS Role Details

### 3.2.1 Abstract Data Model

This section describes a conceptual model of possible data organization that an implementation maintains to participate in this protocol. The described organization is provided to facilitate the explanation of how the protocol behaves. This document does not mandate that implementations adhere to this model as long as their external behavior is consistent with that described in this document.

The following figure shows the advanced flow-control extension (AFCE) to web services reliable messaging protocol (WSRM) state diagram for a hypothetical reliable messaging source (RMS) and the events that control its state.



**Figure 3: State diagram of the AFCE to WSRM on the RMS**

### 3.2.1.1 NOT\_POLLING

The following local events are processed by this state:

- SEQ\_ACK\_RECEIVED
- SEQ\_TERMINATED

### 3.2.1.2 POLLING

The following local events are processed by this state:

- SEQ\_ACK\_RECEIVED
- SEQ\_TERMINATED

The following timer events are processed by this state:

- POLLING\_TIMER\_EXPIRED

If the reliable messaging source (RMS) is in the POLLING state, the following actions MUST be taken:

- New messages SHOULD NOT be sent to the reliable messaging destination (RMD).

## 3.2.2 Timers

### 3.2.2.1 POLLING\_TIMER

The RMS MUST have a POLLING\_TIMER. The POLLING\_TIMER specifies the interval used by the RMS to poll the RMD for the SequenceAcknowledgement header block.

The POLLING\_TIMER raises the POLLING\_TIMER\_EXPIRED event whenever it expires.

## 3.2.3 Initialization

When the reliable messaging source (RMS) is initialized:

- The RMS MUST be in the NOT\_POLLING state.

- The expiration timeout of the POLLING\_TIMER MUST be set to an RMS implementation-specific value. [<3>](#)
- The POLLING\_TIMER MUST NOT be started.

### 3.2.4 Higher-Layer Triggered Events

There are no RMS specific higher-layer triggered events.

### 3.2.5 Message Processing Events and Sequencing Rules

There are no RMS specific message processing events or sequencing rules.

### 3.2.6 Timer Events

#### 3.2.6.1 POLLING\_TIMER\_EXPIRED

The POLLING\_TIMER\_EXPIRED event MUST be triggered by the RMS every time the POLLING\_TIMER expires. The POLLING\_TIMER\_EXPIRED event is processed by the POLLING state.

If the POLLING\_TIMER\_EXPIRED event is signaled, the RMS MUST perform the following actions:

1. Include an AckRequested header block in a message to the RMD.
2. Reset the POLLING\_TIMER timer.
3. Restart the POLLING\_TIMER timer.

### 3.2.7 Other Local Events

#### 3.2.7.1 SEQ\_ACK\_RECEIVED

The SEQ\_ACK\_RECEIVED event MUST be triggered by the reliable messaging source (RMS) when a SequenceAcknowledgement header block is received.

The SEQ\_ACK\_RECEIVED event MUST be signaled with the following arguments:

- The BufferRemaining argument corresponding to the value of the BufferRemaining element in the SequenceAcknowledgement header block.
- If the BufferRemaining element is missing from the SequenceAcknowledgement header block, the BufferRemaining argument MUST be set to -1.

If the SEQ\_ACK\_RECEIVED event is signaled, the RMS MUST perform the following actions:

- If the RMS is in the POLLING state:
  - If the BufferRemaining value is greater than 0:
    1. The RMS MUST move to the NOT\_POLLING state.
    2. The RMS MUST cancel the POLLING\_TIMER timer.
  - If the BufferRemaining value is equal to 0:
    1. The RMS MUST remain in the POLLING state.
  - If the BufferRemaining value is equal to -1:

1. The RMS MUST remain in the POLLING state.
- If the RMS is in the NOT\_POLLING state:
    - If the BufferRemaining value is greater than 0:
      1. The RMS MUST remain in the NOT\_POLLING state.
    - If the BufferRemaining value is equal to 0:
      1. The RMS MUST move to the POLLING state.
      2. The RMS MUST reset the POLLING\_TIMER timer.
      3. The RMS MUST start the POLLING\_TIMER timer.
    - If the BufferRemaining value is equal to -1:
      1. The RMS MUST remain in the NOT\_POLLING state.

### **3.2.7.2 SEQ\_TERMINATED**

The SEQ\_TERMINATED event MUST be triggered by the RMS when the sequence is terminated (as specified in web services reliable messaging protocol (WSRM)).

