# [MS-RSVD]:

# **Remote Shared Virtual Disk Protocol**

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# **Revision Summary**

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#### 1 Introduction

The Remote Shared Virtual Disk (RSVD) Protocol is a block-based protocol that is used to access virtual disks in a shared fashion across a network over Server Message Block (SMB) Protocol version 3 (SMB3).

Sections 1.5, 1.8, 1.9, 2, and 3 of this specification are normative. All other sections and examples in this specification are informative.

#### 1.1 Glossary

This document uses the following terms:

- **continuous data protection snapshot (CDP snapshot)**: A snapshot that tracks virtual disk changes and occasionally stores them in the underlying object store.
- **differencing virtual hard disk (VHD)**: A virtual hard disk that stores changes made to an associated virtual hard disk.
- **globally unique identifier (GUID)**: A term used interchangeably with universally unique identifier (UUID) in Microsoft protocol technical documents (TDs). Interchanging the usage of these terms does not imply or require a specific algorithm or mechanism to generate the value. Specifically, the use of this term does not imply or require that the algorithms described in [RFC4122] or [C706] must be used for generating the **GUID**. See also universally unique identifier (UUID).
- **linked disk**: A virtual disk that is related to another virtual disk as per an implementation-specific server policy.
- **persistent reservation**: A SCSI feature supporting control operations for shared devices ([SPC-3] section 5.6).
- SCSI command descriptor block (CDB): A block of information that describes a SCSI command.
- SCSI request block (SRB): A block of information that describes a SCSI request.
- **sense data**: Data describing command-completed information that a device server delivers to an application client in the same structure as the status or as parameter data in response to an SCSI command.
- **small computer system interface (SCSI)**: A set of standards for physically connecting and transferring data between computers and peripheral devices.
- **Unicode**: A character encoding standard developed by the Unicode Consortium that represents almost all of the written languages of the world. The **Unicode** standard [UNICODE5.0.0/2007] provides three forms (UTF-8, UTF-16, and UTF-32) and seven schemes (UTF-8, UTF-16, UTF-16 BE, UTF-16 LE, UTF-32, UTF-32 LE, and UTF-32 BE).
- virtual hard disk set (VHD set): A type of virtual disk that stores snapshot details.
- MAY, SHOULD, MUST, SHOULD NOT, MUST NOT: These terms (in all caps) are used as defined in [RFC2119]. All statements of optional behavior use either MAY, SHOULD, or SHOULD NOT.

#### 1.2 References

Links to a document in the Microsoft Open Specifications library point to the correct section in the most recently published version of the referenced document. However, because individual documents

in the library are not updated at the same time, the section numbers in the documents may not match. You can confirm the correct section numbering by checking the <u>Errata</u>.

#### 1.2.1 Normative References

We conduct frequent surveys of the normative references to assure their continued availability. If you have any issue with finding a normative reference, please contact <a href="mailto:dochelp@microsoft.com">dochelp@microsoft.com</a>. We will assist you in finding the relevant information.

[MS-ERREF] Microsoft Corporation, "Windows Error Codes".

[MS-FSCC] Microsoft Corporation, "File System Control Codes".

[MS-SMB2] Microsoft Corporation, "Server Message Block (SMB) Protocol Versions 2 and 3".

[MS-SRVS] Microsoft Corporation, "Server Service Remote Protocol".

[RFC2119] Bradner, S., "Key words for use in RFCs to Indicate Requirement Levels", BCP 14, RFC 2119, March 1997, http://www.rfc-editor.org/rfc/rfc2119.txt

[UNICODE] The Unicode Consortium, "The Unicode Consortium Home Page", http://www.unicode.org/

#### 1.2.2 Informative References

[MSKB-3025091] Microsoft Corporation, "A shared Hyper-V virtual disk is inaccessible when it is located in Storage Spaces on a server that is running Windows Server 2012 R2", April 2015, <a href="https://support.microsoft.com/en-us/kb/3025091">https://support.microsoft.com/en-us/kb/3025091</a>

[SPC-3] International Committee on Information Technology Standards, "SCSI Primary Commands - 3 (SPC-3)", Project T10/1416-D, May 2005, <a href="http://www.t10.org/cgi-bin/ac.pl?t=f&f=/spc3r23.pdf">http://www.t10.org/cgi-bin/ac.pl?t=f&f=/spc3r23.pdf</a>

#### 1.3 Overview

The Remote Shared Virtual Disk Protocol enables a client application to access virtual disk files in a shared fashion on a remote server.

The RSVD Protocol supports the following features:

- Allowing a client to open a shared virtual disk on a remote share.
- Reading, writing, or closing shared virtual disk files on the target server.
- Forwarding of raw SCSI commands and receipt of their results.

The Remote Shared Virtual Disk Protocol version 2 additionally enables a client application to create and manage snapshots of shared virtual disk files.

# 1.4 Relationship to Other Protocols

This protocol depends on Server Message Block (SMB) Protocol version 3 (SMB3) for its transport, as specified in <a href="MS-SMB2">[MS-SMB2]</a>.

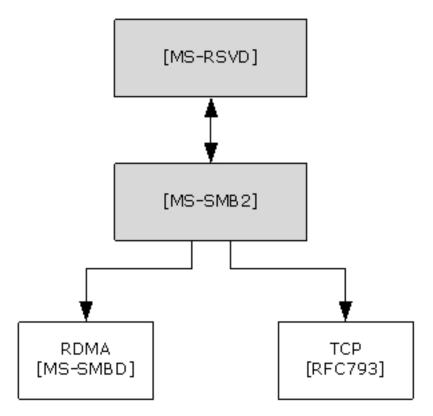


Figure 1: Relationship to other protocols

#### 1.5 Prerequisites/Preconditions

The RSVD Protocol has the following preconditions:

- An SMB client has established a connection to an SMB server. This has to be done before a client can issue Remote Shared Virtual Disk Protocol commands.
- The SMB client and server support accessing shared virtual disk files on a remote server.

#### 1.6 Applicability Statement

The Remote Shared Virtual Disk Protocol is applicable for all scenarios that access a shared virtual disk file between client and server.

#### 1.7 Versioning and Capability Negotiation

The Remote Shared Virtual Disk Protocol defines the following two versions. <1>

Version	Value
RSVD Protocol version 1	0x00000001
RSVD Protocol version 2	0x00000002

# 1.8 Vendor-Extensible Fields

None.

# 1.9 Standards Assignments

This protocol shares the standards assignments of Server Message Block Protocol versions 2 and 3, as specified in [MS-SMB2] section 1.9.

# 2 Messages

### 2.1 Transport

The following sections specify how RSVD Protocol messages are encapsulated on the wire and common protocol data types.

All RSVD Protocol messages begin with a fixed-length RSVD header that is described in section 2.2.4.11. The RSVD tunnel header contains an OperationCode field indicating the operation code that is requested by the RSVD client or responded to by the RSVD server.

Unless otherwise specified, multiple-byte fields (16-bit, 32-bit, and 64-bit fields) in the RSVD Protocol message MUST be transmitted in little-endian order (least-significant byte first).

Unless otherwise indicated, numeric fields are integers of the specified byte length.

Unless otherwise specified, all textual strings MUST be in **Unicode** version 5.0 format, as specified in [UNICODE], using the 16-bit Unicode Transformation Format (UTF-16) form of the encoding. Textual strings with separate fields identifying the length of the string MUST NOT be null-terminated unless otherwise specified.

Unless otherwise noted, fields marked as "Reserved" MUST be set to 0 when being sent and MUST be ignored when received. These fields are reserved for future protocol expansion and MUST NOT be used for implementation-specific functionality.

The RSVD Protocol uses the SMB 3.0.2 or SMB 3.1.1 dialect in SMB Protocol version 3 as its transport. For more information, see [MS-SMB2] section 2.1.

# 2.2 Message Syntax

#### 2.2.1 Constants

Constant name	Meaning
RSVD_CDB_GENERIC_LENGTH 0x10	Generic length of command descriptor block
RSVD_SCSI_SENSE_BUFFER_SIZE 0x14	SENSE buffer size
RSVD_MAXIMUM_NAME_LENGTH 0x7E	Maximum length of the InitiatorHostName field in section 2.2.4.12
FSCTL_SVHDX_SYNC_TUNNEL_REQUEST 0x00090304	Control code for SYNC TUNNEL REQUEST
FSCTL_QUERY_SHARED_VIRTUAL_DISK_SUPPORT 0x00090300	Control code for querying shared virtual disk support
FSCTL_SVHDX_ASYNC_TUNNEL_REQUEST 0x00090364	Control code for ASYNC TUNNEL REQUEST
RSVD_ECP_CONTEXT_VERSION_1 0x00000001	Value of <b>Version</b> field in create context specified in section 2.2.4.12
RSVD_ECP_CONTEXT_VERSION_2 0x00000002	Value of <b>Version</b> field in create context specified in section 2.2.4.32

# 2.2.2 Operation Codes

The following is a list of all control codes used in shared virtual disk operations:

Name	Meaning
RSVD_TUNNEL_GET_INITIAL_INFO_OPERATION 0x02001001	Query shared virtual disk file and server information
RSVD_TUNNEL_SCSI_OPERATION 0x02001002	Perform SCSI operation
RSVD_TUNNEL_CHECK_CONNECTION_STATUS_OPERATION 0x02001003	Query shared virtual disk connection status
RSVD_TUNNEL_SRB_STATUS_OPERATION 0x02001004	Query sense error code of previously failed request
RSVD_TUNNEL_GET_DISK_INFO_OPERATION 0x02001005	Query disk information
RSVD_TUNNEL_VALIDATE_DISK_OPERATION 0x02001006	Perform shared virtual disk validation
RSVD_TUNNEL_META_OPERATION_START 0x02002101	Start a meta-operation
RSVD_TUNNEL_META_OPERATION_QUERY_PROGRESS 0x02002002	Query the progress of an ongoing meta-operation
RSVD_TUNNEL_VHDSET_QUERY_INFORMATION 0x02002005	Query the information about a virtual hard disk set (VHD set)
RSVD_TUNNEL_DELETE_SNAPSHOT 0x02002006	Delete a previously created snapshot
RSVD_TUNNEL_CHANGE_TRACKING_GET_PARAMETERS 0x02002008	Get change-tracking parameters
RSVD_TUNNEL_CHANGE_TRACKING_START 0x02002009	Start change tracking
RSVD_TUNNEL_CHANGE_TRACKING_STOP 0x0200200A	Stop change tracking
RSVD_TUNNEL_QUERY_VIRTUAL_DISK_CHANGES 0x0200200C	Get a list of ranges that have changed in the virtual disk since the indicated snapshot ID.  Minimum protocol version: 2
RSVD_TUNNEL_QUERY_SAFE_SIZE 0x0200200D	Get the smallest safe size that the virtual disk can be resized to without losing user data Minimum protocol version: 2

# 2.2.3 Error Code

The following is a list of possible RSVD error codes that can be returned by the server.

Name	Meaning
STATUS_SVHDX_ERROR_STORED 0xC05C0000	Sense error data was stored on server
STATUS_SVHDX_ERROR_NOT_AVAILABLE 0xC05CFF00	Sense error data is not available
STATUS_SVHDX_UNIT_ATTENTION_AVAILABLE 0xC05CFF01	Unit Attention data is available for the initiator to query
STATUS_SVHDX_UNIT_ATTENTION_CAPACITY_DATA_CHANGED 0xC05CFF02	The data capacity of the device has changed, resulting in a Unit Attention condition
STATUS_SVHDX_UNIT_ATTENTION_RESERVATIONS_PREEMPTED 0xC05CFF03	A previous operation resulted in this initiator's reservations being preempted, resulting in a Unit Attention condition
STATUS_SVHDX_UNIT_ATTENTION_RESERVATIONS_RELEASED 0xC05CFF04	A previous operation resulted in this initiator's reservations being released, resulting in a Unit Attention condition
STATUS_SVHDX_UNIT_ATTENTION_REGISTRATIONS_PREEMPTED 0xC05CFF05	A previous operation resulted in this initiator's registrations being preempted, resulting in a Unit Attention condition
STATUS_SVHDX_UNIT_ATTENTION_OPERATING_DEFINITION_CHANGED 0xC05CFF06	Represents the data storage format of the device has changed, resulting in a Unit Attention condition
STATUS_SVHDX_RESERVATION_CONFLICT 0xC05CFF07	The current initiator is not allowed to perform the SCSI command because of a reservation conflict
STATUS_SVHDX_WRONG_FILE_TYPE 0xC05CFF08	File on which open is performed is of wrong type
STATUS_SVHDX_VERSION_MISMATCH 0xC05CFF09	Protocol version in request is not equal to 0x00000001 or 0x00000002
STATUS_VHD_SHARED 0xC05CFF0A	The virtual disk cannot be opened because it is currently used in shared mode

# 2.2.4 Structures

# 2.2.4.1 SVHDX\_TUNNEL\_CHECK\_CONNECTION\_REQUEST Structure

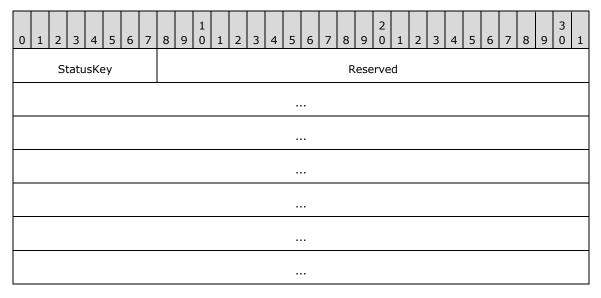
The SVHDX\_TUNNEL\_CHECK\_CONNECTION\_REQUEST packet is sent by the client to check the connection status to the shared virtual disk. The request MUST contain only SVHDX\_TUNNEL\_OPERATION\_HEADER, and MUST NOT contain any payload.

# 2.2.4.2 SVHDX\_TUNNEL\_CHECK\_CONNECTION\_RESPONSE Structure

The SVHDX\_TUNNEL\_CHECK\_CONNECTION\_RESPONSE packet is sent by the server in response to the operation RSVD\_TUNNEL\_CHECK\_CONNECTION\_STATUS\_OPERATION. The response MUST contain only SVHDX\_TUNNEL\_OPERATION\_HEADER, and MUST NOT contain any payload.

#### 2.2.4.3 SVHDX\_TUNNEL\_SRB\_STATUS\_REQUEST Structure

The SVHDX\_TUNNEL\_SRB\_STATUS\_REQUEST packet is sent by the client to get the sense error code of a previously failed request.



**StatusKey (1 byte):** The client MUST set this field to the least significant byte of the error code of a previously failed request.

Reserved (27 bytes): This field MUST be set to zero, and MUST be ignored on receipt.

#### 2.2.4.4 SVHDX\_TUNNEL\_SRB\_STATUS\_RESPONSE Structure

The SVHDX\_TUNNEL\_SRB\_STATUS\_RESPONSE packet is sent by the server in a response to the RSVD\_TUNNEL\_SRB\_STATUS\_OPERATION.

0	1	2	3	4	5	6	7	8	9	1	1	2	3	4	5	6	7	8	9	2	1	2	3	4	5	6	7	8	9	3	1
	StatusKey A SrbStatus ScsiStatus SenseInfoExLength																														
														Ser	isel	Data	aEx														
	•••																														

StatusKey (1 byte): The server MUST set this field to the status key value received in the request.

A - SenseInfoAutoGenerated (1 bit): A 1-bit field used to indicate that sense data was automatically generated by the virtual SCSI disk.

**SrbStatus (7 bits):** A 7-bit field indicating the status. This field MUST contain one of the values in section 2.2.5.

**ScsiStatus (1 byte):** An 8-bit field used to communicate the SCSI status that was returned by the shared virtual disk.

SenseInfoExLength (1 byte): The length, in bytes, of the sense data in the SenseDataEx field.

SenseDataEx (20 bytes): A buffer that contains the sense data.

#### 2.2.4.5 SVHDX\_TUNNEL\_DISK\_INFO\_REQUEST Structure

The SVHDX\_TUNNEL\_DISK\_INFO\_REQUEST packet is sent by the client to get shared virtual disk information.

0	1	2	3	4	5	6	7	8	9	1	1	2	3	4	5	5 6	7	8	9	2	1	2	3	4	5												
														Re	ese	ervec	11																				
														В	loc	ckSiz	e																				
														Li	nk	kageI	D																				
																•••																					
																•••																					
		Is	Μοι	unte	ed					Is4	łkAl	ign	ed									Re	esei	rve	d2												
														ı	File	eSize	į																				
														Virt	tua	alDisl	kId																				

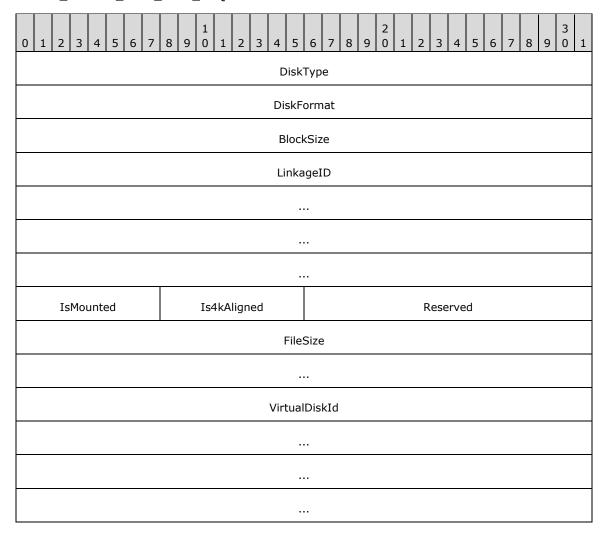
**Reserved1 (8 byte):** The client MUST set this field to zero, and the server MUST ignore it on receipt.

**BlockSize (4 bytes):** The client MUST set this field to zero, and the server MUST ignore it on receipt.

- **LinkageID (16 bytes):** The client MUST set this field to zero, and the server MUST ignore it on receipt.
- IsMounted (1 byte): The client MUST set this field to zero, and the server MUST ignore it on receipt.
- **Is4kAligned (1 byte):** The client MUST set this field to zero, and the server MUST ignore it on receipt.
- **Reserved2 (2 bytes):** The client MUST set this field to zero, and the server MUST ignore it on receipt.
- FileSize (8 bytes): The client MUST set this field to zero, and the server MUST ignore it on receipt.
- **VirtualDiskId (16 bytes):** This field MUST NOT be used and MUST be reserved. The client MUST set this field to zero, and the server MUST ignore it on receipt.

# 2.2.4.6 SVHDX\_TUNNEL\_DISK\_INFO\_RESPONSE Structure

The SVHDX\_TUNNEL\_DISK\_INFO\_RESPONSE packet is sent by the server in response to an SVHDX\_TUNNEL\_DISK\_INFO\_REQUEST.



**DiskType (4 bytes):** Indicates the type of disk. This field MUST contain exactly one of the following values.

Value	Meaning
VHD_TYPE_FIXED 0x00000002	Indicates that the type of the disk is fixed.
VHD_TYPE_DYNAMIC 0x00000003	Indicates that the type of the disk is dynamic.

**DiskFormat (4 bytes):** Indicates the format of the disk. This field MUST contain exactly one of the following values.

Value	Meaning
VIRTUAL_STORAGE_TYPE_DEVICE_VHDX 0x00000003	Indicates that the type of the disk is shared virtual disk.
VIRTUAL_STORAGE_TYPE_DEVICE_VHDSET 0x00000004	Indicates that the type of the disk is VHD set.

**BlockSize (4 bytes):** Specifics the disk block size in bytes.

LinkageID (16 bytes): A GUID that specifies the linkage identification of the disk.

**IsMounted (1 byte):** A Boolean value. Zero represents FALSE (0x00), indicating that the disk is not ready for read or write operations. One represents TRUE (0x01), indicating that the disk is mounted and ready for read or write operations.

**Is4kAligned (1 byte):** A Boolean value. Zero represents FALSE, indicating disk sectors are not aligned to 4 kilobytes. One represents TRUE, indicating disk sectors are aligned to 4 kilobytes.

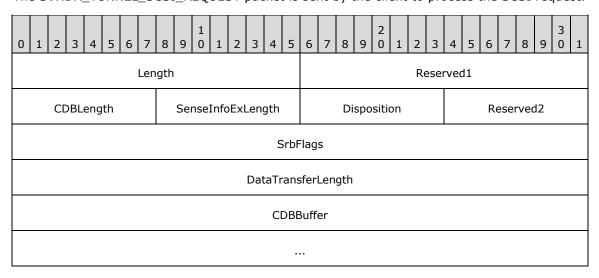
**Reserved (2 bytes):** This field MUST NOT be used and MUST be reserved. The client SHOULD set this field to zero, and the server MUST ignore it on receipt.

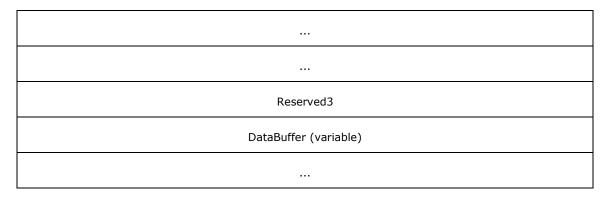
FileSize (8 bytes): The size, in bytes, of the file opened as the shared virtual disk.