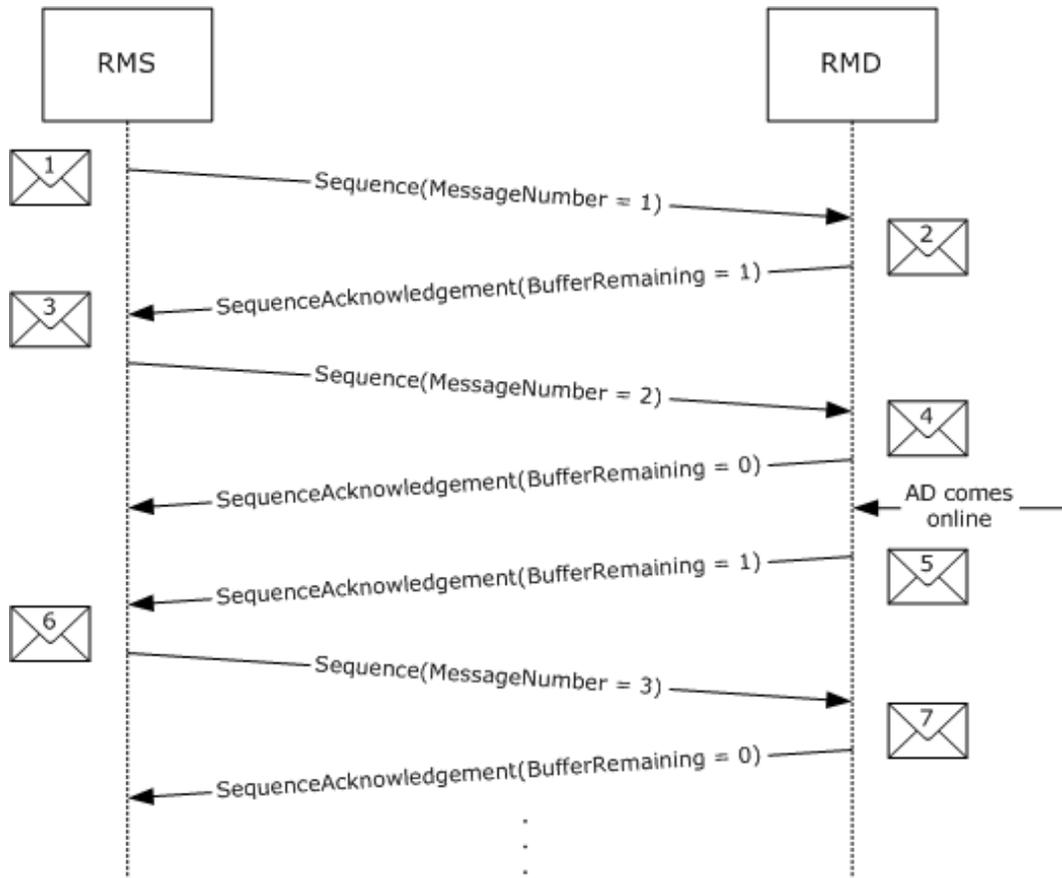
If the SEQ\_TERMINATED event is signaled, the RMS MUST perform the following actions:

- If the RMS is in the POLLING state:
  - The RMS MUST stop the POLLING\_TIMER timer.
- If the RMS is in the NOT\_POLLING state:
  - No action is needed.

## 4 Protocol Examples

The following is an example of a reliable messaging source (RMS) sending 3 messages to a reliable messaging destination (RMD). The RMD is capable of storing a maximum of 2 messages at a time. Once stored, the messages are passed to the application destination (AD) for processing. In this example, the AD is offline when the RMD starts receiving messages. The RMD uses SequenceAcknowledgement header blocks to acknowledge every message received. The BufferRemaining element is included in all SequenceAcknowledgement header blocks and the RMS adjusts the rate at which it sends new messages accordingly.

The following figure shows the diagram of the message flow between the RMS and the RMD.



**Figure 4: Example message flow diagram between an RMS and an RMD with AFCE to WSRM**

### 4.1 Message Examples

The following are the actual messages, as shown in Figure 4, sent between the RMS and the RMD. The body of each message is not shown as it is not relevant to the advanced flow-control extension (AFCE) to the web services reliable messaging protocol (WSRM). The purpose of each message is not included in this example. See the WSRM specification [WSRM1-0], [WSRM1-1], and [WSRM1-2] for details on each message type.