VirtualDiskId (16 bytes): A GUID that specifies the identification of the disk.

#### 2.2.4.7 SVHDX\_TUNNEL\_SCSI\_REQUEST Structure

The SVHDX\_TUNNEL\_SCSI\_REQUEST packet is sent by the client to process the SCSI request.





**Length (2 bytes):** Specifies the size, in bytes, of the SVHDX\_TUNNEL\_SCSI\_REQUEST structure excluding the **DataBuffer** field. The client MUST set this field to 36.

**Reserved1 (2 byte):** The client MUST set this field to zero.

**CDBLength (1 byte):** The length, in bytes, of the **SCSI command descriptor block (CDB)**. This value MUST be less than or equal to RSVD\_CDB\_GENERIC\_LENGTH.

**SenseInfoExLength (1 byte):** The length, in bytes, of the request sense data buffer. This value MUST be less than or equal to RSVD\_SCSI\_SENSE\_BUFFER\_SIZE.

**Disposition (1 byte):** This field indicates the SCSI CDB transfer type and MUST be set to one of the following values:

Value	Meaning							
0x00	Indicates that data is being requested from the virtual SCSI disk							
0x01	Indicates that data is being sent to the virtual SCSI disk							
0x02	Indicates that data is neither being requested nor sent							

**Reserved2 (1 byte):** This field MUST be set to 0x00.

**SrbFlags (4 bytes):** An optional, application-provided flag to indicate the options of the SCSI request. This field MUST contain zero or more of the following values:

Name	Meaning								
SRB_FLAGS_DATA_IN 0x00000040	The application is sending data to the server								
SRB_FLAGS_DATA_OUT 0x00000080	The application is requesting data from the server								

**DataTransferLength (4 bytes):** The length, in bytes, of the additional data placed in the **DataBuffer** field.

CDBBuffer (16 bytes): A buffer that contains the SCSI CDB.

**Reserved3 (4 bytes):** This field MUST be set to 0x00000000.

**DataBuffer (variable):** A variable-length buffer that contains the additional buffer, as described by the **DataTransferLength** field.

# 2.2.4.8 SVHDX\_TUNNEL\_SCSI\_RESPONSE Structure

The SVHDX\_TUNNEL\_SCSI\_RESPONSE packet is sent by the server in response to the operation RSVD\_TUNNEL\_SCSI\_OPERATION.

0	1	2	3	4	5	6	7	8	9	1	1	2	З	4	5	6	7	8	9	2	1	2	3	4	5	6	7	8	9	3	1
	Length												A SrbStatus ScsiStatus																		
CDBLength SenseInfoExLength Disposition Rese												rve	d																		
	SrbFlags																														
	DataTransferLength																														
	SenseDataEx																														
																••															
	DataBuffer (variable)																														
																••															

- **Length (2 bytes):** Specifies the size, in bytes, of the SVHDX\_TUNNEL\_SCSI\_RESPONSE structure excluding the **DataBuffer** field. This field MUST be set to 36.
- A SenseInfoAutoGenerated (1 bit): A 1-bit field used to indicate that sense data was automatically generated by the virtual SCSI disk.
- **SrbStatus (7 bits):** A 7-bit field indicating the status. This field MUST contain one of the values in section 2.2.5.
- **ScsiStatus (1 byte):** An 8-bit field used to communicate the SCSI status that was returned by the target device.
- CDBLength (1 byte): The length, in bytes, of the SCSI CDB.
- SenseInfoExLength (1 byte): The length, in bytes, of the sense data in the SenseDataEx field.
- **Disposition (1 byte):** This field indicates the SCSI CDB transfer type and MUST be set to one of the following values:

Value	Meaning											
0x00	Indicates that data is being requested from the virtual SCSI disk											
0x01	Indicates that data is being sent to the virtual SCSI disk											

Value	Meaning
0x02	Indicates that data is neither being requested nor sent

Reserved (1 byte): This field MUST be set to zero, and MUST be ignored on receipt.

**SrbFlags (4 bytes):** Special flags to indicate options of the SCSI response. This field MUST contain zero or more of the following values:

Name	Meaning
SRB_FLAGS_DATA_IN 0x00000040	The client sent data to the server.
SRB_FLAGS_DATA_OUT 0x00000080	The server is sending data to the client.

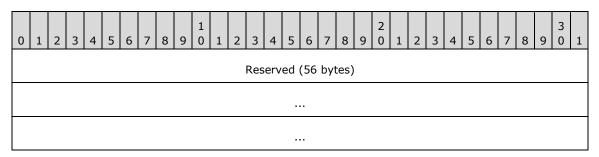
**DataTransferLength (4 bytes):** The length, in bytes, of the additional data placed in the **DataBuffer** field.

**SenseDataEx (20 bytes):** A buffer that contains the sense data.

**DataBuffer (variable):** A variable-length buffer that contains the additional buffer, as described by the **DataTransferLength** field.

#### 2.2.4.9 SVHDX\_TUNNEL\_VALIDATE\_DISK\_REQUEST Structure

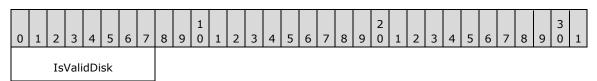
The **SVHDX\_TUNNEL\_VALIDATE\_DISK\_REQUEST** packet is sent by the client to validate the shared virtual disk. This request contains 56 bytes.



**Reserved (56 bytes):** The client MUST set all 56 bytes to zero and the server MUST ignore them on receipt.

### 2.2.4.10 SVHDX\_TUNNEL\_VALIDATE\_DISK\_RESPONSE Structure

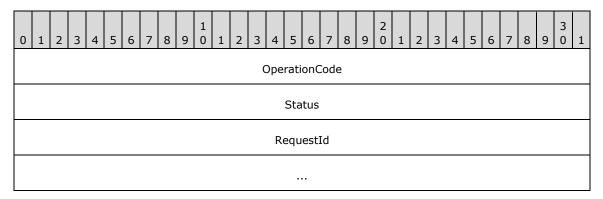
The **SVHDX\_TUNNEL\_VALIDATE\_DISK\_RESPONSE** packet is sent by the server in a response to the operation RSVD\_TUNNEL\_VALIDATE\_DISK\_OPERATION.



IsValidDisk (1 byte): A Boolean value that, if set, indicates that the disk is valid.

# 2.2.4.11 SVHDX\_TUNNEL\_OPERATION\_HEADER Structure

The RSVD tunnel header is the header of RSVD Protocol operations specified in section 2.2.2.



**OperationCode (4 bytes):** The command code of this packet. This field MUST contain one of values specified in section 2.2.2.

**Status (4 bytes)**: For a request, the client SHOULD set this field to zero, and the server MUST ignore it on receipt.

For a response, this field indicates the status of the tunnel operation, and the server MUST set this field to one of the error codes specified in section 2.2.3.

**RequestId (8 bytes)**: A value that uniquely identifies an operation request and response for all requests sent by this client.

#### 2.2.4.12 SVHDX\_OPEN\_DEVICE\_CONTEXT Structure

The SVHDX\_OPEN\_DEVICE\_CONTEXT packet is sent by the client to open the shared virtual disk.

0	1	2	3	4	5	6	7	8	9	1 0	1	2	3	4	5	6	7	8	9	2	1	2	3	4	5	6	7	8	9	3	1
	Version																														
	HasInitiatorId Reserved																														
	InitiatorId																														
															Fla	igs															
	OriginatorFlags																														
													C	)pei	nRe	que	estI	d													

InitiatorHostNameLength	InitiatorHostName (126 bytes)

**Version (4 bytes):** The version of the create context. It MUST be set to RSVD\_ECP\_CONTEXT\_VERSION\_1.

**HasInitiatorId (1 byte):** A Boolean value, where zero represents FALSE and nonzero represents TRUE.

Reserved (3 bytes): This field MUST be set to zero when sent and MUST be ignored on receipt.

InitiatorId (16 bytes): A GUID that optionally identifies the initiator of the open request.

Flags (4 bytes): An application-provided value for the open.

**OriginatorFlags (4 bytes):** This field is used to indicate which component has originated or issued the operation. This field MUST be set to one of the following values:

Name	Meaning
SVHDX_ORIGINATOR_PVHDPARSER 0x00000001	Shared virtual disk file to be opened as a virtual SCSI disk device
SVHDX_ORIGINATOR_VHDMP 0x00000004	Shared virtual disk file to be opened in underlying object store

**OpenRequestId (8 bytes):** A 64-bit value assigned by the client for an outgoing request.

**InitiatorHostNameLength (2 bytes):** The length, in bytes, of the **InitiatorHostName**. This value MUST be less than or equal to RSVD MAXIMUM NAME LENGTH.

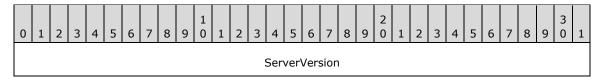
**InitiatorHostName (126 bytes):** A 126-byte buffer containing a Unicode UTF-16 string that specifies the computer name on which the initiator resides.

#### 2.2.4.13 SVHDX\_TUNNEL\_INITIAL\_INFO\_REQUEST Structure

The SVHDX\_TUNNEL\_INITIAL\_INFO\_REQUEST packet is sent by the client to get the shared virtual disk file information. The request MUST contain only SVHDX\_TUNNEL\_OPERATION\_HEADER, and MUST NOT contain any payload.

### 2.2.4.14 SVHDX\_TUNNEL\_INITIAL\_INFO\_RESPONSE Structure

The SVHDX\_TUNNEL\_INITIAL\_INFO\_RESPONSE packet is sent by the server in response to an RSVD\_TUNNEL\_GET\_INITIAL\_INFO\_OPERATION packet.



SectorSize
PhysicalSectorSize
Reserved
VirtualSize

**ServerVersion (4 bytes):** The current version of the protocol running on the server.

**SectorSize (4 bytes):** A 32-bit unsigned integer that indicates the sector size, in bytes, of the shared virtual disk.

**PhysicalSectorSize (4 bytes):** A 32-bit unsigned integer that indicates the physical sector size, in bytes, of the shared virtual disk.

Reserved (4 bytes): This field MUST be set to zero, and the client MUST ignore it on receipt.

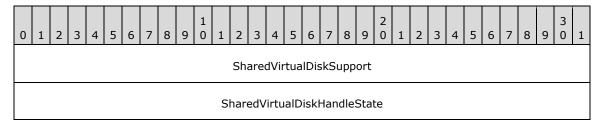
**VirtualSize (8 bytes):** A 64-bit unsigned integer that indicates the virtual size, in bytes, of the shared virtual disk.

# 2.2.4.15 SVHDX\_SHARED\_VIRTUAL\_DISK\_SUPPORT\_REQUEST Structure

The **SVHDX\_SHARED\_VIRTUAL\_DISK\_SUPPORT\_REQUEST** packet is sent by the client to verify the status of shared virtual disk support on the Open. The request MUST NOT contain any payload.

#### 2.2.4.16 SVHDX\_SHARED\_VIRTUAL\_DISK\_SUPPORT\_RESPONSE Structure

The SVHDX\_SHARED\_VIRTUAL\_DISK\_SUPPORT\_RESPONSE packet is sent by the server in a response to an SVHDX\_SHARED\_VIRTUAL\_DISK\_SUPPORT\_REQUEST request.



**SharedVirtualDiskSupport (4 bytes)**: This field is used to indicate the capabilities supported by the server. This field MUST contain one of the following values.

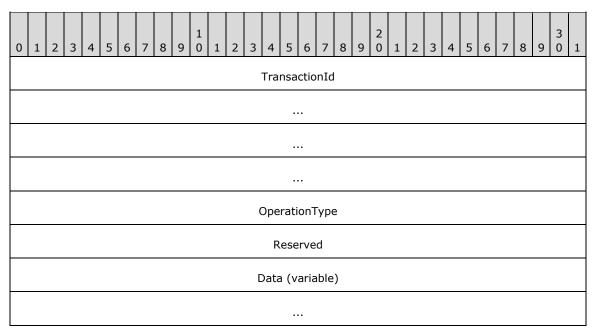
Value	Meaning							
SharedVirtualDisksSupported 0x000000001	The server supports shared virtual disks.							
SharedVirtualDiskSnapshotsSupported 0x00000007	The server supports shared virtual disks and all snapshot types defined in section 2.2.6.							

**SharedVirtualDiskHandleState (4 bytes)**: This field is used to indicate the state of the shared virtual disk Open. This field MUST contain one of the following values.

Value	Meaning
HandleStateNone 0x00000000	The Open is not opened as a shared virtual disk.
HandleStateFileShared 0x00000001	The shared virtual disk file is opened as a shared virtual disk by another Open.
HandleStateShared 0x00000003	The shared virtual disk file is opened as a shared virtual disk by this Open.

# 2.2.4.17 SVHDX\_META\_OPERATION\_START\_REQUEST Structure

The **SVHDX\_META\_OPERATION\_START\_REQUEST** packet is sent by the client to start a meta-operation on the shared virtual disk file.



**TransactionId (16 bytes)**: Indicates the transaction ID for the operation. The field MUST be set to a globally unique ID for each meta-operation.

**OperationType (4 bytes)**: Indicates the type of the operation. This field MUST contain one of the following values.

Value	Meaning						
SvhdxMetaOperationTypeResize 0x000000000	The meta-operation requests that the target file be resized.						
SvhdxMetaOperationTypeCreateSnapshot 0x00000001	The meta-operation is part of a snapshot creation process.						
SvhdxMetaOperationTypeOptimize 0x00000002	The meta-operation requests that the server optimize the target file.						

Value	Meaning
SvhdxMetaOperationTypeExtractVHD 0x00000003	The meta-operation requests that the server extract a differencing virtual hard disk (VHD) from the target file.
SvhdxMetaOperationTypeConvertToVHDSet 0x000000004	The meta-operation requests that the given virtual disk file be converted to a VHD set.
SvhdxMetaOperationTypeApplySnapshot 0x00000005	The meta-operation requests that a specific snapshot be applied to a shared VHD Set.

Reserved (4 bytes): This value MUST be set to 0 by the client and MUST be ignored by the server.

**Data (variable):** A variable-length field that contains the additional input specified by the **OperationType** field.

If the **OperationType** is **SvhdxMetaOperationTypeCreateSnapshot**, this field is provided in the format SVHDX\_META\_OPERATION\_CREATE\_SNAPSHOT as specified in section <u>2.2.4.17.1</u>.

If the **OperationType** is **SvhdxMetaOperationTypeExtractVHD**, this field is provided in the format SVHDX\_META\_OPERATION\_EXTRACT as specified in section <u>2.2.4.17.2</u>.

If the **OperationType** is **SvhdxMetaOperationTypeOptimize**, this field MUST be empty.

If the **OperationType** is **SvhdxMetaOperationTypeConvertToVHDSe**t, this field is provided in the format SVHDX\_META\_OPERATION\_CONVERT\_TO\_VHDSET, as specified in section <u>2.2.4.17.3</u>.

If the **OperationType** is **SvhdxMetaOperationTypeResize**, this field is provided in the format SVHDX META OPERATION RESIZE VIRTUAL DISK as specified in section 2.2.4.17.4.

#### 2.2.4.17.1 SVHDX\_META\_OPERATION\_CREATE\_SNAPSHOT Structure

The SVHDX\_META\_OPERATION\_CREATE\_SNAPSHOT structure is used to send the additional parameters for snapshot creation.

0	1	2	3	4	5	6	7	8	9	1 0	1	2	3	4	5	6	7	8	9	2	1	2	3	4	5	6	7	8	9	3 0	1
	SnapshotType																														
	Flags																														
	Stage1																														
	Stage2																														
	Stage3																														
	Stage4																														
	Stage5																														
	Stage6																														

SnapshotId
ParametersPayloadSize
CdpParameters (variable)

**SnapshotType (4 bytes)**: The type of snapshot. This field MUST contain one of the values defined in section 2.2.6.

Flags (4 bytes): This field MUST be set to zero or a combination of the following values:

Name	Meaning
SVHDX_SNAPSHOT_DISK_FLAG_ENABLE_CHANGE_TRACKING 0x00000001	A request is made for change tracking to be enabled when snapshot is taken.

#### Stage1 (4 bytes): The first stage. This field MUST contain one of the following values.

Name	Meaning
SvhdxSnapshotStageInitialize 0x00000001	Perform any required initialization so that the appropriate type of snapshot can be taken.
SvhdxSnapshotStageBlockIO 0x00000002	Temporarily pause all IO against the target virtual device.
SvhdxSnapshotStageSwitchObjectStore 0x00000003	Switch aspects of the underlying object store so that the appropriate snapshot is taken.
SvhdxSnapshotStageUnblockIO 0x00000004	Allow further IO against the target virtual device.
SvhdxSnapshotStageFinalize 0x00000005	Tear down any state associated with a snapshot of the target virtual device.

# **Stage2 (4 bytes)**: The second stage. This field MUST be any of the values identified in **Stage1** or in **SvhdxSnapshotStageInvalid**, defined as follows.

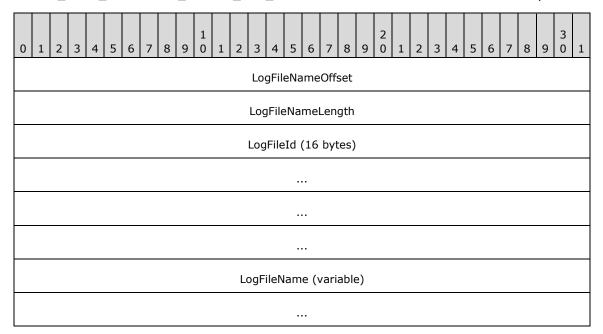
Name	Meaning
SvhdxSnapshotStageInvalid 0x00000000	No stage present in this field.

**Stage3 (4 bytes)**: The third stage. This field MUST be any of the values identified in **Stage1** or **SvhdxSnapshotStageInvalid.** 

- **Stage4 (4 bytes)** The fourth stage. This field MUST be any of the values identified in **Stage1** or **SvhdxSnapshotStageInvalid.**
- **Stage5 (4 bytes)**: The fifth stage. This field MUST be any of the values identified in **Stage1** or **SvhdxSnapshotStageInvalid.**
- **Stage6 (4 bytes)**: The sixth stage. This field MUST be any of the values identified in **Stage1** or **SvhdxSnapshotStageInvalid.**
- **SnapshotId (16 bytes)**: The ID to assign to the snapshot.
- **ParametersPayloadSize (4 bytes)**: The size of any parameters included with the create snapshot request. This field MUST be set to 0.
- **CdpParameters (variable)**: Parameters supplied with the **continuous data protection snapshot (CDP snapshot)** operation. This field is provided in the format SVHDX\_META\_OPERATION\_CREATE\_CDP\_PARAMETER as specified in section <u>2.2.4.17.1.1</u>.

### 2.2.4.17.1.1 SVHDX\_META\_OPERATION\_CREATE\_CDP\_PARAMETER Structure

SVHDX\_META\_OPERATION\_CREATE\_CDP\_PARAMETER is used to send additional CDP parameters.



**LogFileNameOffset (4 bytes)**: The offset, in bytes, of the **LogFileName** field.

LogFileNameLength (4 bytes): The length of LogFileName in bytes. This field MUST be set to 0.

LogFileId (16 Bytes): A GUID associated with the log file.

**LogFileName (Variable)**: A log file name containing a null-terminated Unicode UTF-16 string. This field MUST be an empty array.

#### 2.2.4.17.2 SVHDX\_META\_OPERATION\_EXTRACT Structure

The **SVHDX\_META\_OPERATION\_EXTRACT** packet is issued by a server as part of a **SVHDX\_META\_OPERATION\_START\_REQUEST** operation, when the meta-operation type indicates an **SvhdxMetaOperationTypeExtractVHD**. The server issues such a request to export a virtual hard disk (VHD) or a **differencing VHD**.

0 1 2 3 4 5 6 7 8 9 0 1 2 3 4 5 6 7 8 9 0 1 2 3 4 5 6 7 8 9 0 1 2 3 4 5 6 7	8 9 0	1							
SnapshotType									
Reserved									
Flags									
SourceSnapshotId (16 bytes)									
SourceLimitSnapshotId (16 bytes)									
Destination V/b dName Longth									
DestinationVhdNameLength									
DestinationVhdName (variable)		=							

**SnapshotType (4 bytes):** The type of snapshot. This field MUST contain one of the values defined in section 2.2.6.

**Reserved (4 bytes):** This value MUST be set to 0 by the client and MUST be ignored by the server.

Flags (4 bytes): This field MUST be set to zero or more of the following values:

Name	Meaning
SVHDX_EXTRACT_SNAPSHOTS_FLAG_DELETE_ON_CLOSE 0x00000001	Request for the VHD set to be deleted on close after exporting the differences between specified snapshots.

**SourceSnapshotId (16 Bytes):** A GUID that indicates the ID of the snapshot to be used as the source for the extracted VHD.

**SourceLimitSnapshotId (16 Bytes):** A GUID that optionally indicates the ID of the snapshot that will act as the base for the extracted differencing VHD. Zero indicates that there MUST be no base snapshot and that the extracted disk will not be a differencing VHD.

**DestinationVhdNameLength (4 Bytes):** The length, in bytes, including the NULL terminating character, of the VHD file name to extract the data differences to.

**DestinationVhdName (Variable):** A buffer containing a null-terminated Unicode UTF-16 string that indicates the VHD file name to extract the data differences to.

#### 2.2.4.17.3 SVHDX\_META\_OPERATION\_CONVERT\_TO\_VHDSET Structure

The SVHDX\_META\_OPERATION\_CONVERT\_TO\_VHDSET packet is issued by a server as part of a SVHDX\_META\_OPERATION\_START\_REQUEST operation, when the meta-operation type indicates a **SvhdxMetaOperationTypeConvertToVHDSet**. The server issues such a request to convert a VHD file to a snapshot-capable VHD set.

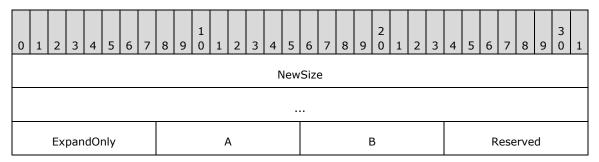


**DestinationVhdSetNameLength (4 Bytes)**: The length, in bytes, including the NULL terminating character, of the **DestinationVhdSetName**.

**DestinationVhdSetName (Variable)**: A buffer containing a null-terminated Unicode UTF-16 string that indicates the name for the new VHD set that is to be created.

#### 2.2.4.17.4 SVHDX\_META\_OPERATION\_RESIZE\_VIRTUAL\_DISK Structure

The SVHDX\_META\_OPERATION\_RESIZE\_VIRTUAL\_DISK packet is issued by a server as part of a SVHDX\_META\_OPERATION\_START\_REQUEST operation, when the meta-operation type indicates a **SvhdxMetaOperationTypeResize**. The server issues such a request to resize the shared virtual disk.



NewSize (8 bytes): This specifies the new size of the shared virtual disk.

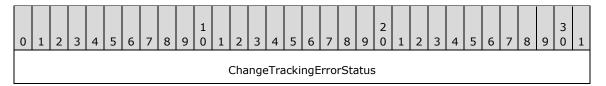
**ExpandOnly (1 byte)**: A Boolean value, where zero represents FALSE and nonzero represents TRUE. A nonzero value indicates that the shared virtual disk size can only expand.

- **A AllowUnsafeVirtualSize (1 byte)**: A Boolean value, where zero represents FALSE and nonzero represents TRUE. A nonzero value indicates that the shared virtual disk size can be less than the data it currently contains.
- **B ShrinkToMinimumSafeSize (1 byte)**: A Boolean value, where zero represents FALSE and nonzero represents TRUE. A nonzero value indicates that the shared virtual disk size can be shrunk to the data it currently contains.

Reserved (1 byte): This value MUST be set to 0 by the client and MUST be ignored by the server.

#### 2.2.4.18 SVHDX\_META\_OPERATION\_REPLY Structure

The **SVHDX\_META\_OPERATION\_REPLY** packet is issued by a server in reply to an **SVHDX\_META\_OPERATION\_START\_REQUEST** operation. When the meta-operation type indicates an **SvhdxMetaOperationTypeCreateSnapshot** with snapshot type **SvhdxSnapshotTypeCDP**, this structure is returned. Otherwise, this structure is not present.

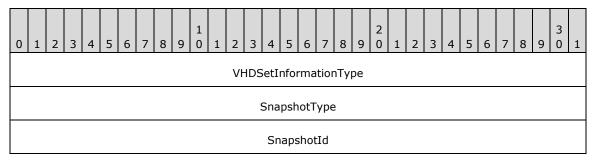


**ChangeTrackingErrorStatus (4 bytes)**: Indicates an error condition when attempting to perform change-tracking operations. This MUST be one of the following values.

Name	Meaning
SVHDX_TUNNEL_CHANGE_TRACKING_STATUS_SUCCESS 0x00000000	Change-tracking is active on the virtual disk without any error.
SVHDX_TUNNEL_CHANGE_TRACKING_NOT_INITIALIZED 0xC03A0020	Change-tracking is not initialized on the virtual disk.
SVHDX_TUNNEL_CHANGE_TRACKING_LOGSIZE_EXCEEDED_MAXSIZE 0xC03A0021	The log file size has exceeded the client specified maximum size.
SVHDX_TUNNEL_CHANGE_TRACKING_VHD_CHANGED_OFFLINE 0xC03A0022	A virtual disk write was detected to be missing from the current log file.
SVHDX_TUNNEL_CHANGE_TRACKING_INVALID_TRACKING_STATE 0xC03A0023	A change-tracking operation cannot be performed on the virtual disk in its current state.
SVHDX_TUNNEL_CHANGE_TRACKING_INCONSISTENT_TRACKING_FILE 0xC03A0024	An inconsistent log file detected.

#### 2.2.4.19 SVHDX\_TUNNEL\_VHDSET\_QUERY\_INFORMATION\_REQUEST Structure

The **SVHDX\_TUNNEL\_VHDSET\_QUERY\_INFORMATION\_REQUEST** packet is sent by a client as part of an **RSVD\_TUNNEL\_VHDSET\_QUERY\_INFORMATION** request.



**VHDSetInformationType (4 bytes)**: The information type requested. This MUST be one of the following values.

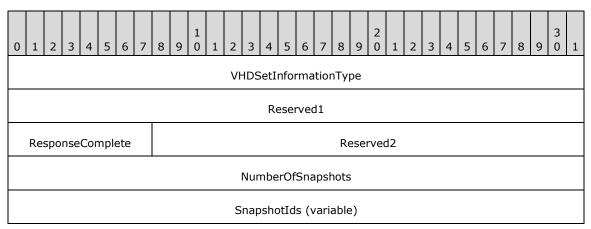
Name	Meaning
SvhdxVHDSetInformationTypeSnapshotList 0x00000002	Returns a list of snapshots.
SvhdxVHDSetInformationTypeSnapshotEntry 0x00000005	Returns the details about a specific snapshot entry.
SvhdxVHDSetInformationTypeOptimizeNeeded 0x000000008	Queries whether the target VHD set needs optimization.
SvhdxVHDSetInformationTypeCdpSnapshotRoot 0x00000009	Returns the oldest <b>CDP snapshot</b> present in the target VHD set.
SvhdxVHDSetInformationTypeCdpSnapshotActiveList 0x0000000A	Returns the list of CDP snapshot IDs that are active in the VHD set.
SvhdxVHDSetInformationTypeCdpSnapshotInactiveList 0x0000000C	Returns the list of CDP snapshot IDs that are inactive in the VHD set.

**SnapshotType (4 bytes)**: The snapshot type queried by this operation. This MUST be one of the **SvhdxSnapshotType** values specified in section <u>2.2.6</u>.

**SnapshotId (16 bytes):** The snapshot ID relevant to the particular request.

# 2.2.4.20 SVHDX\_TUNNEL\_VHDSET\_QUERY\_INFORMATION\_SNAPSHOT\_LIST\_RE SPONSE Structure

The **SVHDX\_TUNNEL\_VHDSET\_QUERY\_INFORMATION\_SNAPSHOT\_LIST\_RESPONSE** packet is sent by a server in response to an **RSVD\_TUNNEL\_VHDSET\_QUERY\_INFORMATION** request where the VHDSetInformationType is **SvhdxVHDSetInformationTypeSnapshotList**.



...

**VHDSetInformationType (4 bytes)**: The information type. The server MUST set this to SvhdxVHDSetInformationTypeSnapshotList.

Reserved1 (4 bytes): This field is set to any value by the server and MUST be ignored by the client.

**ResponseComplete (1 byte):** Indicates if the reply contains all snapshot IDs in the **SnapshotIds** field. Zero (0x00) indicates that the **SnapshotIds** field is not present. One (0x01) indicates that all snapshot IDs are present in the **SnapshotIds** field.

Reserved2 (3 bytes): This field MUST be set to 0 by the server and MUST be ignored by the client.

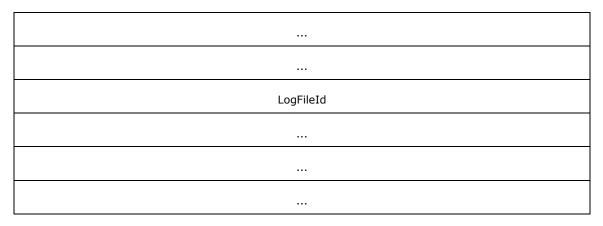
NumberOfSnapshots (4 bytes): The number of snapshots contained in the SnapshotIds field.

**SnapshotIds (Variable):** A list of IDs of all snapshots of a particular type. This field contains a list of GUIDs that define each snapshot ID. The number of snapshot IDs in the field is specified by the **NumberOfSnapshots** fields.

# 2.2.4.21 SVHDX\_TUNNEL\_VHDSET\_QUERY\_INFORMATION\_SNAPSHOT\_ENTRY\_R ESPONSE Structure

The SVHDX\_TUNNEL\_VHDSET\_QUERY\_INFORMATION\_SNAPSHOT\_ENTRY\_RESPONSE packet is sent by a server in response to an RSVD\_TUNNEL\_VHDSET\_QUERY\_INFORMATION request where the VHDSetInformationType is SvhdxVHDSetInformationTypeSnapshotEntry.

0	1	2	3	4	5	6	7	8	9	1 0	1	2	3	4	5	6	7	8	9	2	1	2	3	4	5	6	7	8	9	3	1
	VHDSetInformationType																														
	Reserved																														
SnapshotCreationTime																															
SnapshotType																															
IsValidSnapshot																															
SnapshotId																															
ParentSnapshotId																															



**VHDSetInformationType (4 bytes):** The information type. The server MUST set this to SvhdxVHDSetInformationTypeSnapshotEntry.

**Reserved (4 bytes)**: This field is set to any value by the server and MUST be ignored by the client.

**SnapshotCreationTime (8 bytes):** The time, in milliseconds since Jan 1, 1970, when the snapshot was created.

**SnapshotType (4 bytes):** The type of snapshot. This MUST be one of the **SvhdxSnapshotType** values defined in section <u>2.2.6</u>.

**IsValidSnapshot (4 bytes):** Set to 1 when the snapshot is valid; set to 0 when the snapshot is invalid.

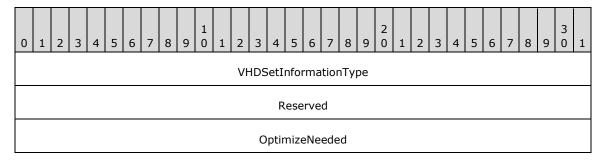
**SnapshotId (16 bytes):** The globally unique ID of the snapshot.

ParentSnapshotId (16 bytes): The parent snapshot ID. This field will be set for CDP snapshots.

**LogFileId (16 bytes):** The ID of the log file associated with this snapshot. This field will be set for CDP snapshots.

# 2.2.4.22 SVHDX\_TUNNEL\_VHDSET\_QUERY\_INFORMATION\_OPTIMIZE\_RESPONS E Structure

The SVHDX\_TUNNEL\_VHDSET\_QUERY\_INFORMATION\_OPTIMIZE\_RESPONSE packet is sent by a server in response to an RSVD\_TUNNEL\_VHDSET\_QUERY\_INFORMATION request where the VHDSetInformationType is SvhdxVHDSetInformationTypeOptimizeNeeded.



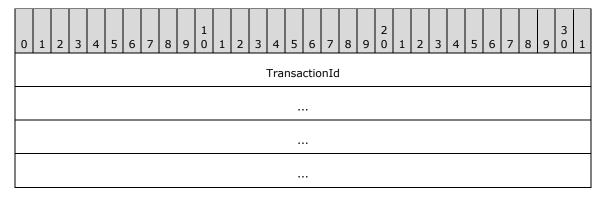
**VHDSetInformationType (4 bytes):** The information type. The server MUST set this to **SvhdxVHDSetInformationTypeOptimizeNeeded**.

Reserved (4 bytes): This field is set to any value by the server and MUST be ignored by the client.

OptimizeNeeded (4 bytes): Indicates whether optimization is needed for the target VHD set.

# 2.2.4.23 SVHDX\_META\_OPERATION\_QUERY\_PROGRESS\_REQUEST Structure

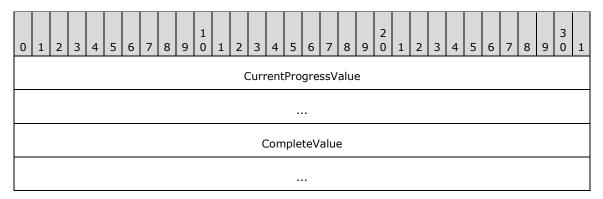
The **SVHDX\_META\_OPERATION\_QUERY\_PROGRESS\_REQUEST** packet is sent by the client to query the progress of an ongoing meta-operation.



**TransactionId (16 bytes)**: Indicates the transaction ID for the operation. This is the transaction ID used in the RSVD\_TUNNEL\_META\_OPERATION\_START request.

# 2.2.4.24 SVHDX\_META\_OPERATION\_QUERY\_PROGRESS\_RESPONSE Structure

The **SVHDX\_META\_OPERATION\_QUERY\_PROGRESS\_RESPONSE** packet is sent by the server in response to a RSVD\_TUNNEL\_META\_OPERATION\_QUERY\_PROGRESS request.

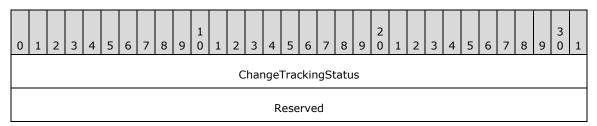


**CurrentProgressValue (8 bytes)**: A server-defined progress value that indicates how far along the meta-operation has proceeded.

**CompleteValue (8 bytes)**: The maximum progress value for the completed operation. That is, when **CurrentProgressValue** is equal to **CompleteValue**, the operation is complete.

#### 2.2.4.25 SVHDX\_CHANGE\_TRACKING\_GET\_PARAMETERS\_RESPONSE Structure

The **SVHDX\_CHANGE\_TRACKING\_GET\_PARAMETERS\_RESPONSE** packet is sent by the server in response to a RSVD\_TUNNEL\_CHANGE\_TRACKING\_GET\_PARAMETERS operation.





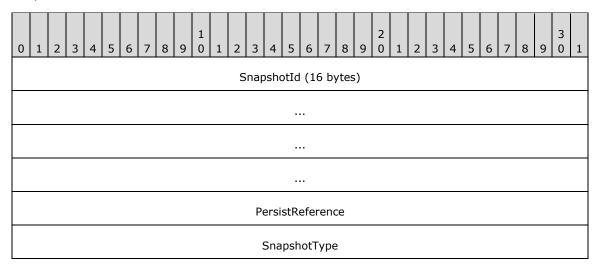
**ChangeTrackingStatus (4 bytes)**: The current status of change tracking on the server. This MUST be one of the error codes specified in section 2.2.4.18.

Reserved (4 bytes): This field is set to any value by the server and MUST be ignored by the client.

**LogFileSize (8 bytes)**: The number of bytes consumed by the current log file used to conduct change tracking.

# 2.2.4.26 SVHDX\_TUNNEL\_DELETE\_SNAPSHOT\_REQUEST Structure

The **SVHDX\_TUNNEL\_DELETE\_SNAPSHOT\_REQUEST** packet is sent by a client to delete a snapshot from a shared virtual disk file.



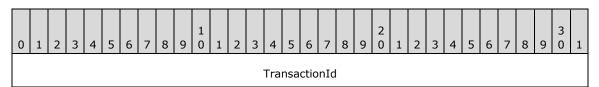
**SnapshotId (16 bytes)**: The snapshot ID relevant to the particular request.

**PersistReference (4 bytes):** A flag to indicate if the snapshot needs to be persisted. One represents TRUE (0x0000001), indicating that the snapshot will be stored as a reference without any data. Zero represents FALSE (0x00000000), indicating that the snapshot will be deleted. This field MUST be set to FALSE if **SnapshotType** is **SvhdxSnapshotTypeVM**.

**SnapshotType (4 bytes)**: The type of snapshot. This MUST be one of the **SvhdxSnapshotType** values defined in section 2.2.6.

#### 2.2.4.27 SVHDX\_CHANGE\_TRACKING\_START\_REQUEST Structure

The **SVHDX\_CHANGE\_TRACKING\_START\_REQUEST** packet is sent by the client to start change tracking on the server. The packet contains the following fields.





**TransactionId (16 bytes)**: The client MUST set this to a globally unique transaction ID for each operation.

**LogFileNameOffset (4 bytes)**: The offset, in bytes, of the **LogFileName** field.

**LogFileNameLength (4 bytes)**: The length, in bytes, of the **LogFileName** string. This length MUST include a NULL terminating character. This field MUST be set to 0.

LogFileId (16 bytes): A globally unique Id used to refer to this log file.

**MaxLogFileSize (8 bytes):** The maximum allowed size, in bytes, of the underlying object store for this particular change-tracking log file. This field MUST be set to 0 if the log file size is unbounded.

**AppendData (4 bytes)**: When set to TRUE, change tracking will be resumed, and further tracked data will be appended to the existing log file. The client sets this to FALSE for the first change-tracking request issued against the target device.

Reserved (4 bytes): This field MUST be set to 0 by the client and MUST be ignored by the server.

**LogFileName (Variable):** The name of the log file containing a null-terminated Unicode UTF-16 string. This field MUST be an empty array.

# 2.2.4.28 SVHDX\_CHANGE\_TRACKING\_START\_RESPONSE Structure

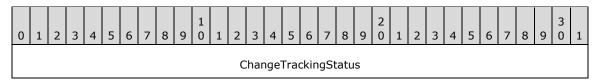
The **SVHDX\_CHANGE\_TRACKING\_START\_RESPONSE** packet is sent by the server in response to an RSVD\_TUNNEL\_CHANGE\_TRACKING\_START operation. This response MUST NOT contain any payload.

## 2.2.4.29 SVHDX\_CHANGE\_TRACKING\_STOP\_REQUEST Structure

The **SVHDX\_CHANGE\_TRACKING\_STOP\_REQUEST** packet is sent by the client to stop change tracking on the server. This request MUST NOT contain any payload.

# 2.2.4.30 SVHDX\_CHANGE\_TRACKING\_STOP\_RESPONSE Structure

The **SVHDX\_CHANGE\_TRACKING\_STOP\_RESPONSE** packet is sent by the server in response to an RSVD\_TUNNEL\_CHANGE\_TRACKING\_STOP operation.



**ChangeTrackingStatus (4 bytes):** The current status of change tracking on the server. This MUST be one of the ChangeTrackingErrorStatus values specified in section <u>2.2.4.18</u>.

# 2.2.4.31 SVHDX\_OPEN\_DEVICE\_CONTEXT\_RESPONSE Structure

The SVHDX\_OPEN\_DEVICE\_CONTEXT\_RESPONSE packet is sent by the server in response to open the shared virtual disk request.

0	1	2	3	4	5	6	7	8	9	1	1	2	3	4	5	6	7	8	9	2	1	2	3	4	5	6	7	8	9	3	1
														,	Vers	sion	l														
		Has	Init	iato	orId													R	.ese	rve	d										
														Ir	nitia	torI	:d														
															Fla	gs															
													C	Orig	inat	orF	lag	s													
													C	)pei	nRe	que	stI	d													

InitiatorHostNameLength	InitiatorHostName (126 bytes)

**Version (4 bytes):** The version of the create context. It MUST be set to RSVD ECP CONTEXT VERSION 1.

**HasInitiatorId (1 byte):** A Boolean value, where zero represents FALSE and nonzero represents TRUE.

Reserved (3 bytes): This field MUST be set to zero when sent and MUST be ignored on receipt.

**InitiatorId** (16 bytes): A GUID that optionally identifies the initiator of the open request.

Flags (4 bytes): A value for the open sent by the client.

**OriginatorFlags (4 bytes):** This field is used to indicate which component has originated or issued the operation. This field MUST be set to one of the following values.

Name	Meaning
SVHDX_ORIGINATOR_PVHDPARSER 0x00000001	Shared virtual disk file to be opened as a virtual SCSI disk device
SVHDX_ORIGINATOR_VHDMP 0x00000004	Shared virtual disk file to be opened in underlying object store

OpenRequestId (8 bytes): A 64-bit value assigned by the client for an outgoing request.

**InitiatorHostNameLength (2 bytes):** The length, in bytes, of the **InitiatorHostName**. This value MUST be less than or equal to RSVD\_MAXIMUM\_NAME\_LENGTH.

**InitiatorHostName (126 bytes):** A 126-byte buffer containing a Unicode UTF-16 string that specifies the computer name which initiated the request.

## 2.2.4.32 SVHDX\_OPEN\_DEVICE\_CONTEXT\_V2 Structure

The SVHDX\_OPEN\_DEVICE\_CONTEXT\_V2 packet is sent by the client to open the shared virtual disk.

This is valid only for the RSVD Protocol version 2.