#### 4.1.1 Message 1: Sequence(MessageNumber = 1)

Message 1 in Figure 4 is the first message in the Sequence sent by the RMS.

Line numbers 1-19 in Table 1 are the SOAP envelope of message 1. Line 11 shows this to be the first message in the Sequence.

**Table 1**

1	<s:Envelope
2	xmlns:s="http://www.w3.org/2003/05/soap-envelope"
3	xmlns:r="http://schemas.xmlsoap.org/ws/2005/02/rm"
4	xmlns:a="http://www.w3.org/2005/08/addressing"
5	>
6	<s:Header>
7	<r:Sequence s:mustUnderstand="1">
8	<r:Identifier>
9	urn:uuid:0b162747-99cf-479c-972f-95b776e141c3
10	</r:Identifier>
11	<r:MessageNumber>1</r:MessageNumber>
12	</r:Sequence>
13	<a:Action s:mustUnderstand="1">
14	http://tempuri.org/IAFCEExampleContract/Operation1
15	</a:Action>
16	<a:To s:mustUnderstand="1">http://localhost/AFCEExample</a:To>
17	</s:Header>
18	<s:Body>...</s:Body>
19	</s:Envelope>

#### 4.1.2 Message 2: SequenceAcknowledgement(BufferRemaining = 1)

Message 2 in Figure 4 contains the SequenceAcknowledgement header block sent by the RMD in response to message 1.

Line numbers 1-24 in Table 2 are the SOAP envelope of message 2. Line 11 shows that the RMD has received the first message in the Sequence. Lines 13-17 show the BufferRemaining element with a value of 1. This means the RMD is capable of receiving one more message.

**Table 2**

1	<s:Envelope
2	xmlns:s="http://www.w3.org/2003/05/soap-envelope"
3	xmlns:r="http://schemas.xmlsoap.org/ws/2005/02/rm"
4	xmlns:a="http://www.w3.org/2005/08/addressing"
5	>
6	<s:Header>
7	<r:SequenceAcknowledgement>
8	<r:Identifier>
9	urn:uuid:0b162747-99cf-479c-972f-95b776e141c3
10	</r:Identifier>
11	<r:AcknowledgementRange Lower="1" Upper="1">
12	</r:AcknowledgementRange>
13	<netrm:BufferRemaining
14	xmlns:netrm=http://schemas.microsoft.com/ws/2006/05/rm
15	>
16	1
17	</netrm:BufferRemaining>
18	</r:SequenceAcknowledgement>
19	<a:Action s:mustUnderstand="1">
20	http://schemas.xmlsoap.org/ws/2005/02/rm/SequenceAcknowledgement
21	</a:Action>
22	</s:Header>
23	<s:Body></s:Body>
24	</s:Envelope>

#### 4.1.3 Message 3: Sequence(MessageNumber = 2)

Message 3 in Figure 4 is the second message in the Sequence sent by the RMS.

Line numbers 1-19 in Table 3 are the SOAP envelope of message 3. Line 11 shows this to be the second message in the Sequence.

**Table 3**

1	<s:Envelope
2	xmlns:s="http://www.w3.org/2003/05/soap-envelope"
3	xmlns:r="http://schemas.xmlsoap.org/ws/2005/02/rm"
4	xmlns:a="http://www.w3.org/2005/08/addressing"
5	>
6	<s:Header>
7	<r:Sequence s:mustUnderstand="1">
8	<r:Identifier>
9	urn:uuid:0b162747-99cf-479c-972f-95b776e141c3
10	</r:Identifier>
11	<r:MessageNumber>2</r:MessageNumber>
12	</r:Sequence>
13	<a:Action s:mustUnderstand="1">
14	http://tempuri.org/IAFCEExampleContract/Operation1
15	</a:Action>
16	<a:To s:mustUnderstand="1">http://localhost/AFCEExample</a:To>
17	</s:Header>
18	<s:Body>...</s:Body>
19	</s:Envelope>

#### 4.1.4 Message 4: SequenceAcknowledgement(BufferRemaining = 0)

Message 4 in Figure 4 contains the SequenceAcknowledgement header block sent by the RMD in response to message 2.

Line numbers 1-24 in Table 4 are the SOAP envelope of message 4. Line 11 shows that the RMD has received the first and second messages in the Sequence. Lines 13-17 show the BufferRemaining element with a value of 0. This means the RMD is not capable of receiving more messages until the AD comes online and starts processing the ones already received.