0	1	2	3	4	5	6	7	8	9	1	1	2	3	4	5	6	7	8	9	2	1	2	3	4	5	6	7	8	9	3	1
														,	Ver	sion	1														
	HasInitiatorId Reserved																														
														Ir	nitia	tor]	[d														

Fla	ags
Origina	torFlags
OpenRe	equestId
InitiatorHostNameLength	InitiatorHostName (126 bytes)
VirtualDiskProp	pertiesInitialized
ServerSer	viceVersion
VirtualS	ectorSize
PhysicalS	SectorSize
Virtu	alSize

**Version (4 bytes):** The version of the create context. It MUST be set to RSVD\_ECP\_CONTEXT\_VERSION\_2.

**HasInitiatorId (1 byte):** A Boolean value, where zero represents FALSE and nonzero represents TRUE.

**Reserved (3 bytes):** This field MUST be set to zero when sent and MUST be ignored on receipt.

InitiatorId (16 bytes): A GUID that optionally identifies the initiator of the open request.

Flags (4 bytes): An application-provided value for the open.

**OriginatorFlags (4 bytes):** This field is used to indicate which component has originated or issued the operation. This field MUST be set to one of the following values:

Name	Meaning
SVHDX_ORIGINATOR_PVHDPARSER 0x00000001	Shared virtual disk file to be opened as a virtual SCSI disk device
SVHDX_ORIGINATOR_VHDMP 0x00000004	Shared virtual disk file to be opened in underlying object store

**OpenRequestId (8 bytes):** A 64-bit value assigned by the client for an outgoing request.

**InitiatorHostNameLength (2 bytes):** The length, in bytes, of the **InitiatorHostName**. This value MUST be less than or equal to RSVD\_MAXIMUM\_NAME\_LENGTH.

**InitiatorHostName (126 bytes):** A 126-byte buffer containing a Unicode UTF-16 string that specifies the computer name on which the initiator resides.

VirtualDiskPropertiesInitialized (4 bytes): This field MUST be set to zero.

ServerServiceVersion (4 bytes): This field MUST be set to zero.

VirtualSectorSize (4 bytes): This field MUST be set to zero.

PhysicalSectorSize (4 bytes): This field MUST be set to zero.

VirtualSize (8 bytes): This field MUST be set to zero.

## 2.2.4.33 SVHDX\_OPEN\_DEVICE\_CONTEXT\_V2\_RESPONSE Structure

The SVHDX\_OPEN\_DEVICE\_CONTEXT\_RESPONSE\_V2 packet is sent by the server in response to open the shared virtual disk request.

This is valid only for the RSVD Protocol version 2.

0	1	2	3	4	5	6	7	8	9	1 0	1	2	3	4		5 6	7	8	9	2 0	1	2	3	4	5	6	7	8	9	3	1
														,	Ve	ersior	า														
		Has	Init	iato	orId	1												R	les	serve	d										
														Ir	nit	tiator	Id														
																•••															
	Flags																														
													C	Orig	jin	natorf	lag	s													
													C	Ope	nF	Reque	estI	d													
				Ini	itiat	torH	lost	:Nar	neL	enç	jth								I	Initia	torl	los	tNa	me	(12	26 b	yte	s)			
											Vi	rtua	alDi	iskP	Pro	pert	iesI	nitia	aliz	zed											

ServerServiceVersion
VirtualSectorSize
PhysicalSectorSize
VirtualSize

**Version (4 bytes):** The version of the create context. It MUST be set to RSVD\_ECP\_CONTEXT\_VERSION\_2.

**HasInitiatorId (1 byte):** A Boolean value, where zero represents FALSE and nonzero represents TRUE.

Reserved (3 bytes): This field MUST be set to zero when sent and MUST be ignored on receipt.

InitiatorId (16 bytes): A GUID that optionally identifies the initiator of the open request.

Flags (4 bytes): A value for the open sent by the client.

**OriginatorFlags (4 bytes):** This field is used to indicate which component has originated or issued the operation. This field MUST be set to one of the following values:

Name	Meaning
SVHDX_ORIGINATOR_PVHDPARSER 0x00000001	Shared virtual disk file to be opened as a virtual SCSI disk device
SVHDX_ORIGINATOR_VHDMP 0x00000004	Shared virtual disk file to be opened in underlying object store

OpenRequestId (8 bytes): A 64-bit value assigned by the client for an outgoing request.

**InitiatorHostNameLength (2 bytes):** The length, in bytes, of the **InitiatorHostName**. This value MUST be less than or equal to RSVD\_MAXIMUM\_NAME\_LENGTH.

**InitiatorHostName (126 bytes):** A 126-byte buffer containing a Unicode UTF-16 string that specifies the computer name which initiated the request.

**VirtualDiskPropertiesInitialized (4 bytes):** A field that indicates whether **VirtualSectorSize**, **PhysicalSectorSize**, and **VirtualSize** fields are updated. A nonzero value indicates TRUE.

**ServerServiceVersion (4 bytes):** The current version of the protocol running on the server.

VirtualSectorSize (4 bytes): The virtual sector size of the virtual disk.

**PhysicalSectorSize (4 bytes):** The physical sector size of the virtual disk.

**VirtualSize (8 bytes):** The current length of the virtual disk, in bytes.

### 2.2.4.34 RSVD\_BLOCK\_DEVICE\_TARGET\_SPECIFIER Structure

RSVD\_BLOCK\_DEVICE\_TARGET\_SPECIFIER structure is used to read from a particular snapshot.

C	1	. 2	[	3	4	5	6	7	8	9	1 0	1	2	3	4	5	6	7	8	9	2	1	2	3	4	5	6	7	8	9	3	1
											F	Rsv	dBlo	ockl	Dev	ice	Γarg	getľ	Nam	nesp	расе	9										
	TargetInformationSnapshot																															
																•																
																•																
																•																

**RsvdBlockDeviceTargetNamespace (4 bytes)**: This field is used to indicate the target namespace. This field MUST be set to one of the following values.

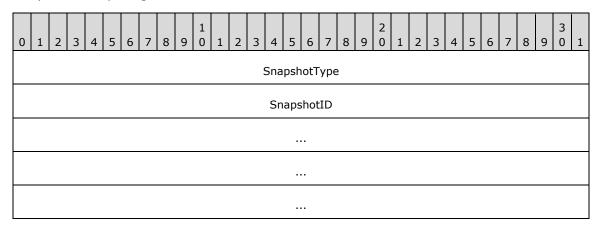
Name	Meaning
SnapshotId	
0×00000000	The target identifier is a snapshot ID.

### TargetInformationSnapshot (20 bytes): An

RSVD\_BLOCK\_DEVICE\_TARGET\_SPECIFIER\_SNAPSHOT structure defined in section 2.2.4.35.

# 2.2.4.35 RSVD\_BLOCK\_DEVICE\_TARGET\_SPECIFIER\_SNAPSHOT Structure

The RSVD\_BLOCK\_DEVICE\_TARGET\_SPECIFIER\_SNAPSHOT structure is used to specify the snapshot to open when opening the virtual disk as a block device.

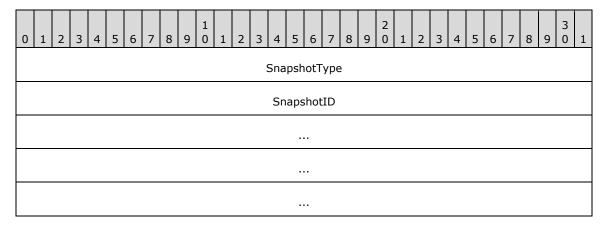


**SnapshotType (4 bytes)**: The type of snapshot. This field MUST contain one of the values defined in section 2.2.6.

**SnapshotID** (16 bytes): A GUID that identifies the snapshot to open.

# 2.2.4.36 SVHDX\_APPLY\_SNAPSHOT\_PARAMS Structure

The SVHDX\_APPLY\_SNAPSHOT\_PARAMS structure is used to specify the snapshot to apply.



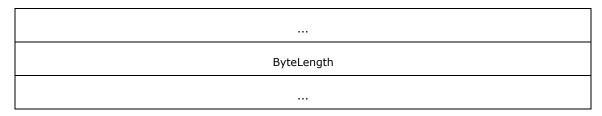
**SnapshotType (4 bytes)**: The type of snapshot. This field MUST contain one of the values defined in section 2.2.6.

**SnapshotID** (16 bytes): A GUID that identifies the snapshot to open.

# 2.2.4.37 SVHDX\_TUNNEL\_QUERY\_VIRTUAL\_DISK\_CHANGES\_REQUEST Structure

The SVHDX\_TUNNEL\_QUERY\_VIRTUAL\_DISK\_CHANGES\_REQUEST structure requests a list of changed ranges since the designated snapshot.

0	1	2	3	4	5	6	7	8	9	1	1	2	3	4	5	6	7	8	9	2	1	2	3	4	5	6	7	8	9	3	1
													Ta	ırge	tSn	aps	hot	Id													
	LimitSnapshotId																														
	LimitSnapshotId																														
														Sna	psh	otT	урє	9													
														R	ese	rve	d														
														В	yte(	Offs	et														



**TargetSnapshotId (16 bytes)**: A GUID that identifies the snapshot to query for the changed ranges.

**LimitSnapshotId (16 bytes)**: A GUID that identifies the snapshot to use as a baseline for getting the list of changed ranges.

**SnapshotType (4 bytes)**: The type of snapshot. This field MUST contain one of the values defined in section 2.2.6.

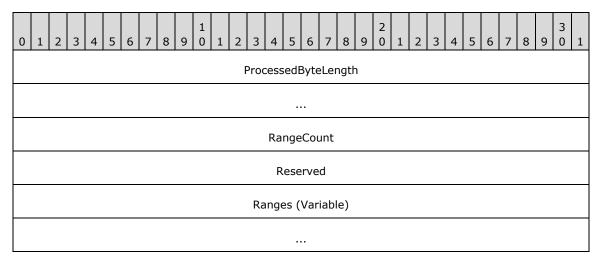
**Reserved (4 bytes)**: This field ensures the 8-byte alignment of the **ByteOffset** field. This value MUST be set to 0 by the client and MUST be ignored by the server.

**ByteOffset (8 bytes)**: The byte offset of the region in the virtual disk to query changes for.

ByteLength (8 bytes): The length, in bytes, of the region in the virtual disk to query changes for.

### 2.2.4.38 SVHDX TUNNEL QUERY VIRTUAL DISK CHANGES REPLY Structure

The SVHDX\_TUNNEL\_QUERY\_VIRTUAL\_DISK\_CHANGES\_REPLY structure is sent as a response to the virtual disk changes query.



**ProcessedByteLength (8 bytes)**: The total byte length that was processed. This can be **ByteLength** from the SVHDX\_TUNNEL\_QUERY\_VIRTUAL\_DISK\_CHANGES\_REQUEST or a smaller value, if the provided buffer was not large enough to contain all the information that was available about the requested virtual disk region.

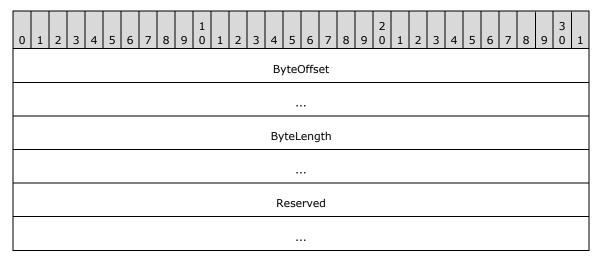
RangeCount (4 bytes): The number of changed ranges in the specified virtual disk region.

**Reserved (4 bytes)**: Reserved. The server MUST set this field to zero and the client MUST ignore it on receipt.

**Ranges (Variable)**: The list of SVHDX\_VIRTUAL\_DISK\_CHANGED\_RANGE structures, as defined in section 2.2.4.39, representing the changed ranges in the specified virtual disk region.

# 2.2.4.39 SVHDX\_VIRTUAL\_DISK\_CHANGED\_RANGE Structure

The SVHDX\_VIRTUAL\_DISK\_CHANGED\_RANGE structure contains the details of the changed range.



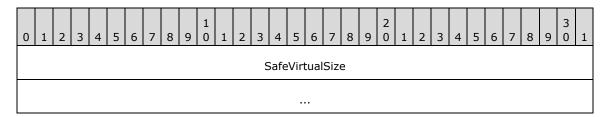
**ByteOffset (8 bytes)**: The byte offset of the changed range in the virtual disk.

ByteLength (8 bytes): The length, in bytes, of the changed range in the virtual disk.

**Reserved (8 bytes)**: Reserved. The server MUST set this field to zero and the client MUST ignore it on receipt.

# 2.2.4.40 SVHDX\_TUNNEL\_QUERY\_SAFE\_SIZE\_RESPONSE STRUCTURE

The SVHDX\_TUNNEL\_QUERY\_SAFE\_SIZE\_RESPONSE structure contains the details of the safe virtual size.



**SafeVirtualSize (8 bytes):** The smallest size, in bytes, that the virtual disk can be resized to without losing user data.

#### 2.2.5 SRB Status Code

The SCSI request block (SRB) status codes used to communicate from server to client are as follows.

Value	Meaning	
0x00	The request status is pending.	
0x01	The request was completed successfully.	
0x02	The request was aborted.	
0x06	The Shared Virtual Disk does not support the given request.	

Value	Meaning
0x08	The Shared Virtual Disk device is no longer available.
0x0A	The SCSI device selection timed out.
0x12	A data overrun or underrun error occurred.
0x04	The request completed with any other error.

# 2.2.6 Snapshot Types

Following are the possible snapshot types.

Name	Meaning
SvhdxSnapshotTypeVM 0x01	A snapshot created as part of routine Virtual Machine operations.
SvhdxSnapshotTypeCDP 0x03	A snapshot created as part of a continuous data protection (CDP) process.
SvhdxSnapshotTypeWriteable 0x04	A snapshot created as a writable snapshot.

### 3 Protocol Details

#### 3.1 Client Details

### 3.1.1 Abstract Data Model

## 3.1.1.1 Global

The client MUST implement the following:

**ClientServiceVersion**: The highest protocol version supported by the client.

RequestIdentifier: An unsigned 64-bit value assigned by the client for an outgoing request.

# **3.1.2 Timers**

None.

### 3.1.3 Initialization

The client MUST initialize **ClientServiceVersion** to an implementation-specific<2> administratively configured protocol version.

**RequestIdentifier**: SHOULD<3> be initialized to an implementation-specific value.

# 3.1.4 Higher-Layer Triggered Events

# 3.1.4.1 Sending Any Outgoing Message

The Client MUST increment **RequestIdentifier** by 1, for every outgoing tunnel operation specified in section 2.2.2.

# 3.1.4.2 Application Requests Opening a Shared Virtual Disk

The application provides the following:

- The name of the server to connect to.
- The name of the share to connect to.
- InitiatorId to uniquely identify the initiator (optional).
- User credentials, an opaque implementation-specific entity that identifies the credentials to be used when authenticating to the remote server.
- The shared virtual disk file name to open.
- The flags for open command (optional).
- The Initiator host name.
- A Boolean that, if set, requires the shared virtual disk file to be opened as virtual SCSI disk.

Upon successful completion, the client MUST return a handle to the application.

If **ClientServiceVersion** is RSVD Protocol version 1, the client MUST construct an SVHDX\_OPEN\_DEVICE\_CONTEXT as specified in section <u>2.2.4.12</u>, or if **ClientServiceVersion** is RSVD Protocol version 2, the client MUST construct an SVHDX\_OPEN\_DEVICE\_CONTEXT\_V2 as specified in section <u>2.2.4.32</u> and MUST be initialized as follows:

- The InitiatorId field is set to the application-provided InitiatorId, if any. Otherwise, InitiatorId is set to zero.
- The HasInitiatorId field is set to TRUE if the application provides initiator Id; otherwise set to FALSE.
- The **Flags** field is set to application-provided flags.
- If the application requires the shared virtual disk file to be opened as a virtual SCSI disk device, the client MUST set **OriginatorFlags** to SVHDX\_ORIGINATOR\_PVHDPARSER. Otherwise, it MUST be set to SVHDX\_ORIGINATOR\_VHDMP.
- The OpenRequestId is set to RequestIdentifier.
- The InitiatorHostNameLength is set to InitiatorHostName length, in bytes.
- The InitiatorHostName is set to the application-provided initiator host name. If
   InitiatorHostNameLength is less than 126 bytes, the remaining bytes in the buffer MUST be set to zero.

The client MUST append ":SharedVirtualDisk" at the end of the file name.

The client MUST establish a connection to the server by calling the interface specified in [MS-SMB2] section 3.2.4.2 and providing the following input parameters:

- The application-provided server name.
- The application-provided share name.
- The application-provided user credentials.

If the connection is successfully established, the client MUST open the shared virtual disk file by calling the interface specified in [MS-SMB2] section 3.2.4.3 and provide the following input parameters:

- File name
- The SVHDX\_OPEN\_DEVICE\_CONTEXT Create context if ClientServiceVersion is RSVD Protocol version 1 or SVHDX\_OPEN\_DEVICE\_CONTEXT\_V2 Create context if ClientServiceVersion is RSVD Protocol version 2
- CreateOptions as specified in [MS-SMB2] section 2.2.13 with the FILE\_NO\_INTERMEDIATE\_BUFFERING bit set

If there are any errors from the preceding call, return the error to the caller.

### 3.1.4.3 Application Requests Closing a Shared Virtual Disk

The application provides:

• A handle to the **Open** identifying the shared virtual disk file.

The client MUST call the interface specified in [MS-SMB2] section 3.2.4.5 to close the open on the shared virtual disk file, supplying the application-provided handle.

# 3.1.4.4 Application Requests Reading From a Shared Virtual Disk

The application provides:

- A handle to the Open identifying the shared virtual disk file.
- The offset, in bytes, from the beginning of the virtual disk from which to read data.
- The number of bytes to read.
- The minimum number of bytes to be read (optional).
- The buffer to receive the data.

The client MUST call the interface specified in <a>[MS-SMB2]</a> section 3.2.4.6, supplying the application-provided parameters

# 3.1.4.5 Application Requests Writing To a Shared Virtual Disk

The application provides:

- A handle to the Open identifying a shared virtual disk file.
- The offset, in bytes, from the beginning of the virtual disk where data is written.
- The number of bytes to write.
- A buffer containing the bytes to be written.

The client MUST call the interface specified in [MS-SMB2] section 3.2.4.7, supplying the application-provided parameters.

# 3.1.4.6 Application Requests Virtual Disk File information

The application provides:

- A handle to the **Open** identifying a shared virtual disk file.
- The maximum output buffer size that it will accept.

The client MUST construct an SVHDX\_TUNNEL\_INITIAL\_INFO\_REQUEST structure, as specified in section 2.2.4.13 as follows:

The SVHDX\_TUNNEL\_OPERATION\_HEADER MUST be initialized as follows:

- The OperationCode MUST be set to RSVD TUNNEL GET INITIAL INFO OPERATION.
- The Status field MUST be set to zero.
- The RequestId field MUST be set to RequestIdentifier.

The client MUST call the interface specified in [MS-SMB2] section 3.2.4.20.11, supplying the following input parameters:

- Application-provided handle to identify the Open.
- Control code: FSCTL\_SVHDX\_SYNC\_TUNNEL\_REQUEST.
- SVHDX\_TUNNEL\_OPERATION\_HEADER structure as payload.
- The maximum output buffer size that it will accept.

# 3.1.4.7 Application Requests Connection Status

The application provides:

A handle to the Open identifying a shared virtual disk file.

The client MUST construct an SVHDX\_TUNNEL\_CHECK\_CONNECTION\_REQUEST structure, as specified in section 2.2.4.1 as follows:

The SVHDX\_TUNNEL\_OPERATION\_HEADER MUST be initialized as follows:

- The OperationCode MUST be set to RSVD TUNNEL CHECK CONNECTION STATUS OPERATION.
- The **Status** field MUST be set to zero.
- The RequestId field MUST be set to RequestIdentifier.

The client MUST call the interface specified in [MS-SMB2] section 3.2.4.20.11, supplying the following input parameters:

- Application-provided handle to identify the Open.
- Control code: FSCTL\_SVHDX\_SYNC\_TUNNEL\_REQUEST.
- SVHDX\_TUNNEL\_OPERATION\_HEADER as payload.

## 3.1.4.8 Application Requests Shared Virtual Disk Information

The application provides:

A handle to the Open identifying a shared virtual disk file.

The client MUST construct an SVHDX\_TUNNEL\_DISK\_INFO\_REQUEST structure, as specified in section 2.2.4.5, as follows:

The SVHDX TUNNEL OPERATION HEADER MUST be initialized as follows:

- The OperationCode MUST be set to RSVD\_TUNNEL\_GET\_DISK\_INFO\_OPERATION.
- The **Status** field MUST be set to zero.
- The **RequestId** field MUST be set to **RequestIdentifier**.

The client MUST call the interface specified in [MS-SMB2] section 3.2.4.20.11, supplying the following input parameters:

- Application-provided handle to identify the Open.
- Control code: FSCTL SVHDX SYNC TUNNEL REQUEST.
- SVHDX\_TUNNEL\_DISK\_INFO\_REQUEST packet, as payload.

## 3.1.4.9 Application Requests Execution of SCSI Command

The application provides:

- A handle to the Open identifying a shared virtual disk file.
- The ID of the initiator.
- The length of the SCSI CDB buffer, in bytes.

- The length of the sense information, in bytes.
- SrbFlags that indicate options about the SCSI request, as specified in section 2.2.4.7<4>.
- The SCSI CDB buffer.
- The length of any additional data (optional).
- Any additional data buffer (optional).

The client MUST construct an SVHDX\_TUNNEL\_SCSI\_REQUEST structure, as specified in section 2.2.4.7 as follows:

The SVHDX\_TUNNEL\_OPERATION\_HEADER MUST be initialized as follows:

- The OperationCode field is set to RSVD\_TUNNEL\_SCSI\_OPERATION.
- The **Status** is set to zero.
- The RequestId field MUST be set to RequestIdentifier.

The SVHDX\_TUNNEL\_SCSI\_REQUEST MUST be initialized as follows:

- The Length field is set to 36.
- The **Reserved1**, **Reserved2**, and **Reserved3** fields are set to zero.
- The CDBLength is set to the application-provided SCSI CDB length value.
- The SenseInfoExLength set to the application-provided sense info length value.
- The SrbFlags field MUST be set to the application-provided SrbFlags.
- If **SrbFlags** includes SRB\_FLAGS\_DATA\_OUT, set **Disposition** to 0x00. If **SrbFlags** includes SRB\_FLAGS\_DATA\_IN, **Disposition** SHOULD<5> be set to 0x01. If **SrbFlags** includes neither SRB\_FLAGS\_DATA\_OUT nor SRB\_FLAGS\_DATA\_IN, set **Disposition** to 0x02.
- The DataTransferLength field MUST be set to the application-provided value for the Length of the additional value. If the application doesn't provide a data buffer length, the client MUST set this field to zero.
- The **CDBBuffer** field MUST be set to the application-provided SCSI CDB buffer.
- The **DataBuffer** field MUST be set to the application-provided additional data buffer. If the application doesn't provide the buffer, the client MUST set this field to empty.

The client MUST call the interface specified in [MS-SMB2] section 3.2.4.20.11, supplying the following input parameters:

- Application-provided handle to identify the **Open**.
- Control code: FSCTL\_SVHDX\_SYNC\_TUNNEL\_REQUEST.
- SVHDX\_TUNNEL\_SCSI\_REQUEST structure as payload.

### 3.1.4.10 Application Requests to Validate a Shared Virtual Disk

The application provides:

A handle to the Open identifying a shared virtual disk file.

The client MUST construct an SVHDX\_TUNNEL\_VALIDATE\_DISK\_REQUEST structure, as specified in section 2.2.4.9, as follows:

The SVHDX\_TUNNEL\_OPERATION\_HEADER MUST be initialized as follows:

- The **OperationCode** field MUST be set to RSVD\_TUNNEL\_VALIDATE\_DISK\_OPERATION.
- The **Status** field MUST be set to zero.
- The RequestId field MUST be set to RequestIdentifier.

The client MUST call the interface specified in [MS-SMB2] section 3.2.4.20.11, supplying the following input parameters:

- Application-provided handle to identify the Open.
- Control code: FSCTL\_SVHDX\_SYNC\_TUNNEL\_REQUEST.
- SVHDX\_TUNNEL\_OPERATION\_HEADER structure as payload.

# 3.1.4.11 Application Requests Querying Shared Virtual Disk Support

The application provides:

A handle to the Open identifying a shared virtual disk file.

The client MUST send an SVHDX\_SHARED\_VIRTUAL\_DISK\_SUPPORT\_REQUEST, specified in section 2.2.4.15, by calling the interface specified in [MS-SMB2] section 3.2.4.20.11, supplying the following input parameters:

- Application-provided handle to identify the **Open**.
- Control code: FSCTL\_QUERY\_SHARED\_VIRTUAL\_DISK\_SUPPORT

## 3.1.4.12 Application Requests Creating a Virtual Machine Snapshot

The application provides:

- A handle to the **Open** identifying a VHD set.
- **TransactionId**: A GUID used to uniquely identify the start operation.
- Stage 1 value
- Stage 2 value
- Stage 3 value
- Stage 4 value
- Stage 5 value
- Stage 6 value
- **SnapshotId**: A GUID to uniquely identify the snapshot.

The client MUST construct an SVHDX\_META\_OPERATION\_START\_REQUEST structure, as specified in section <u>2.2.4.17</u> as follows:

The SVHDX\_TUNNEL\_OPERATION\_HEADER MUST be initialized as follows:

- The OperationCode MUST be set to RSVD\_TUNNEL\_META\_OPERATION\_START.
- The **Status** field MUST be set to zero.
- The RequestId field MUST be set to RequestIdentifier.

The SVHDX\_META\_OPERATION\_START\_REQUEST MUST be initialized as follows:

- The TransactionId field is set to the application-provided TransactionId.
- The OperationType field is set to SvhdxMetaOperationTypeCreateSnapshot.
- The **Data** field is set to an SVHDX\_META\_OPERATION\_CREATE\_SNAPSHOT structure initialized as follows:
  - The **SnapshotType** field is set to the snapshot type provided by the application
  - The **Stage1** field is set to the stage 1 value provided by the application.
  - The Stage2 field is set to the stage 2 value provided by the application.
  - The **Stage3** field is set to the stage 3 value provided by the application.
  - The **Stage4** field is set to the stage 4 value provided by the application.
  - The **Stage5** field is set to the stage 5 value provided by the application.
  - The **Stage6** field is set to the stage 6 value provided by the application.
  - The SnapshotId field is set to the SnapshotId provided by the application.
  - The ParametersPayloadSize is set to 0.

The client MUST call the interface specified in [MS-SMB2] section 3.2.4.20.11, supplying the following input parameters:

- Application-provided handle to identify the Open.
- Control code: FSCTL\_SVHDX\_ASYNC\_TUNNEL\_REQUEST.
- SVHDX\_META\_OPERATION\_START\_REQUEST structure as payload.

### 3.1.4.13 Application Requests Creating a CDP Snapshot

The application provides:

- A handle to the **Open** identifying a VHD set.
- **TransactionId**: A GUID used to uniquely identify the start operation.
- Stage 1 value
- Stage 2 value
- Stage 3 value
- Stage 4 value
- Stage 5 value
- Stage 6 value

- **SnapshotId**: A GUID to uniquely identify the snapshot.
- LogFileID: A GUID to identify the log file.

The client MUST construct an SVHDX\_META\_OPERATION\_START\_REQUEST structure, as specified in section 2.2.4.17, as follows:

The SVHDX\_TUNNEL\_OPERATION\_HEADER MUST be initialized as follows:

- The OperationCode MUST be set to RSVD TUNNEL META OPERATION START.
- The **Status** field MUST be set to zero.
- The RequestId field MUST be set to RequestIdentifier.

The SVHDX\_META\_OPERATION\_START\_REQUEST MUST be initialized as follows:

- The TransactionId field is set to the application-provided TransactionId.
- The OperationType field is set to SvhdxMetaOperationTypeCreateSnapshot.
- The **Data** field is set to an SVHDX\_META\_OPERATION\_CREATE\_SNAPSHOT structure initialized as follows:
  - The SnapshotType field is set to SvhdxSnapshotTypeCDP.
  - The **Stage1** field is set to the stage 1 value provided by the application.
  - The **Stage2** field is set to the stage 2 value provided by the application.
  - The **Stage3** field is set to the stage 3 value provided by the application.
  - The **Stage4** field is set to the stage 4 value provided by the application.
  - The **Stage5** field is set to the stage 5 value provided by the application.
  - The **Stage6** field is set to the stage 6 value provided by the application.
  - The **SnapshotId** field is set to the **SnapshotId** provided by application.
  - The **ParametersPayloadSize** field MUST be set to 0.
  - The CdpParameters field is set to an SVHDX\_META\_OPERATION\_CREATE\_CDP\_PARAMETER structure initialized as follows:
    - The LogFileNameOffset field is set to the byte offset of the LogFileName field.
    - The LogFileId field is set to the LogFileID provided by the application.
    - The **LogFileName** field MUST be set to an empty array.

The client MUST call the interface specified in [MS-SMB2] section 3.2.4.20.11, supplying the following input parameters:

- Application-provided handle to identify the Open.
- Control code: FSCTL\_SVHDX\_ASYNC\_TUNNEL\_REQUEST.
- SVHDX\_META\_OPERATION\_START\_REQUEST structure as payload.

# 3.1.4.14 Application Requests Optimizing the Target VHD set

The application provides:

- A handle to the **Open** identifying a VHD set.
- TransactionId: A GUID used to uniquely identify the start operation.

The client MUST construct an SVHDX\_META\_OPERATION\_START\_REQUEST structure, as specified in section 2.2.4.17, as follows:

The SVHDX TUNNEL OPERATION HEADER MUST be initialized as follows:

- The OperationCode MUST be set to RSVD TUNNEL META OPERATION START.
- The Status field MUST be set to zero.
- The RequestId field MUST be set to RequestIdentifier.

The SVHDX\_META\_OPERATION\_START\_REQUEST MUST be initialized as follows:

- The TransactionId field is set to the application-provided TransactionId.
- The OperationType field is set to SvhdxMetaOperationTypeOptimize.

The client MUST call the interface specified in [MS-SMB2] section 3.2.4.20.11, supplying the following input parameters:

- Application-provided handle to identify the Open.
- Control code: FSCTL\_SVHDX\_ASYNC\_TUNNEL\_REQUEST.
- SVHDX\_META\_OPERATION\_START\_REQUEST structure as payload.

### 3.1.4.15 Application Requests Extracting a Differencing VHD

The application provides:

- A handle to the Open identifying a VHD set.
- **TransactionId**: A GUID used to uniquely identify the start operation.
- **SnapshotType**: The type of the snapshot.
- Flags: The flags to be passed to the server.
- SnapshotId: A GUID to identify the first snapshot data to include in the extract.
- SnapshotLimitId: A GUID to identify the last snapshot data to include in the extract.
- DestinationVhdName: A VHD file name into which the difference data will be written.

The client MUST construct an SVHDX\_META\_OPERATION\_START\_REQUEST structure, as specified in section <u>2.2.4.17</u>, as follows:

The SVHDX\_TUNNEL\_OPERATION\_HEADER MUST be initialized as follows:

- The OperationCode MUST be set to RSVD\_TUNNEL\_META\_OPERATION\_START.
- The Status field MUST be set to zero.
- The RequestId field MUST be set to RequestIdentifier.

The SVHDX META OPERATION START REQUEST MUST be initialized as follows:

- The TransactionId field is set to the application-provided TransactionId.
- The OperationType field is set to SvhdxMetaOperationTypeExtractVHD.
- The Data field to a SVHDX\_META\_OPERATION\_EXTRACT structure initialized as follows:
  - The SnapshotType field is set to the SnapshotType provided by the application.
  - The **Flags** field is set to the **Flags** provided by the application.
  - The SourceSnapshotId field is set to the SnapshotId provided by the application.
  - The SourceLimitSnapshotId field is set to the SnapshotLimitId provided by the application.
  - The DestinationVhdNameLength field is set to the size, in bytes, of DestinationVhdName.
  - The **DestinationVhdName** field is set to the **DestinationVhdName** provided by the application.

The client MUST call the interface specified in [MS-SMB2] section 3.2.4.20.11, supplying the following input parameters:

- Application-provided handle to identify the Open.
- Control code: FSCTL\_SVHDX\_ASYNC\_TUNNEL\_REQUEST.
- SVHDX\_META\_OPERATION\_START\_REQUEST structure as payload.

### 3.1.4.16 Application Requests Converting a Virtual Disk to VHD Set

The application provides:

- A handle to the **Open** identifying a shared virtual disk.
- **TransactionId**: A GUID used to uniquely identify the start operation.
- DestinationVhdSetName: A name for the VHD set.

The client MUST construct an SVHDX\_META\_OPERATION\_START\_REQUEST structure, as specified in section <u>2.2.4.17</u>, as follows:

The SVHDX\_TUNNEL\_OPERATION\_HEADER MUST be initialized as follows:

- The OperationCode MUST be set to RSVD TUNNEL META OPERATION START.
- The **Status** field MUST be set to zero.
- The **RequestId** field MUST be set to **RequestIdentifier**.

The SVHDX\_META\_OPERATION\_START\_REQUEST MUST be initialized as follows:

- The TransactionId field is set to the application-provided TransactionId.
- The OperationType field is set to SvhdxMetaOperationTypeConvertToVHDSet.
- The **Data** field to a SVHDX\_META\_OPERATION\_CONVERT\_TO\_VHDSET structure is initialized as follows:

- The DestinationVhdSetNameLength field is set to the size, in bytes, of DestinationVhdSetName.
- The **DestinationVhdSetName** field is set to the **DestinationVhdSetName** provided by the application.

The client MUST call the interface specified in [MS-SMB2] section 3.2.4.20.11, supplying the following input parameters:

- Application-provided handle to identify the Open.
- Control code: FSCTL\_SVHDX\_ASYNC\_TUNNEL\_REQUEST.
- SVHDX\_META\_OPERATION\_START\_REQUEST structure as payload.

# 3.1.4.17 Application Requests Resizing a Shared Virtual Disk

The application provides:

- A handle to the Open identifying a shared virtual disk.
- **TransactionId**: A GUID used to uniquely identify the start operation.
- **NewVirtualSize**: The new size of the virtual disk.
- **ExpandOnly**: Indicates that a size can only be expanded.
- AllowUnsafeVirtualSize: Indicates that the new size can be less than the data on the disk.
- **ShrinkToMinimumSafeSize**: Indicates that the server automatically shrinks the virtual disk to the minimum safe virtual size.

The client MUST construct an SVHDX\_META\_OPERATION\_START\_REQUEST structure, as specified in section <u>2.2.4.17</u>, as follows:

The SVHDX TUNNEL OPERATION HEADER MUST be initialized as follows:

- The OperationCode MUST be set to RSVD\_TUNNEL\_META\_OPERATION\_START.
- The **Status** field MUST be set to zero.
- The RequestId field MUST be set to RequestIdentifier.

The SVHDX META OPERATION START REQUEST MUST be initialized as follows:

- The TransactionId field is set to the application-provided TransactionId.
- The OperationType field is set to SvhdxMetaOperationTypeResize.
- The Data field to a SVHDX\_META\_OPERATION \_RESIZE\_VIRTUAL\_DISK structure is initialized as follows:
  - The **NewSize** field is set to the **NewVirtualSize** provided by the application.
  - The ExpandOnly field is set to the ExpandOnly provided by the application.
  - The AllowUnsafeVirtualSize field is set to AllowUnsafeVirtualSize provided by the application.
  - The ShrinkToMinimumSafeSize field is set to ShrinkToMinimumSafeSize provided by the application.

The client MUST call the interface specified in [MS-SMB2] section 3.2.4.20.11, supplying the following input parameters:

- Application-provided handle to identify the Open.
- Control code: FSCTL\_SVHDX\_ASYNC\_TUNNEL\_REQUEST.
- SVHDX\_META\_OPERATION\_START\_REQUEST structure as payload.

### 3.1.4.18 Application Requests Querying Meta Operation Progress

The application provides:

- A handle to the **Open** identifying a VHD set.
- TransactionId: A GUID used to uniquely identify the query operation.

The client MUST construct an SVHDX\_META\_OPERATION\_QUERY\_PROGRESS\_REQUEST structure, as specified in section <u>2.2.4.23</u>, as follows:

The SVHDX\_TUNNEL\_OPERATION\_HEADER MUST be initialized as follows:

- The OperationCode MUST be set to RSVD TUNNEL META OPERATION QUERY PROGRESS.
- The **Status** field MUST be set to zero.
- The RequestId field MUST be set to RequestIdentifier.

The SVHDX META OPERATION QUERY PROGRESS REQUEST MUST be initialized as follows:

• The **TransactionId** is set to the **TransactionId** provided by the application.

The client MUST call the interface specified in <a>[MS-SMB2]</a> section 3.2.4.20.11, supplying the following input parameters:

- Application-provided handle to identify the Open.
- Control code: FSCTL\_SVHDX\_SYNC\_TUNNEL\_REQUEST.
- SVHDX\_META\_OPERATION\_QUERY\_PROGRESS\_REQUEST structure as payload.

## 3.1.4.19 Application Requests Querying VHD Set Information

The application provides:

- A handle to the **Open** identifying a VHD set.
- **InformationType**: The type of information requested by the client.
- **SnapshotType**: The type of the snapshot.
- **SnapshotId**: A snapshot identifier relevant to the handle.

The client MUST construct an SVHDX\_TUNNEL\_VHDSET\_QUERY\_INFORMATION\_REQUEST structure, as specified in section <u>2.2.4.22</u>, as follows:

The SVHDX\_TUNNEL\_OPERATION\_HEADER MUST be initialized as follows:

- The OperationCode MUST be set to RSVD\_TUNNEL\_VHDSET\_QUERY\_INFORMATION.
- The Status field MUST be set to zero.

The RequestId field MUST be set to RequestIdentifier.

The SVHDX\_TUNNEL\_VHDSET \_QUERY\_INFORMATION\_REQUEST MUST be initialized as follows:

- The **VHDSetInformationType** is set to the **InformationType** provided by the application.
- The **SnapshotType** field is set to the **SnapshotType** provided by the client.
- The **SnapshotId** field is set to the **SnapshotId** provided by the application.

The client MUST call the interface specified in <a>[MS-SMB2]</a> section 3.2.4.20.11, supplying the following input parameters:

- Application-provided handle to identify the Open.
- Control code: FSCTL SVHDX SYNC TUNNEL REQUEST.
- SVHDX\_TUNNEL\_VHDSET \_QUERY\_INFORMATION\_REQUEST structure as payload.

# 3.1.4.20 Application Requests Deleting a Snapshot

The application provides:

- A handle to the **Open** identifying a VHD set.
- **SnapshotID**: An identifier of the snapshot to be deleted.
- PersistReference: A flag; if TRUE, indicates that the snapshot reference is persisted.
- **SnapshotType**: Type of the snapshot.

The client MUST construct an SVHDX\_TUNNEL\_DELETE\_SNAPSHOT\_REQUEST structure, as specified in section 2.2.4.26, as follows:

The SVHDX TUNNEL OPERATION HEADER MUST be initialized as follows:

- The OperationCode MUST be set to RSVD\_TUNNEL\_DELETE\_SNAPSHOT
- The Status field MUST be set to zero.
- The RequestId field MUST be set to RequestIdentifier.

The SVHDX\_TUNNEL\_DELETE\_SNAPSHOT\_REQUEST MUST be initialized as follows:

- The **SnapshotId** is set to the **SnapshotID** provided by the application.
- The PersistReference is set to the PersistReference provided by the application.
- The **SnapshotType** is set to the **SnapshotType** provided by the application.

The client MUST call the interface specified in [MS-SMB2] section 3.2.4.20.11, supplying the following input parameters:

- Application-provided handle to identify the Open.
- Control code: FSCTL SVHDX SYNC TUNNEL REQUEST.
- SVHDX\_TUNNEL\_DELETE\_SNAPSHOT\_REQUEST structure as payload.

# 3.1.4.21 Application Requests Querying Change Tracking Parameters

The application provides:

• A handle to the **Open** identifying a VHD set.

The SVHDX\_TUNNEL\_OPERATION\_HEADER MUST be initialized as follows:

- The OperationCode MUST be set to RSVD\_TUNNEL\_CHANGE\_TRACKING\_GET\_PARAMETERS.
- The Status field MUST be set to zero.
- The **RequestId** field MUST be set to **RequestIdentifier**.

The client MUST call the interface specified in [MS-SMB2] section 3.2.4.20.11, supplying the following input parameters:

- Application-provided handle to identify the Open.
- Control code: FSCTL\_SVHDX\_SYNC\_TUNNEL\_REQUEST.
- SVHDX TUNNEL OPERATION HEADER structure as payload.

### 3.1.4.22 Application Requests Starting a Change Tracking

The application provides:

- A handle to the **Open** identifying a VHD set.
- **TransactionId**: A GUID used to uniquely identify the start operation.
- LogFileId: A GUID that identifies the log file.
- MaxLogFileSize: Maximum size of an active change-tracking log file.
- AppendData: A flag; if TRUE, indicates that the tracked data will be appended to the existing log file.

The client MUST construct an **SVHDX\_CHANGE\_TRACKING\_START\_REQUEST** structure, as specified in section <u>2.2.4.27</u>, as follows:

The SVHDX TUNNEL OPERATION HEADER MUST be initialized as follows:

- The OperationCode MUST be set to RSVD\_TUNNEL\_CHANGE\_TRACKING\_START.
- The Status field MUST be set to zero.
- The RequestId field MUST be set to RequestIdentifier.

The SVHDX\_CHANGE\_TRACKING\_START\_REQUEST MUST be initialized as follows:

- The TransactionId is set to the TransactionId provided by the application.
- The LogFileNameOffset field is set to the byte offset of the LogFileName field.
- The LogFileNameLength MUST be set to 0.
- The MaxLogFileSize is set to the MaxLogFileSize provided by the application.
- The AppendData is set to the AppendData provided by the application.
- The LogFileName MUST be set to an empty array.