**Table 4**

1	<s:Envelope
2	xmlns:s="http://www.w3.org/2003/05/soap-envelope"
3	xmlns:r="http://schemas.xmlsoap.org/ws/2005/02/rm"
4	xmlns:a="http://www.w3.org/2005/08/addressing"
5	>
6	<s:Header>
7	<r:SequenceAcknowledgement>
8	<r:Identifier>
9	urn:uuid:0b162747-99cf-479c-972f-95b776e141c3
10	</r:Identifier>
11	<r:AcknowledgementRange Lower="1" Upper="2">
12	</r:AcknowledgementRange>
13	<netrm:BufferRemaining
14	xmlns:netrm=http://schemas.microsoft.com/ws/2006/05/rm
15	>
16	0
17	</netrm:BufferRemaining>
18	</r:SequenceAcknowledgement>
19	<a:Action s:mustUnderstand="1">
20	http://schemas.xmlsoap.org/ws/2005/02/rm/SequenceAcknowledgement
21	</a:Action>
22	</s:Header>
23	<s:Body></s:Body>
24	</s:Envelope>

#### 4.1.5 Message 5: SequenceAcknowledgement(BufferRemaining = 1)

Message 5 in Figure 4 contains the SequenceAcknowledgement header block sent by the RMD in response to the AD coming online and processing message 1. The RMD removed message 1 from its store once it was processed, allowing the RMD to receive a new message in message 1's stead.

Line numbers 1-24 in Table 5 are the SOAP envelope of message 5. Line 11 shows that the RMD has received the first and second messages in the Sequence, which has not changed since message 4. Lines 13-17 show the BufferRemaining element with a value of 1. This means the RMD is now once again capable of receiving a message.

**Table 5**

1	<s:Envelope
2	xmlns:s="http://www.w3.org/2003/05/soap-envelope"
3	xmlns:r="http://schemas.xmlsoap.org/ws/2005/02/rm"
4	xmlns:a="http://www.w3.org/2005/08/addressing"
5	>
6	<s:Header>
7	<r:SequenceAcknowledgement>
8	<r:Identifier>
9	urn:uuid:0b162747-99cf-479c-972f-95b776e141c3
10	</r:Identifier>
11	<r:AcknowledgementRange Lower="1" Upper="2">
12	</r:AcknowledgementRange>
13	<netrm:BufferRemaining
14	xmlns:netrm=http://schemas.microsoft.com/ws/2006/05/rm
15	>
16	1
17	</netrm:BufferRemaining>
18	</r:SequenceAcknowledgement>
19	<a:Action s:mustUnderstand="1">
20	http://schemas.xmlsoap.org/ws/2005/02/rm/SequenceAcknowledgement
21	</a:Action>
22	</s:Header>
23	<s:Body></s:Body>
24	</s:Envelope>

#### 4.1.6 Message 6: Sequence(MessageNumber = 3)

Message 6 in Figure 4 is the third message in the Sequence sent by the RMS in response to processing the BufferRemaining element in the SequenceAcknowledgement header block of message 5. The BufferRemaining element, with a value of 1, informed the RMS of the RMD's capability of receiving a new message.

Line numbers 1-19 in Table 6 are the SOAP envelope of message 6. Line 11 shows this to be the third message in the Sequence.

**Table 6**

1	<s:Envelope
2	xmlns:s="http://www.w3.org/2003/05/soap-envelope"
3	xmlns:r="http://schemas.xmlsoap.org/ws/2005/02/rm"
4	xmlns:a="http://www.w3.org/2005/08/addressing"
5	>
6	<s:Header>
7	<r:Sequence s:mustUnderstand="1">
8	<r:Identifier>
9	urn:uuid:0b162747-99cf-479c-972f-95b776e141c3
10	</r:Identifier>
11	<r:MessageNumber>3</r:MessageNumber>
12	</r:Sequence>
13	<a:Action s:mustUnderstand="1">
14	http://tempuri.org/IAFCEExampleContract/Operation1
15	</a:Action>
16	<a:To s:mustUnderstand="1">http://localhost/AFCEExample</a:To>
17	</s:Header>
18	<s:Body>...</s:Body>
19	</s:Envelope>

#### 4.1.7 Message 7: SequenceAcknowledgement(BufferRemaining = 0)

Message 7 in Figure 4 contains the SequenceAcknowledgement header block sent by the RMD in response to message 6.