The client MUST call the interface specified in [MS-SMB2] section 3.2.4.20.11, supplying the following input parameters:

- Application-provided handle to identify the Open.
- Control code: FSCTL\_SVHDX\_SYNC\_TUNNEL\_REQUEST.
- SVHDX\_CHANGE\_TRACKING\_START\_REQUEST structure as payload.

### 3.1.4.23 Application Requests Stopping Change Tracking

The application provides:

• A handle to the **Open** identifying a VHD set.

The SVHDX TUNNEL OPERATION HEADER MUST be initialized as follows:

- The OperationCode MUST be set to RSVD\_TUNNEL\_CHANGE\_TRACKING\_STOP
- The Status field MUST be set to zero.
- The **RequestId** field MUST be set to **RequestIdentifier**.

The client MUST call the interface specified in [MS-SMB2] section 3.2.4.20.11, supplying the following input parameters:

- Application-provided handle to identify the Open.
- Control code: FSCTL\_SVHDX\_SYNC\_TUNNEL\_REQUEST.
- SVHDX\_TUNNEL\_OPERATION\_HEADER structure as payload.

## 3.1.4.24 Application Requests Opening a Shared VHD Set using a Target Specifier

This section is applicable only if **ClientServiceVersion** is RSVD Protocol version 2.

The application provides:

- A path to the VHD set file, which needs to opened and mounted.
- SnapshotID, which is used to perform the Open operation.

The client MUST append ":SharedVirtualDisk" at the end of the file path.

The client MUST construct the FILE\_FULL\_EA\_INFORMATION structure, as specified in [MS-FSCC] section 2.4.15, and MUST be initialized as follows:

- **NextEntryOffset** MUST be set to 0.
- Flags MUST be set to FILE NEED EA.
- EaValueLength MUST be set to 24.
- **EaNameLength** MUST be set to 24.
- EaName MUST be set to "RSVD\_TARGET\_SPECIFIER\_EA".
- **EaValue** MUST be set to the RSVD\_BLOCK\_DEVICE\_TARGET\_SPECIFIER structure, as specified in section 2.2.4.34, with the following values set:
  - RsvdBlockDeviceTargetNamespace MUST be set to SnapshotId.

- TargetInformationSnapshot.SnapshotType MUST be set to SvhdxSnapshotTypeVM.
- TargetInformationSnapshot.SnapshotID MUST be set to the application-provided Snapshot ID.

The client MUST establish a connection to the server by calling the interface specified in [MS-SMB2] section 3.2.4.2 and providing the following input parameters:

- The application-provided server name.
- The application-provided share name.
- The application-provided user credentials.

If the connection is successfully established, the client MUST open the shared virtual disk file by calling the interface specified in [MS-SMB2] section 3.2.4.3 and provide the following input parameters:

- File path
- FILE\_FULL\_EA\_INFORMATION constructed above
- CreateOptions, as specified in [MS-SMB2] section 2.2.13, with the FILE NO INTERMEDIATE BUFFERING bit set

If there is an error from the preceding call, the client MUST return the error to the caller.

# 3.1.4.25 Application Requests to Apply Snapshot

The application provides:

- A handle to the **Open** identifying a VHD set.
- **SnapshotId**: A GUID to uniquely identify the snapshot.

The client MUST construct an SVHDX\_META\_OPERATION\_START\_REQUEST structure, as specified in section 2.2.4.17, as follows:

The SVHDX\_TUNNEL\_OPERATION\_HEADER MUST be initialized as follows:

- The OperationCode MUST be set to RSVD TUNNEL META OPERATION START.
- The **Status** field MUST be set to zero.
- The RequestId field MUST be set to RequestIdentifier.

The SVHDX\_META\_OPERATION\_START\_REQUEST MUST be initialized as follows:

- The TransactionId field is set to the application-provided TransactionId.
- The OperationType field is set to SvhdxMetaOperationTypeApplySnapshot.
- The Data field is set to an SVHDX\_APPLY\_SNAPSHOT\_PARAMS structure, initialized as follows:
  - The **SnapshotType** field is set to the snapshot type provided by the application.
  - The **SnapshotId** field is set to the SnapshotId provided by the application.

The client MUST call the interface specified in [MS-SMB2] section 3.2.4.20.11, supplying the following input parameters:

Application-provided handle to identify the Open.

- Control code: FSCTL\_SVHDX\_ASYNC\_TUNNEL\_REQUEST.
- SVHDX\_META\_OPERATION\_START\_REQUEST structure as payload.

## 3.1.4.26 Application Requests Querying List of Changed Ranges

The application provides:

- A handle to the **Open** identifying a VHD set.
- TargetSnapshotID: GUID representing the snapshot to query the changed ranges.
- **LimitSnapshotID**: GUID representing the snapshot to use as a baseline for getting the list of changed ranges.
- **ByteOffset**: The byte offset of the region in the virtual disk to guery changes for.
- **ByteLength**: The length, in bytes, of the region in the virtual disk to guery changes for.

The client MUST construct an SVHDX\_TUNNEL\_QUERY\_VIRTUAL\_DISK\_CHANGES\_REQUEST structure, as specified in section 2.2.4.37, as follows:

- TargetSnapshotID to application-provided TargetSnapshotID.
- LimitSnapshotID to application-provided LimitSnapshotID.
- SnapshotType to SvhdxSnapshotTypeVM.
- ByteOffset to application-provided ByteOffset.
- ByteLength to application-provided ByteLength.

The SVHDX TUNNEL OPERATION HEADER MUST be initialized as follows:

- The OperationCode MUST be set to RSVD TUNNEL OUERY VIRTUAL DISK CHANGES.
- The Status field MUST be set to zero.
- The RequestId field MUST be set to RequestIdentifier.

The client MUST call the interface specified in [MS-SMB2] section 3.2.4.20.11, supplying the following input parameters:

- Application-provided handle to identify the Open.
- Control code: FSCTL\_SVHDX\_SYNC\_TUNNEL\_REQUEST.
- SVHDX\_TUNNEL\_OPERATION\_HEADER structure as payload.
- The maximum output buffer size that it will accept.

### 3.1.4.27 Application Requests Querying Safe Size

The application provides:

A handle to the Open identifying a shared virtual disk file.

The SVHDX\_TUNNEL\_OPERATION\_HEADER MUST be initialized as follows:

The OperationCode field MUST be set to RSVD\_TUNNEL\_QUERY\_SAFE\_SIZE

- The **Status** field MUST be set to zero.
- The RequestId field MUST be set to RequestIdentifier.

The client MUST call the interface specified in [MS-SMB2] section 3.2.4.20.11, supplying the following input parameters:

- Application-provided handle to identify the Open.
- Control code: FSCTL SVHDX SYNC TUNNEL REQUEST.
- SVHDX\_TUNNEL\_OPERATION\_HEADER structure as payload.

### 3.1.5 Message Processing Events and Sequencing Rules

# 3.1.5.1 Receiving an Open Response

If the response returned by the SMB server indicates an error, the client MUST return the received error code to the calling application.

Otherwise, the client MUST return success and the **Open.ContextHandle** to the calling application.

## 3.1.5.2 Receiving a Close Response

The client MUST return the received response to the calling application.

## 3.1.5.3 Receiving a Read Response

If the response returned by the SMB server indicates success, the client MUST return success and the data buffer that contains the data read for the response.

If the response indicates an error, and the received error code is specified in the section 2.2.3, the server MUST return the error code.

If the received error code is not specified in the section 2.2.3, the client MUST construct an SVHDX\_TUNNEL\_SRB\_STATUS\_REQUEST structure as follows:

The SVHDX\_TUNNEL\_OPERATION\_HEADER MUST be initialized as follows:

- The OperationCode MUST be set to RSVD TUNNEL SRB STATUS OPERATION.
- The **Status** field MUST be set to zero.
- The RequestId field MUST be set to RequestIdentifier.

The SVHDX\_TUNNEL\_SRB\_STATUS\_REQUEST structure MUST be initialized as follows:

- The **StatusKey** field MUST be set to the least significant byte of the received error code.
- The Reserved field MUST be set to zero.

The client MUST call the interface specified in [MS-SMB2] section 3.2.4.20.11, supplying the following input parameters:

- Application-provided handle used for Read request.
- Control code: FSCTL SVHDX SYNC TUNNEL REQUEST.
- SVHDX\_TUNNEL\_SRB\_STATUS\_REQUEST structure as payload.

The client MUST return the received sense error response to the calling application.

3.1.5.4 Receiving a Write Response

If the response returned by the SMB server indicates success, the client MUST return success to the calling application.

If the response indicates an error, and the received error code is specified in the section 2.2.3, the server MUST return the error code.

If the received error code is not specified in the section 2.2.3, the client MUST construct an SVHDX\_TUNNEL\_SRB\_STATUS\_REQUEST structure as follows:

The SVHDX\_TUNNEL\_OPERATION\_HEADER MUST be initialized as follows:

The OperationCode MUST be set to RSVD\_TUNNEL\_SRB\_STATUS\_OPERATION.

The **Status** field MUST be set to zero.

The RequestId field MUST be set to RequestIdentifier.

The SVHDX\_TUNNEL\_SRB\_STATUS\_REQUEST structure MUST be initialized as follows:

The StatusKey field MUST be set to the least significant byte of the received error code.

The Reserved field MUST be set to zero.

The client MUST call the interface specified in [MS-SMB2] section 3.2.4.20.11, supplying the following input parameters:

Application-provided handle used for Write request.

Control code: FSCTL\_SVHDX\_SYNC\_TUNNEL\_REQUEST.

SVHDX\_TUNNEL\_SRB\_STATUS\_REQUEST structure as payload.

The client MUST return the received sense error response to the calling application.

3.1.5.5 Receiving a Virtual Disk File Information Response

If the response returned by the SMB server indicates an error, the client MUST return the received error code to the calling application.

If the response indicates success, the client MUST return success and the disk file information to the calling application.

3.1.5.6 Receiving a Connection Status Response

The client MUST return the received status code to the calling application.

3.1.5.7 Receiving a Shared Virtual Disk Information Response

If the response returned by the SMB server indicates an error, the client MUST return the received status code to the calling application.

If the response indicates success, the client MUST return success and the virtual disk information to the calling application.

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# 3.1.5.8 Receiving a SCSI Command Response

If the response returned by the SMB server indicates an error, the client MUST return the received error code to the calling application.

If the response indicates success, the client MUST return success and response data to the calling application.

### 3.1.5.9 Receiving a Validate Disk Response

The client MUST return the received status to the calling application.

## 3.1.5.10 Receiving a Shared Virtual Disk Support Response

The client MUST return the received status and response data to the calling application.

# 3.1.5.11 Receiving a Meta-Operation Start Response

The client MUST return the received status and response data to the calling application.

## 3.1.5.12 Receiving a Meta-Operation Progress Response

If the response returned by the SMB server indicates an error, the client MUST return the received error code to the calling application.

If the response indicates success, the client MUST return success and response data to the calling application.

# 3.1.5.13 Receiving a Query VHD Set Information Response

If the response returned by the SMB server indicates an error, the client MUST return the received error code to the calling application.

If the response indicates success, the client MUST return success and response data to the calling application.

### 3.1.5.14 Receiving a Delete Snapshot Response

The client MUST return the received status to the calling application.

## 3.1.5.15 Receiving a Change Tracking Parameter Response

If the response returned by the SMB server indicates an error, the client MUST return the received error code to the calling application.

If the response indicates success, the client MUST return success and response data to the calling application.

### 3.1.5.16 Receiving a Start Change Tracking Response

The client MUST return the received status to the calling application.

# 3.1.5.17 Receiving a Stop Change Tracking Response

The client MUST return the received status and response data to the calling application.

# 3.1.5.18 Receiving a Safe Size Response

The client MUST return the received status and response data to the calling application.

#### 3.1.6 Timer Events

None.

#### 3.1.7 Other Local Events

None.

#### 3.2 Server Details

#### 3.2.1 Abstract Data Model

#### 3.2.1.1 Global

The server MUST implement the following:

**IssVHDXSupported**: A Boolean value that, if set, indicates support for operations on shared virtual disks.

**OpenTable**: A table of opened shared virtual disk files, as specified in section <u>3.2.1.2</u>, indexed by InitiatorId.

**ServerServiceVersion**: The highest protocol version supported by the server.

## 3.2.1.2 Per Open

The server MUST implement the following:

**Open.InitiatorId**: A GUID that identifies the initiator of the open request.

**Open.LocalOpen**: An **Open** of a shared virtual disk file in the local resource that is used to perform the local operations, such as reading or writing, to the underlying object.

**Open.FileName:** A variable-length string that contains the Unicode file name supplied by the client for opening the shared virtual disk.

**Open.SenseErrorSequence**: An unsigned 8-bit identifier indicating the sense error sequence that counts from 0 through 255.

**Open.SenseErrorDataList**: A list of sense errors indexed by the **Open.SenseErrorSequence**, as defined in section 3.2.1.3.

Open.IsVirtualSCSIDisk: A Boolean that, if set, indicates that the file is a virtual SCSI disk.

**Open.CreateOptions**: The create options, in the format specified in [MS-SMB2] section 2.2.13.

If the server implements RSVD Protocol version 2, it MUST implement the following:

Open.IsVHDSet: A Boolean that, if set, indicates that the virtual disk is a VHD set.

**Open.PendingDelete**: A Boolean that, if set, indicates that the VHD set is marked to be deleted on close.

Open.VHDSSnapshotId: A GUID that identifies a snapshot in VHD set.

**Open.SnapshotList**: A list of snapshots, as specified in section <u>3.2.1.4</u>, for the file identified by the Open object.

### 3.2.1.3 Per SenseError in SenseErrorDataList

The server MUST implement the following:

SenseError.StatusKey: A 32-bit value assigned by the server for this sense error.

**SenseError.SrbStatus**: A 7-bit field indicating the status. This field MUST contain one of the values specified in section 2.2.5.

**SenseError.ScsiStatus**: An 8-bit value indicating the **SCSI** status that was returned by the shared virtual disk.

SenseError.SenseData: A pointer to the sense data.

## 3.2.1.4 Per Snapshot

**SnapshotId**: A GUID that identifies the snapshot.

**ChangeTracking**: A Boolean value that, if set, indicates change tracking is enabled for this snapshot.

#### **3.2.2 Timers**

During creation of a snapshot of type **SvhdxSnapshotTypeCDP**, after completion of the **SvhdxSnapshotStageBlockIO** stage, if the amount of time the client takes to issue **SvhdxSnapshotStageUnblockIO** is greater than a server-defined time-out<6>, the server MUST fail the snapshot creation operation.

### 3.2.3 Initialization

The server MUST enumerate the shares by calling **NetrShareEnum** as specified in [MS-SRVS] section 3.1.4.8. In the enumerated list, if any of the shares have shi\*\_type set to STYPE\_CLUSTER\_SOFS, as specified in [MS-SRVS] section 2.2.2.4, the server MUST set **IsSVHDXSupported** to TRUE.

When the server is started:

- If **IsSVHDXSupported** is TRUE, the server MUST notify the SMB server that it is ready to process client requests, as specified in [MS-SMB2] section 3.3.4.25.
- The server MUST initialize ServerServiceVersion to an implementation-specific
   administratively configured protocol version.

### 3.2.4 Higher-Layer Triggered Events

The server MUST process a command as specified in the following table.

Command	Control Code	Processing
Close	-	The server MUST process as specified in section $3.2.5.2$ .
READ	-	The server MUST process as specified in section $3.2.5.3$ .
WRITE	-	The server MUST process as specified in section $3.2.5.4$ .

Command	Control Code	Processing
LOCK	-	The server MUST fail the request with STATUS_LOCK_NOT_GRANTED.
QUERY_INFO	-	The server MUST process as specified in section $3.2.5.8$ .
SET_INFO	-	The server MUST process as specified in section $3.2.5.9$ .
IOCTL	FSCTL_SVHDX_SYNC_TUNNEL_REQUEST, FSCTL_SVHDX_ASYNC_TUNNEL_REQUEST	The server MUST process as specified in section 3.2.5.5.
IOCTL	FSCTL_QUERY_SHARED_VIRTUAL_DISK_ SUPPORT	The server MUST process as specified in section 3.2.5.6.
IOCTL	FSCTL_OFFLOAD_READ	The server MUST fail the request with STATUS_OFFLOAD_READ_FILE_NOT_SUPPORTED.
IOCTL	FSCTL_OFFLOAD_WRITE	The server MUST fail the request with STATUS_OFFLOAD_WRITE_FILE_NOT_SUPPORTED.
IOCTL	Any other IOCTL command	The server MUST pass the request to the underlying object store and return the response.

# 3.2.5 Message Processing Events and Sequencing Rules

For this section, if **IsSVHDXSupported** is FALSE, the server MUST fail the request with STATUS\_INVALID\_DEVICE\_REQUEST, as specified in [MS-ERREF].

### 3.2.5.1 Receiving an Open Request

If **Open.LocalOpen** is not NULL, the server MUST look up an **Open** in **OpenTable** where **Open.LocalOpen** matches the **Open** provided by the SMB2 server, as specified in [MS-SMB2] section 3.3.5.9.12. If an **Open** is found, the server MUST stop processing the request. If no **Open** is found, the server MUST fail the request with STATUS\_INVALID\_HANDLE.

If **Open.LocalOpen** is NULL, this indicates that the server received a request to open a shared virtual disk file.

If any of the following conditions is TRUE, the server MUST fail the request with STATUS BUFFER TOO SMALL:

- If the size of the received context is less than the size of the SVHDX\_OPEN\_DEVICE\_CONTEXT structure.
- If **ServerServiceVersion** is RSVD Protocol version 2, and the first 4 bytes, interpreted as little-endian, of the received context are equal to 0x00000002, and the size of the received context is less than size of the SVHDX OPEN DEVICE CONTEXT V2 structure.

If **ServerServiceVersion** is RSVD Protocol version 1 and if the first 4 bytes, interpreted as little-endian, of the received context is not 0x00000001, the server SHOULD<8> fail the request with STATUS\_INVALID\_PARAMETER.

If **ServerServiceVersion** is RSVD Protocol version 2 and if the first 4 bytes, interpreted as little-endian, of the received context are neither 0x00000001 nor 0x00000002, the server MUST fail the request with STATUS\_INVALID\_PARAMETER.

If **HasInitiatorId** is neither FALSE (0x00) nor TRUE (0x01), the server MUST fail the request with STATUS\_INVALID\_PARAMETER.

If the **OriginatorFlags** field in the request is set to SVHDX\_ORIGINATOR\_VHDMP, the server MUST search the **OpenTable** where **Open.FileName** matches the file name. If an **Open** is found, the server MUST fail the request with STATUS\_VHD\_SHARED. Otherwise, the server MUST pass the request to the underlying object store to open the file with read and write access permissions.

If the **OriginatorFlags** field in the request is not set to SVHDX\_ORIGINATOR\_VHDMP, the server SHOULD<9> pass the request to the virtual SCSI disk.

If the underlying object store or virtual SCSI disk returns a failure indicating that the attempted open operation failed, the server MUST return the received error code.

If the underlying object store or virtual SCSI disk returns success, the server MUST initialize the **Open** as follows:

- **Open.LocalOpen** is set to the **Open** of the object in the local resource received as part of the local create operation.
- If HasInitiatorId is 0x00, Open.InitiatorId is set to zero. Otherwise, it is set to InitiatorId.
- **Open.FileName** MUST be set to the application-provided file name.
- Open.SenseErrorDataList MUST be set to empty.
- **Open.SenseErrorSequence** MUST be set to 0x00.
- **Open.IsVirtualSCSIDisk** SHOULD<10> be set to TRUE if **OriginatorFlags** is not set to SVHDX\_ORIGINATOR\_VHDMP. Otherwise, it MUST be set to FALSE.
- Open.CreateOptions MUST be set to the CreateOptions received as part of the local create
  operation.
- **Open.IsVHDSet** MUST be set to TRUE if the application-provided file name has the .vhds extension. FALSE otherwise.
- Open.PendingDelete MUST be set to FALSE.

If **ServerServiceVersion** is RSVD Protocol version 1 and the first 4 bytes, interpreted as little-endian, of the received context is 0x00000001, the server MUST construct a SVHDX\_OPEN\_DEVICE\_CONTEXT\_RESPONSE structure by setting all the fields to their respective values received in the request, and the server SHOULD<11> return the constructed SVHDX\_OPEN\_DEVICE\_CONTEXT\_RESPONSE and STATUS\_SUCCESS to the client.

If **ServerServiceVersion** is RSVD Protocol version 2 and the first 4 bytes, interpreted as little-endian, of the received context are equal to 0x00000001, the server MUST construct an SVHDX\_OPEN\_DEVICE\_CONTEXT\_RESPONSE structure by setting all the fields to their respective values received in the request and MUST return the constructed SVHDX\_OPEN\_DEVICE\_CONTEXT\_RESPONSE and STATUS\_SUCCESS to the client.

If **ServerServiceVersion** is RSVD Protocol version 2 and the first 4 bytes, interpreted as little-endian, of the received context are equal to 0x00000002, the server MUST return the SVHDX\_OPEN\_DEVICE\_CONTEXT\_RESPONSE\_V2 structure constructed as below and STATUS\_SUCCESS to the client:

 If the virtual SCSI disk returns the VirtualSectorSize, PhysicalSectorSize, and VirtualSize fields, the server MUST construct an SVHDX\_OPEN\_DEVICE\_CONTEXT\_RESPONSE\_V2 structure as follows:

- The Version, HasInitiatorId, Reserved, InitiatorId, Flags, OriginatorFlags,
   OpenRequestId, InitiatorHostNameLength and InitiatorHostName fields are set to the value received in the request context.
- VirtualDiskPropertiesInitialized is set to TRUE.
- ServerServiceVersion is set to RSVD Protocol version 2.
- VirtualSectorSize is set to the value received from the virtual SCSI disk.
- PhysicalSectorSize is set to the value received from the virtual SCSI disk.
- VirtualSize is set to the value received from the virtual SCSI disk.
- Otherwise, the server MUST construct an SVHDX\_OPEN\_DEVICE\_CONTEXT\_RESPONSE\_V2 structure as follows:
  - The Version, HasInitiatorId, Reserved, InitiatorId, Flags, OriginatorFlags,
     OpenRequestId, InitiatorHostNameLength, and InitiatorHostName fields are set to the value received in the request context.
  - VirtualDiskPropertiesInitialized is set to FALSE.
  - **ServerServiceVersion** is set to RSVD Protocol version 2.
  - VirtualSectorSize, PhysicalSectorSize, and VirtualSize are set to zero.
- If change tracking was previously started on the server, the server MUST issue a start tracking request to the virtual SCSI disk in an implementation-specific manner, after a successful open but before any write requests are processed.

# 3.2.5.2 Receiving a Close Request

When the server receives a request to close a shared virtual disk file, the server MUST verify the following:

If the handle to the **Open** that is being closed is used by the client to send the create snapshot request and if the creation of a snapshot of type **SvhdxSnapshotTypeCDP** is incomplete, the server MUST abort the create snapshot operation. If the operation is aborted after the **SvhdxSnapshotStageUnblockIO** stage is completed, the server MUST set **Snapshot.ChangeTracking** to FALSE and MUST fail the change tracking with error STATUS\_FILE\_FORCED\_CLOSED.

The server MUST locate the **Open** in the **OpenTable** where **Open.LocalOpen** matches the **Open** provided by the SMB server, as specified in [MS-SMB2] section 3.3.4.17.

The server MUST close the underlying object store open.

If **Open.PendingDelete** is TRUE, the server MUST pass the request to the underlying object store to delete the file.

If the underlying object store returns success, the server MUST remove the **Open** from the **OpenTable**, free the **Open** object, and MUST return STATUS\_SUCCESS to the client.

Otherwise, the server MUST return the received error code to the client.

# 3.2.5.3 Receiving a Read Request

When the server receives a read request, the server MUST locate the Open in the OpenTable, where Open.LocalOpen matches the Open provided by the SMB2 server, as specified in <a href="MS-SMB2">[MS-SMB2]</a> section 3.2.5.12.

If no **Open** is found, the server MUST fail the request with the STATUS\_INVALID\_PARAMETER code.

If the **Open** is found, **Open.IsVirtualSCSIDisk** is true, and **Open.InitiatorId** is zero, the server MUST process as follows:

- If **Open.SenseErrorSequence** is 0xFF, the server MUST reset **Open.SenseErrorSequence** to 0x00. Otherwise, the server MUST increment the **Open.SenseErrorSequence** by 0x01.
- The server MUST update SenseError in Open.SenseErrorDataList at index Open.SenseErrorSequence as follows:
  - SenseError.StatusKey MUST be set to Open.SenseErrorSequence.
  - Update other fields of SenseError with an implementation-specific<12> value.
- The server MUST return the error (STATUS\_SVHDX\_ERROR\_STORED | SenseError.StatusKey) to the client.

If the **Open** is found and the FILE\_NO\_INTERMEDIATE\_BUFFERING bit is not set in **Open.CreateOptions**, the server MUST fail the request with the STATUS\_NOT\_SUPPORTED code.

If the **Open** is found and **Open.IsVirtualSCSIDisk** is FALSE, the server MUST issue a read to the underlying object store; otherwise the server MUST pass the request to the virtual SCSI disk in an implementation-specific manner.

If the underlying object store or virtual SCSI disk indicates the read is successful, the server MUST return the read data buffer and success to the client.

If the underlying object store or virtual SCSI disk indicates that the read failed, and the received error code is specified in the section 2.2.3, the server MUST return the error code.

If the underlying object store is the virtual SCSI disk and the error code is not specified in section 2.2.3, the server MUST store the received sense data and return an error as follows:

- If **Open.SenseErrorSequence** is 0xFF, the server MUST reset **Open.SenseErrorSequence** to 0x00. Otherwise, the server MUST increment the **Open.SenseErrorSequence** by 0x01.
- The server MUST update SenseError in Open.SenseErrorDataList at index Open.SenseErrorSequence as follows:
  - SenseError.StatusKey MUST be set to Open.SenseErrorSequence.
  - **SenseError.SrbStatus** MUST be set to one of the values provided by the virtual SCSI disk, as specified in section 2.2.5.
  - SenseError.ScsiStatus MUST be set to the value provided by the virtual SCSI disk.
  - SenseError.SenseData MUST be set to the data provided by the virtual SCSI disk.<13>
- The server MUST return the error (STATUS\_SVHDX\_ERROR\_STORED | SenseError.StatusKey) to the client.

# 3.2.5.4 Receiving a Write Request

When the server receives a write request, the server MUST locate the **Open** in the **OpenTable** where **Open.LocalOpen** matches the **Open** provided by the SMB2 server, as specified in [MS-SMB2] section 3.3.5.13.

If no **Open** is found, the server MUST fail the request with the STATUS\_INVALID\_PARAMETER error code.

If the **Open** is found, **Open.IsVirtualSCSIDisk** is true, and **Open.InitiatorId** is zero, the server MUST process as follows:

- If **Open.SenseErrorSequence** is 0xFF, the server MUST reset **Open.SenseErrorSequence** to 0x00. Otherwise, the server MUST increment the **Open.SenseErrorSequence** by 0x01.
- The server MUST update SenseError in Open.SenseErrorDataList at index
   Open.SenseErrorSequence as follows:
  - SenseError.StatusKey MUST be set to Open.SenseErrorSequence.
  - Update other fields of SenseError with an implementation-specific<14> value.
- The server MUST return the error (STATUS\_SVHDX\_ERROR\_STORED | SenseError.StatusKey) to the client.

If the **Open** is found and the FILE\_NO\_INTERMEDIATE\_BUFFERING bit is not set in **Open.CreateOptions**, the server MUST fail the request with the STATUS NOT SUPPORTED code.

If the **Open** is found and **Open.IsVirtualSCSIDisk** is FALSE, the server MUST issue a write to the underlying object store; otherwise the server MUST pass the request to the virtual SCSI disk in an implementation-specific manner.

If the underlying object store or virtual SCSI disk indicates that the write was successful, the server MUST return success to the client.

If the underlying object store or virtual SCSI disk indicates the write was denied due to a **persistent reservation** conflict, as described in <a href="[SPC-3]">[SPC-3]</a> section 5.6, the server MUST fail the request with error STATUS\_SVHDX\_RESERVATION\_CONFLICT.

Otherwise, if the received error code is specified in the section 2.2.3, the server MUST return the error code.

If the underlying object store is the virtual SCSI disk and the error code is not specified in section 2.2.3, the server MUST store the received sense data and return an error as follows:

- If **Open.SenseErrorSequence** is 0xFF, the server MUST reset **Open.SenseErrorSequence** to 0x00. Otherwise, the server MUST increment the **Open.SenseErrorSequence** by 0x01.
- The server MUST update SenseError in Open.SenseErrorDataList at index Open.SenseErrorSequence as follows:
  - SenseError.StatusKey MUST be set to Open.SenseErrorSequence.
  - **SenseError.SrbStatus** MUST be set to one of the values provided by the virtual SCSI disk, as specified in section <u>2.2.5</u>.
  - SenseError.ScsiStatus MUST be set to the value provided by the virtual SCSI disk.
  - SenseError.SenseData MUST be set to the data provided by the virtual SCSI disk.<15>

The server MUST return the error (STATUS\_SVHDX\_ERROR\_STORED | SenseError.StatusKey) to the client.

# 3.2.5.5 Receiving a Tunnel Operation Request

When the server receives a tunnel operation request, the server MUST locate the **Open** in the **OpenTable** where **Open.LocalOpen** matches the **Open** provided by the SMB2 server, as specified in [MS-SMB2] section 3.3.5.13.

If no **Open** is found, the server MUST fail the request and return STATUS\_INVALID\_PARAMETER.

If the size of the tunnel operation request including the header is less than 16, the server MUST fail the request with STATUS\_BUFFER\_TOO\_SMALL.

If an **Open** is found and if the bitwise AND of the **OperationCode** value and 0xFF000000 is not equal to 0x02000000, the server MUST fail the request with STATUS\_INVALID\_DEVICE\_REQUEST.

If any of the following conditions is TRUE, the server MUST construct the SVHDX\_TUNNEL\_OPERATION\_HEADER with the **OperationCode** and **RequestId** fields set to the value received in the request, and with the Status field set as follows.

- If **ServerServiceVersion** is equal to RSVD Protocol version 1(0x00000001) and the bitwise AND of the **OperationCode** value and 0x00FFF000 is not equal to 0x00001000, the **Status** field MUST be set to STATUS\_SVHDX\_VERSION\_MISMATCH.
- If ServerServiceVersion is equal to RSVD Protocol version 2(0x00000002) and the bitwise AND of the OperationCode value and 0x00FFF000 is not equal to 0x00001000 or 0x00002000, the Status field MUST be set to STATUS\_SVHDX\_VERSION\_MISMATCH.
- If the OperationCode value does not exist in the tunnel operation list as specified in section 2.2.2, the Status field MUST be set to STATUS\_INVALID\_PARAMETER.

The server MUST return SVHDX\_TUNNEL\_OPERATION\_HEADER to the client.

Processing for a specific **OperationCode** is as specified in subsequent sections.

## 3.2.5.5.1 Receiving a Virtual Disk File Information Request

When the server receives a request **OperationCode** equal to RSVD\_TUNNEL\_GET\_INITIAL\_INFO\_OPERATION, the request handling proceeds as follows:

If **MaxOutputResponse** is less than 40 (size of SVHDX\_TUNNEL\_OPERATION\_HEADER + size of SVHDX\_TUNNEL\_INITIAL\_INFO\_RESPONSE), the server MUST fail the request with STATUS BUFFER TOO SMALL.

The server MUST issue a file information request to the virtual SCSI disk in an implementationsspecific manner, and update the received response to the client.

If the virtual SCSI disk indicates an error, the server MUST return the SVHDX\_TUNNEL\_OPERATION\_HEADER to the client initialized as below:

- The **OperationCode** field MUST be set to the **OperationCode** value of the request.
- The Status field MUST be set to the error returned by the Virtual SCSI disk.
- The **RequestId** field MUST be set to the value received in the request.

Otherwise, the server MUST construct an SVHDX\_TUNNEL\_INITIAL\_INFO\_RESPONSE structure as specified in section 2.2.4.14 with the following values:

The SVHDX TUNNEL OPERATION HEADER MUST be initialized as follows:

- OperationCode MUST be set to the OperationCode value of the request.
- Status MUST be set to STATUS\_SUCCESS
- The RequestId field MUST be set to the value received in the request.

The SVHDX\_TUNNEL\_INITIAL\_INFO\_RESPONSE structure MUST be initialized as follows:

- ServerVersion MUST be set to the ServerServiceVersion.
- SectorSize MUST set to the sector size of the shared virtual disk received from the virtual SCSI disk.
- PhysicalSectorSize MUST set to the physical sector size of the shared virtual disk received from the virtual SCSI disk.
- VirtualSize MUST set to the virtual size of the shared virtual disk received from the virtual SCSI disk.

The response MUST be sent to the client.

# 3.2.5.5.2 Receiving a Connection Status Request

When the server receives a request with an **OperationCode** equal to RSVD\_TUNNEL\_CHECK\_CONNECTION\_STATUS\_OPERATION, the request handling proceeds as follows:

If **MaxOutputResponse** is less than 16 (size of SVHDX\_TUNNEL\_OPERATION\_HEADER), the server MUST fail the request with STATUS\_BUFFER\_OVERFLOW.

The server MUST construct an SVHDX\_TUNNEL\_CHECK\_CONNECTION\_RESPONSE structure as specified in section 2.2.4.2 with the following values:

The SVHDX\_TUNNEL\_OPERATION\_HEADER MUST be initialized as follows:

- OperationCode MUST be set to the OperationCode value of the request.
- Status MUST be set to STATUS SUCCESS.
- The RequestId field MUST be set to the value received in the request.

The response MUST be sent to the client.

# 3.2.5.5.3 Receiving a Status Request for a Prior Operation

When the server receives a request with **OperationCode** equal to RSVD TUNNEL SRB STATUS OPERATION, the request handling proceeds as follows:

If **MaxOutputResponse** is less than 40 (size of SVHDX\_TUNNEL\_OPERATION\_HEADER + size of SVHDX\_TUNNEL\_SRB\_STATUS\_RESPONSE), the server MUST fail the request with STATUS INVALID PARAMETER.

If **SenseInfoExLength** field of the request is not equal to the size of the **SenseDataEx**, the server MUST fail the request with STATUS\_INVALID\_PARAMETER.

The server MUST locate the **SenseError** in **Open.SenseErrorDataList** where **SenseError.StatusKey** matches the **StatusKey** of the request.

If SenseError is not found, the server MUST return STATUS SVHDX ERROR NOT AVAILABLE.

If **SenseError** is found, the server MUST construct the SVHDX\_TUNNEL\_SRB\_STATUS\_RESPONSE structure as specified in section 2.2.4.4 with the following values:

The SVHDX\_TUNNEL\_OPERATION\_HEADER MUST be initialized as follows:

- The OperationCode field MUST be set to the OperationCode value of the request.
- The Status field MUST be set to STATUS\_SUCCESS.
- The RequestId field MUST be set to the value received in the request.

The SVHDX\_TUNNEL\_SRB\_STATUS\_RESPONSE packet MUST be initialized as follows:

- The StatusKey field MUST be set to the value received in the request.
- The SenseInfoAutoGenerated field MUST be set to the value received from the virtual SCSI disk.
- The SrbStatus field MUST be set to SenseError.SrbStatus.
- The ScsiStatus field MUST be set to SenseError.ScsiStatus.
- The SenseInfoExLength field MUST be set to the length of the SenseError.SenseData, in bytes.
- The SenseDataEx field MUST be set to SenseError.SenseData.

The response MUST be sent to the client.

# 3.2.5.5.4 Receiving a Shared Virtual Disk Information Request

When the server receives a request with an **OperationCode** equal to RSVD\_TUNNEL\_GET\_DISK\_INFO\_OPERATION, the request handling proceeds as follows:

If **MaxOutputResponse** is less than 72 (size of SVHDX\_TUNNEL\_OPERATION\_HEADER + size of SVHDX\_TUNNEL\_DISK\_INFO\_RESPONSE), the server MUST fail the request with STATUS\_BUFFER\_TOO\_SMALL.

The server MUST issue a query disk information request to the virtual SCSI disk in an implementationspecific manner and update the received response to the client.

If the virtual SCSI disk indicates an error, the server MUST return the SVHDX\_TUNNEL\_OPERATION\_HEADER to the client, initialized as follows:

- The **OperationCode** field MUST be set to the **OperationCode** value of the request.
- The Status field MUST be set to the error returned by the virtual SCSI disk.
- The RequestId field MUST be set to the value received in the request.

Otherwise, the server MUST construct an SVHDX\_TUNNEL\_DISK\_INFO\_RESPONSE structure as specified in section 2.2.4.4 with the following values:

The SVHDX TUNNEL OPERATION HEADER MUST be initialized as follows:

- OperationCode MUST be set to the OperationCode value of the request.
- Status MUST be set to STATUS SUCCESS.
- The RequestId field MUST be set to the value received in the request.

The SVHDX TUNNEL DISK INFO RESPONSE structure MUST be initialized as follows:

- **DiskType** MUST be set to the value received from the virtual SCSI disk, as specified in section 2.2.4.6.
- If the server implements RSVD Protocol version 2 and Open.IsVHDSet is TRUE, DiskFormat MUST be set to VIRTUAL\_STORAGE\_TYPE\_DEVICE\_VHDSET. Otherwise, DiskFormat MUST be set to VIRTUAL\_STORAGE\_TYPE\_DEVICE\_VHDX.
- BlockSize MUST be set to an implementation-specific<16> number of bytes received from the virtual SCSI disk.
- If the virtual SCSI disk has a linked disk, LinkageID MUST be set to the unique identifier of the linked disk. Otherwise, LinkageID SHOULD be set to an implementation-specific<17> value.
- **IsMounted** MUST be set to TRUE if the virtual SCSI disk indicates that the disk is ready for read or write operations. Otherwise, the server MUST set this field to FALSE.
- **Is4kAligned** MUST be set to TRUE if the virtual SCSI disk indicates that the disk sectors are aligned to 4 kilobytes. Otherwise, the server MUST set this field to FALSE.
- Reserved MUST be set to zero.
- FileSize MUST be set to the physical size of the virtual SCSI disk on the underlying storage.
- VirtualDiskId MUST be set to the virtual disk GUID received from the virtual SCSI disk.

The response MUST be sent to the client.

# 3.2.5.5.5 Receiving a SCSI Command Request

When the server receives a request with an **OperationCode** equal to RSVD TUNNEL SCSI OPERATION, the request handling proceeds as follows:

If **MaxOutputResponse** is less than 52 (size of SVHDX\_TUNNEL\_OPERATION\_HEADER + size of SVHDX\_TUNNEL\_SCSI\_RESPONSE), the server MUST fail the request with STATUS\_INVALID\_PARAMETER.

If any of the following conditions is TRUE, the server MUST generate an error response as specified below:

- Size of the request is less than 36
- Length field of the request is not equal to 36 bytes
- **SenseInfoExLength** is greater than (size of CDBBuffer + 4)
- CDBLength is greater than the size of CDBBuffer
- Disposition is 0x01 and DataTransferLength is less than the size of the DataBuffer field
- Open.InitiatorId is zero

The SVHDX\_TUNNEL\_OPERATION\_HEADER MUST be initialized. **OperationCode** and **RequestId** fields MUST be set to the values received in the request. If **Open.InitiatorId** is zero, the **Status** field MUST be set to STATUS\_INVALID\_HANDLE. Otherwise, the **Status** field MUST be set to STATUS\_INVALID\_PARAMETER. The SVHDX\_TUNNEL\_SCSI\_RESPONSE MUST be set to the data received in SVHDX\_TUNNEL\_SCSI\_REQUEST structure of the request. The server MUST send SVHDX\_TUNNEL\_OPERATION\_HEADER and SVHDX\_TUNNEL\_SCSI\_RESPONSE to the client and SHOULD NOT<18> add additional data to the response.

Otherwise, the server MUST issue a SCSI CDB command to the virtual SCSI disk in an implementation-specific manner.

If **Disposition** is 0 and the data returned by the virtual SCSI disk is greater than **DataTransferLength** in the request, the server MUST fail the request with STATUS\_INVALID\_PARAMETER.

If the virtual SCSI disk indicates an error, the server MUST return the SVHDX TUNNEL OPERATION HEADER to the client initialized as below:

- The OperationCode field MUST be set to the OperationCode value of the request.
- The Status field MUST be set to one of the error codes specified in section 2.2.3.
- The **RequestId** field MUST be set to the value received in the request.

Otherwise, the server MUST construct a SVHDX\_TUNNEL\_SCSI\_RESPONSE structure as specified in section 2.2.4.8 with the following values:

The SVHDX TUNNEL OPERATION HEADER MUST be initialized as follows:

- The OperationCode field MUST be set to the OperationCode value of the request.
- The **Status** field MUST be set to zero.
- The RequestId field MUST be set to the value received in the request.

The SVHDX\_TUNNEL\_SCSI\_RESPONSE MUST be initialized as follows:

- The SenseInfoAutoGenerated field MUST be set to the value received from the virtual SCSI disk.
- The SrbStatus field MUST be set to one of the values specified in section 2.2.5.
- The SCSIStatus field MUST be set to value received from the virtual SCSI disk.
- The **Disposition** field MUST be set to the value received in the request.
- SrbFlags MUST be set to the value received in the request.
- The **CDBLength** field MUST be set to the value received in the request.
- The **Length** field is set to the size, in bytes, of the SVHDX\_TUNNEL\_SCSI\_RESPONSE structure that is constructed following the syntax specified in section 2.2.4.8.
- The **SenseInfoExLength** field is set to the **SenseInfoExLength** received in the request.
- The SenseDataEx field is set to the sense information received from the virtual SCSI disk<19>, if any.
- The **DataTransferLength** is set to the length of the additional data returned by the virtual SCSI disk, if any.
- The **DataBuffer** is set to the additional data returned by the virtual SCSI disk, if any.