Line numbers 1-24 in Table 7 are the SOAP envelope of message 7. Line 11 shows that the RMD has received the first, second, and third messages in the Sequence. Lines 13-17 show the BufferRemaining element with a value of 0. This 0 value means that the RMD is once again incapable of receiving more messages.

**Table 7**

```
1 <s:Envelope
2   xmlns:s="http://www.w3.org/2003/05/soap-envelope"
3   xmlns:r="http://schemas.xmlsoap.org/ws/2005/02/rm"
4   xmlns:a="http://www.w3.org/2005/08/addressing"
5 >
6   <s:Header>
7     <r:SequenceAcknowledgement>
8       <r:Identifier>
9         urn:uuid:0b162747-99cf-479c-972f-95b776e141c3
10      </r:Identifier>
11     <r:AcknowledgementRange Lower="1" Upper="3">
12     </r:AcknowledgementRange>
13     <netrm:BufferRemaining
14     xmlns:netrm=http://schemas.microsoft.com/ws/2006/05/rm
15     >
16     0
17     </netrm:BufferRemaining>
18   </r:SequenceAcknowledgement>
19   <a:Action s:mustUnderstand="1">
20     http://schemas.xmlsoap.org/ws/2005/02/rm/SequenceAcknowledgement
21   </a:Action>
22 </s:Header>
23 <s:Body></s:Body>
24 </s:Envelope>
```

## 5 Security

### 5.1 Security Considerations for Implementers

The BufferRemaining element is secured with the entire SequenceAcknowledgement header block containing it.

For information about securing a reliable session, including the SequenceAcknowledgement header block, see section 5 of [WSRM1-0], [WSRM1-1], and [WSRM1-2].

### 5.2 Index of Security Parameters

None.

## 6 (Updated Section) Appendix A: Product Behavior

The information in this specification is applicable to the following Microsoft products or supplemental software. References to product versions include ~~released service packs~~ updates to those products.

This document specifies version-specific details in the Microsoft .NET Framework. For information about which versions of .NET Framework are available in each released Windows product or as supplemental software, see [MS-NETOD] section 4.

- Microsoft .NET Framework 3.0
- Microsoft .NET Framework 3.5
- Microsoft .NET Framework 4.0
- Microsoft .NET Framework 4.5
- Microsoft .NET Framework 4.6
- Microsoft .NET Framework 4.7

### ▪ Microsoft .NET Framework 4.8

Exceptions, if any, are noted below in this section. If a an update version, service pack or Quick-Fix Engineering (QFE) Knowledge Base (KB) number appears with the product version name, the behavior changed in that service pack or QFE update. The new behavior also applies to subsequent service packs of the product updates unless otherwise specified. If a product edition appears with the product version, behavior is different in that product edition.

Unless otherwise specified, any statement of optional behavior in this specification that is prescribed using the terms "SHOULD" or "SHOULD NOT" implies product behavior in accordance with the SHOULD or SHOULD NOT prescription. Unless otherwise specified, the term "MAY" implies that the product does not follow the prescription.

<1> [Section 3.1.3](#): The Microsoft .NET Framework implementation sets this value to 8.

<2> [Section 3.1.3](#): The Microsoft .NET Framework implementation sets this value to 4096.

<3> [Section 3.2.3](#): The Microsoft .NET Framework implementation sets this value to 30 seconds.

## 7 Change Tracking

This section identifies changes that were made to this document since the last release. Changes are classified as Major, Minor, or None.

The revision class **Major** means that the technical content in the document was significantly revised. Major changes affect protocol interoperability or implementation. Examples of major changes are:

- A document revision that incorporates changes to interoperability requirements.
- A document revision that captures changes to protocol functionality.

The revision class **Minor** means that the meaning of the technical content was clarified. Minor changes do not affect protocol interoperability or implementation. Examples of minor changes are updates to clarify ambiguity at the sentence, paragraph, or table level.

The revision class **None** means that no new technical changes were introduced. Minor editorial and formatting changes may have been made, but the relevant technical content is identical to the last released version.

The changes made to this document are listed in the following table. For more information, please contact [dochelp@microsoft.com](mailto:dochelp@microsoft.com).

Section	Description	Revision class
6 Appendix A: Product Behavior	Added .NET 4.8 to the list of applicable products.	Major

## 8 Index

### A

Abstract data model  
RMD  
    FLOW\_CONTROL\_STATE 11  
    overview 11  
RMS  
    NOT\_POLLING 14  
    overview 13  
    POLLING 14  
AckRequested header block 10  
AckRequested Header Block message 10  
Applicability 8

### B

BufferRemaining element syntax 10  
BufferRemaining Element Syntax message 10

### C

Capability negotiation 8  
Change tracking 27

### D

Data model - abstract  
RMD  
    FLOW\_CONTROL\_STATE 11  
    overview 11  
RMS  
    NOT\_POLLING 14  
    overview 13  
    POLLING 14

### E

Element syntax - BufferRemaining 10  
Examples  
message  
    1: Sequence(MessageNumber = 1) 17  
    2: SequenceAcknowledgement(BufferRemaining = 1) 18  
    3: Sequence(MessageNumber = 2) 19  
    4: SequenceAcknowledgement(BufferRemaining = 0) 20  
    5: SequenceAcknowledgement(BufferRemaining = 1) 21  
    6: Sequence(MessageNumber = 3) 22  
    7: SequenceAcknowledgement(BufferRemaining = 0) 23  
    overview 17  
overview 17

### F

Fields - vendor-extensible 9

### G

Glossary 6

### H

Header block

- AckRequested 10
- SequenceAcknowledgement 10
- Higher-layer triggered events
  - RMD 12
  - RMS 15

## **I**

- Implementer - security considerations 25
- Index of security parameters 25
- Informative references 7
- Initialization
  - RMD 12
  - RMS 14
- Introduction 6

## **L**

- Local events
  - RMD
    - GET\_BUFFER\_REMAINING 12
    - MESSAGE\_PROCESSED 12
    - MESSAGE\_RECEIVED 13
    - SET\_BUFFER\_REMAINING 13
  - RMS
    - SEQ\_ACK\_RECEIVED 15
    - SEQ\_TERMINATED 16

## **M**

- Message
  - 1: Sequence(MessageNumber = 1) example 17
  - 2: SequenceAcknowledgement(BufferRemaining = 1) example 18
  - 3: Sequence(MessageNumber = 2) example 19
  - 4: SequenceAcknowledgement(BufferRemaining = 0) example 20
  - 5: SequenceAcknowledgement(BufferRemaining = 1) example 21
  - 6: Sequence(MessageNumber = 3) example 22
  - 7: SequenceAcknowledgement(BufferRemaining = 0) example 23
  - examples - overview 17
  - processing
    - RMD 12
    - RMS 15
- Messages
  - AckRequested Header Block 10
  - BufferRemaining Element Syntax 10
  - SequenceAcknowledgement Header Block 10
  - transport 10
- Messages - transport 10

## **N**

- Normative references 7

## **O**

- Overview (synopsis) 7

## **P**

- Parameters - security index 25
- Preconditions 8
- Prerequisites 8
- Product behavior 26

## R

### References 6

- informative 7
- normative 7

### Relationship to other protocols 8

#### RMD

- abstract data model
  - FLOW\_CONTROL\_STATE 11
  - overview 11
- higher-layer triggered events 12
- initialization 12
- local events
  - GET\_BUFFER\_REMAINING 12
  - MESSAGE\_PROCESSED 12
  - MESSAGE\_RECEIVED 13
  - SET\_BUFFER\_REMAINING 13
- message processing 12
- sequencing rules 12
- timer events 12
- timers 12

#### RMS

- abstract data model
  - NOT\_POLLING 14
  - overview 13
  - POLLING 14
- higher-layer triggered events 15
- initialization 14
- local events
  - SEQ\_ACK\_RECEIVED 15
  - SEQ\_TERMINATED 16
- message processing 15
- sequencing rules 15
- timer events - POLLING\_TIMER\_EXPIRED 15
- timers - POLLING\_TIMER 14

## S

### Security

- implementer considerations 25
- parameter index 25

### SequenceAcknowledgement header block 10

### SequenceAcknowledgement Header Block message 10

### Sequencing rules

- RMD 12
- RMS 15

### Standards assignments 9

## T

### Timer events

- RMD 12
- RMS - POLLING\_TIMER\_EXPIRED 15

### Timers

- RMD 12
- RMS - POLLING\_TIMER 14

### Tracking changes 27

### Transport 10

### Triggered events - higher-layer

- RMD 12
- RMS 15

## V

### Vendor-extensible fields 9