The response MUST be sent to the client.

#### 3.2.5.5.6 Receiving a Validate Disk Request

When the server receives a request with an **OperationCode** equal to RSVD\_TUNNEL\_VALIDATE\_DISK\_OPERATION, the request handling proceeds as follows:

If **MaxOutputResponse** is less than 17 (size of SVHDX\_TUNNEL\_OPERATION\_HEADER + size of SVHDX\_TUNNEL\_VALIDATE\_DISK\_RESPONSE), the server MUST fail the request with STATUS BUFFER TOO SMALL.

The server MUST issue a validate request to the virtual SCSI disk in an implementation-specific manner.

The server MUST construct an SVHDX\_TUNNEL\_VALIDATE\_DISK\_RESPONSE structure, as specified in section 2.2.4.10, with the following values:

The SVHDX TUNNEL OPERATION HEADER MUST be initialized as follows:

OperationCode MUST be set to the OperationCode value of the request.

If the virtual SCSI disk indicates command success, the **Status** MUST be set to STATUS\_SUCCESS; otherwise, it MUST be set to the error code provided by the virtual SCSI disk.

The **RequestId** field MUST be set to the value received in the request.

The **IsValidDisk** field MUST be set to TRUE if the virtual SCSI disk indicates that the disk is valid. Otherwise, the server MUST set this field to FALSE.

The response MUST be sent to the client.

#### 3.2.5.5.7 Receiving a Start Meta-Operation Request

When the server receives a request with an **OperationCode** equal to RSVD\_TUNNEL\_META\_OPERATION\_START, the request handling proceeds as follows:

If the OperationType is not one of SvhdxMetaOperationTypeCreateSnapshot, SvhdxMetaOperationTypeOptimize, SvhdxMetaOperationTypeExtractVHD, SvhdxMetaOperationTypeConvertToVHDSet, SvhdxMetaOperationTypeResize, or SvhdxMetaOperationTypeApplySnapshot, the operation is failed with the STATUS\_INVALID\_PARAMETER.

Processing for a specific **OperationType** is specified in the following subsections.

#### 3.2.5.5.7.1 Receiving a Create Snapshot Request

When the server receives a request with **OperationType** set to **SvhdxMetaOperationTypeCreateSnapshot**, the request processing proceeds as follows:

If the input buffer is less than 92 (size of SVHDX\_TUNNEL\_OPERATION\_HEADER + size of the fixed part of SVHDX\_META\_OPERATION\_START\_REQUEST + size of the fixed part of SVHDX\_META\_OPERATION\_CREATE\_SNAPSHOT) + **ParametersPayloadSize** bytes, the server MUST fail the request with STATUS\_BUFFER\_TOO\_SMALL.

If the **SnapshotType** is not **SvhdxSnapshotTypeVM** or **SvhdxSnapshotTypeCDP** or **SvhdxSnapshotTypeWriteable**, the operation is failed with STATUS\_INVALID\_PARAMETER\_1.

If **Stage1** is **SvhdxSnapshotStageInvalid**, the operation is failed with STATUS INVALID PARAMETER 2.

If any of the following conditions is TRUE, the server MUST fail the operation with STATUS\_INVALID\_PARAMETER\_5:

- If the Flags field is nonzero and SnapshotType is not SvhdxSnapshotTypeVM
- If the Flags field is set to SVHDX\_SNAPSHOT\_DISK\_FLAG\_ENABLE\_CHANGE\_TRACKING and Stage1 is not SvhdxSnapshotStageInitialize.
- If SnapshotType is SvhdxSnapshotTypeVM and the Flags field is a value other than 0 or SVHDX\_SNAPSHOT\_DISK\_FLAG\_ENABLE\_CHANGE\_TRACKING.

Each **Stage2** through **Stage6** is processed as follows:

- If the current stage value is not **SvhdxSnapshotStageInvalid** and is less than or equal to the previous stage value, the server MUST fail the request with STATUS INVALID PARAMETER 4.
- If the current state value is not SvhdxSnapshotStageInvalid and if the previous stage value is equal to SvhdxSnapshotStageInvalid, the server MUST fail the request with STATUS\_INVALID\_PARAMETER\_3.

If the **LogFileNameLength** field is nonzero, the server MUST fail the request with STATUS\_INVALID\_PARAMETER\_6.

If **Open.IsVHDSet** is FALSE, the server MUST fail the request with STATUS INVALID DEVICE REQUEST.

The server MUST issue a Create Snapshot request to the virtual SCSI disk in an implementationspecific manner.

If the snapshot creation operation of type **SvhdxSnapshotTypeCDP** is aborted after completion of stage **SvhdxSnapshotStageBlockIO**, due to a server-defined time-out as specified in section <u>3.2.2</u>, the server MUST fail any optional, subsequent stages of the snapshot creation with STATUS\_IO\_TIMEOUT.

If the snapshot creation of type **SvhdxSnapshotTypeCDP** fails after completion of stage **SvhdxSnapshotStageUnblockIO**, the server MUST set **Snapshot.ChangeTracking** to FALSE and MUST fail the change tracking with error STATUS\_FILE\_FORCED\_CLOSED.

If the virtual SCSI disk returned STATUS\_SUCCESS, the server MUST initialize a Snapshot object and MUST set **Snapshot.SnapshotId** to the **SnapshotId** field in the request and **Snapshot.ChangeTracking** to TRUE if the

SVHDX\_SNAPSHOT\_DISK\_FLAG\_ENABLE\_CHANGE\_TRACKING bit is set in the **Flags** field in the request. The server MUST insert the Snapshot object into **Open.SnapshotList**.

The server MUST construct an SVHDX\_TUNNEL\_OPERATION\_HEADER structure as specified in section 2.2.4.11, with the following values:

- The OperationCode field MUST be set to the OperationCode value of the request.
- The RequestId field MUST be set to the value received in the request.
- The Status field MUST be set to the status returned by the virtual SCSI disk.

If the **SnapshotType** is **SvhdxSnapshotTypeCDP**, the server MUST append an **SVHDX\_META\_OPERATION\_REPLY** structure to the SVHDX\_TUNNEL\_OPERATION\_HEADER in response, as specified in section 2.2.4.18, with the following values:

• The **ChangeTrackingErrorStatus** field MUST be set to one of the change-tracking status values specified in section 2.2.4.18; this value is received from the virtual SCSI disk, indicating any error in the change tracking.

The server MUST send the response to the client.

#### 3.2.5.5.7.2 Receiving an Optimize Request

When the server receives a request in which the **OperationType** is **SyhdxMetaOperationTypeOptimize**, the request processing proceeds as follows:

The server MUST issue a VHD set optimize request to the virtual SCSI disk in an implementationspecific manner.

The server MUST set the **Status** field of the SVHDX\_TUNNEL\_OPERATION\_HEADER as STATUS\_SUCCESS, and return the header to the client.

# 3.2.5.5.7.3 Receiving an ExtractVHD Request

When the server receives a request in which the **OperationType** is **SvhdxMetaOperationTypeExtractVHD**, the request processing proceeds as follows:

If the input buffer is less than 88 (size of SVHDX\_TUNNEL\_OPERATION\_HEADER + size of the fixed part of SVHDX\_META\_OPERATION\_START\_REQUEST + size of the fixed part of SVHDX\_META\_OPERATION\_EXTRACT) + **DestinationVhdNameLength** bytes, the server MUST fail the request with STATUS BUFFER TOO SMALL.

If any of the following conditions is TRUE, the server MUST fail the request with STATUS\_INVALID\_PARAMETER:

- DestinationVhdNameLength is 0.
- The UNICODE string in the **DestinationVhdName** contains any character that is not allowed for a file name, as specified in [MS-FSCC] section 2.1.5.
- The **SourceSnapshotId** is not a valid snapshot ID.
- The SourceLimitSnapshotId is nonzero and is not a valid snapshot ID.

If any of the following conditions is TRUE, the server MUST fail the operation with STATUS\_INVALID\_PARAMETER\_2:

- If the Flags field is nonzero and SnapshotType is not SvhdxSnapshotTypeVM.
- If SnapshotType is SvhdxSnapshotTypeVM and the Flags field is a value other than 0 or SVHDX EXTRACT SNAPSHOTS FLAG DELETE ON CLOSE.

The server MUST issue an extract VHD request to the virtual SCSI disk in an implementation-specific manner.

If SVHDX\_EXTRACT\_SNAPSHOTS\_FLAG\_DELETE\_ON\_CLOSE is set in the **Flags** field, the server MUST set **Open.PendingDelete** to TRUE.

The server MUST set the **Status** field of the SVHDX\_TUNNEL\_OPERATION\_HEADER as the error returned by the virtual SCSI disk, and return the header to the client.

# 3.2.5.5.7.4 Receiving a Convert to VHD Set Request

When the server receives a request in which the **OperationType** is **SvhdxMetaOperationTypeConvertToVHDSet**, the request processing proceeds as follows:

If the input buffer is less than 44 (size of SVHDX\_TUNNEL\_OPERATION\_HEADER + size of the fixed part of SVHDX\_META\_OPERATION\_START\_REQUEST + size of the fixed part of SVHDX\_META\_OPERATION\_CONVERT\_TO\_VHDSET) + **DestinationVhdSetNameLength** bytes, the server MUST fail the request with STATUS\_BUFFER\_TOO\_SMALL.

If the **DestinationVhdSetName** field doesn't contain the .vhds extension, the server MUST fail the request with STATUS\_INVALID\_PARAMETER.

The server MUST issue a convert to VHD set request to the virtual SCSI disk in an implementation-specific manner.

The server MUST set the **Status** field of the SVHDX\_TUNNEL\_OPERATION\_HEADER to the error returned by the virtual SCSI disk, and return the header to the client.

## 3.2.5.5.7.5 Receiving a Resize Request

When the server receives a request in which the **OperationType** is **SvhdxMetaOperationTypeResize**, the request processing proceeds as follows:

If the input buffer is less than 52 (size of SVHDX\_TUNNEL\_OPERATION\_HEADER + size of the fixed part of SVHDX\_META\_OPERATION\_START\_REQUEST + size of SVHDX\_META\_OPERATION\_RESIZE\_VIRTUAL\_DISK) bytes, the server MUST fail the request with STATUS BUFFER TOO SMALL.

If **ShrinkToMinimumSafeSize** is TRUE and any of the following conditions is satisfied, the server MUST fail the request with STATUS\_INVALID\_PARAMETER\_1:

- NewSize is not zero.
- ExpandOnly is TRUE.
- AllowUnsafeVirtualSize is TRUE.

If **ExpandOnly** is TRUE and **NewSize** is less than current virtual disk size, the server MUST fail the request with STATUS\_INVALID\_PARAMETER.

The server MUST issue a resize VHD set request to the virtual SCSI disk in an implementation-specific manner.

The server MUST set the **Status** field of the SVHDX\_TUNNEL\_OPERATION\_HEADER to the error returned by the virtual SCSI disk, and return the header to the client.

# 3.2.5.5.7.6 Receiving an Apply Snapshot Request

When the server receives a request in which the **OperationType** is **SvhdxMetaOperationTypeApplySnapshot**, the request processing proceeds as follows:

If the input buffer is less than 60 bytes (size of SVHDX\_TUNNEL\_OPERATION\_HEADER + size of the fixed part of SVHDX\_META\_OPERATION\_START\_REQUEST + size of SVHDX\_APPLY\_SNAPSHOT\_PARAMS), the server MUST fail the request with STATUS\_BUFFER\_TOO\_SMALL.

If **SnapshotType** is neither **SvhdxSnapshotTypeVM** nor **SvhdxSnapshotTypeWriteable**, then the server MUST fail the request with STATUS\_INVALID\_PARAMETER\_1.

Otherwise, the server MUST issue the VHD set apply snapshot request to the virtual SCSI disk in an implementation-specific manner.

The server MUST set the SVHDX\_TUNNEL\_OPERATION\_HEADER structure as specified in section 2.2.4.11, with the following values:

- The OperationCode field MUST be set to the OperationCode value of the request
- The Status field MUST be set to status returned by virtual SCSI disk.
- The **RequestId** field MUST be set to the value received in the request.

The server MUST send the response to the client.

# 3.2.5.5.8 Receiving a Query Meta-Operation Progress Request

When the server receives a request in which **OperationCode** is equal to RSVD\_TUNNEL\_META\_OPERATION\_QUERY\_PROGRESS, the request handling proceeds as follows:

The server MUST issue a Query Meta-operation request to the virtual SCSI disk in an implementationspecific manner. If the virtual SCSI disk indicates an error, the server MUST return the SVHDX\_TUNNEL\_OPERATION\_HEADER to the client initialized as below:

- The OperationCode field MUST be set to the OperationCode value of the request.
- The Status field MUST be set to the error returned by the Virtual SCSI disk.
- The RequestId field MUST be set to the value received in the request.

Otherwise, the server MUST construct a VHDX\_META\_OPERATION\_QUERY\_PROGRESS\_RESPONSE structure as specified in section 2.2.4.4, with the following values:

The SVHDX\_TUNNEL\_OPERATION\_HEADER MUST be initialized as follows:

- The **OperationCode** field MUST be set to the **OperationCode** value of the request.
- The Status field MUST be set to STATUS\_SUCCESS.
- The **RequestId** field MUST be set to the value received in the request.

The SVHDX\_META\_OPERATION\_QUERY\_PROGRESS\_RESPONSE structure MUST be initialized as follows:

- CurrentProgressValue MUST be set to the value received from the virtual SCSI disk.
- CompleteValue MUST be set to the value received from the virtual SCSI disk.

The response MUST be sent to the client.

# 3.2.5.5.9 Receiving a Query VHD Set Information Request

When the server receives a request in which **OperationCode** is equal to RSVD TUNNEL VHDSET QUERY INFORMATION, the request handling proceeds as follows:

If the provided input buffer is not equal to the size of the SVHDX\_TUNNEL\_VHDSET\_QUERY\_INFORMATION\_REQUEST structure, then the server MUST fail the request with STATUS\_BUFFER\_TOO\_SMALL.

If the **Open.IsVHDSet** is FALSE, the server MUST fail the request with STATUS\_INVALID\_DEVICE\_REQUEST.

If **VHDSetInformationType** is not one of the valid information types specified in section <u>2.2.4.19</u>, the server MUST fail the request with STATUS\_INVALID\_PARAMETER\_1.

If VHDSetInformationType is SvhdxVHDSetInformationTypeSnapshotEntry and the supplied SnapshotType is not SvhdxSnapshotTypeVM or SvhdxSnapshotTypeCDP or SvhdxSnapshotTypeWriteable, the server MUST fail the request with STATUS\_INVALID\_PARAMETER\_1.

If **VHDSetInformationType** is **SvhdxVHDSetInformationTypeSnapshotList** and the supplied **SnapshotType** is not **SvhdxSnapshotTypeVM**, the server MUST fail the request with STATUS\_INVALID\_PARAMETER\_1.

If VHDSetInformationType is neither SvhdxVHDSetInformationTypeSnapshotEntry nor SvhdxVHDSetInformationTypeSnapshotList and SnapshotType is nonzero, the server MUST fail the request with STATUS\_INVALID\_PARAMETER.

The server MUST issue a query VHD set information request to the virtual SCSI disk in an implementation-specific manner.

If the virtual SCSI disk indicates an error, the server MUST return the SVHDX\_TUNNEL\_OPERATION\_HEADER to the client initialized as below:

- The OperationCode field MUST be set to the OperationCode value of the request.
- The Status field MUST be set to the error returned by the Virtual SCSI disk.
- The RequestId field MUST be set to the value received in the request.

Otherwise, the server MUST process as follows:

 If VHDSetInformationType is SvhdxVHDSetInformationTypeSnapshotEntry, the server MUST construct a

**SVHDX\_TUNNEL\_VHDSET\_QUERY\_INFORMATION\_SNAPSHOT\_ENTRY\_RESPONSE** structure as specified in section 2.2.4.21, with the following values:

- The SVHDX TUNNEL OPERATION HEADER MUST be initialized as follows:
  - OperationCode MUST be set to the OperationCode value of the request.
  - Status MUST be set to STATUS\_SUCCESS.
  - The RequestId field MUST be set to the value received in the request.
- The

**SVHDX\_TUNNEL\_VHDSET\_QUERY\_INFORMATION\_SNAPSHOT\_ENTRY\_RESPONSE** structure MUST be initialized as follows:

- VHDSetInformationType MUST be set to SvhdxVHDSetInformationTypeSnapshotEntry.
- SnapshotType MUST be set to the value received from the virtual SCSI disk.
- **IsValidSnapshot** MUST be set to the value received from the virtual SCSI disk.
- SnapshotId MUST be set to the SnapshotId provided in the request.
- ParentSnapshotId MUST be set to zero.
- LogFileId MUST be set to zero.
- The response MUST be sent to the client.
- If VHDSetInformationType is SvhdxVHDSetInformationTypeSnapshotList, SvhdxVHDSetInformationTypeCdpSnapshotActiveList, or SvhdxVHDSetInformationTypeCdpSnapshotInactiveList, the server MUST construct a SVHDX\_TUNNEL\_VHDSET\_QUERY\_INFORMATION\_SNAPSHOT\_LIST\_RESPONSE structure as specified in section 2.2.4.20, with the following values:
  - The SVHDX\_TUNNEL\_OPERATION\_HEADER MUST be initialized as follows:
    - OperationCode MUST be set to the OperationCode value of the request.
    - Status MUST be set to STATUS\_SUCCESS.
    - The RequestId field MUST be set to the value received in the request.
  - The SVHDX\_TUNNEL\_VHDSET\_QUERY\_INFORMATION\_SNAPSHOT\_LIST structure MUST be initialized as follows:
    - VHDSetInformationType MUST be set to SvhdxVHDSetInformationTypeSnapshotList.

- ResponseComplete MUST be set to 0x01 if the sum of the size of the snapshot IDs received from the virtual SCSI disk, the size of SVHDX\_TUNNEL\_OPERATION\_HEADER, and the size of the fixed part of SVHDX\_TUNNEL\_VHDSET\_QUERY\_INFORMATION\_SNAPSHOT\_LIST\_RESPONSE is less than or equal to MaxOutputResponse.
- **NumberOfSnapshots** MUST be set to the number of snapshot IDs received from the virtual SCSI disk.
- If **ResponseComplete** is set to 0x01, **SnapshotIds** MUST be set to the value received from the virtual SCSI disk. Otherwise, the **SnapshotIds** field is not present.
- The response MUST be sent to the client.
- If VHDSetInformationType is SvhdxVHDSetInformationTypeCdpSnapshotRoot, the server MUST construct a

**SVHDX\_TUNNEL\_VHDSET\_QUERY\_INFORMATION\_SNAPSHOT\_ENTRY\_RESPONSE** structure as specified in section 2.2.4.21, with the following values:

- The SVHDX\_TUNNEL\_OPERATION\_HEADER MUST be initialized as follows:
  - **OperationCode** MUST be set to the **OperationCode** value of the request.
  - Status MUST be set to STATUS\_SUCCESS.
  - The RequestId field MUST be set to the value received in the request.
- The SVHDX\_TUNNEL\_VHDSET\_QUERY\_INFORMATION\_SNAPSHOT\_ENTRY\_RESPONSE structure MUST be initialized as follows:
  - VHDSetInformationType MUST be set to SvhdxVHDSetInformationTypeCdpSnapshotRoot.
  - **SnapshotType** MUST be set to the value received from the virtual SCSI disk.
  - IsValidSnapshot MUST be set to the value received from the virtual SCSI disk.
  - SnapshotId MUST be set to the SnapshotId provided in the request.
  - ParentSnapshotId MUST be set to the value received from the virtual SCSI disk.
  - LogFileId MUST be set to the value received from the virtual SCSI disk.
- The response MUST be sent to the client.
- If VHDSetInformationType is SvhdxVHDSetInformationTypeOptimizeNeeded, the server MUST construct an

**SVHDX\_TUNNEL\_VHDSET\_QUERY\_INFORMATION\_OPTIMIZE\_RESPONSE** structure as specified in section <u>2.2.4.22</u>, with the following values:

- The SVHDX\_TUNNEL\_OPERATION\_HEADER MUST be initialized as follows:
  - **OperationCode** MUST be set to the **OperationCode** value of the request.
  - Status MUST be set to STATUS\_SUCCESS.

The response MUST be sent to the client.

# **3.2.5.5.10** Receiving a Delete Snapshot Request

When the server receives a request in which **OperationCode** is equal to RSVD\_TUNNEL\_DELETE\_SNAPSHOT, the request handling proceeds as follows:

**If PersistReference** is not equal to zero and **SnapshotType** is not equal to **SvhdxSnapshotTypeVM**, the server MUST return an implementation-specific error.

If **SnapshotType** is equal to **SvhdxSnapshotTypeCDP**, and if the snapshot is an inactive snapshot or is the first active snapshot, the server MUST allow deletion of the snapshot; otherwise, the server MUST fail the request with STATUS\_SHARING\_VIOLATION.

The server MUST issue a delete snapshot request to the virtual SCSI disk in an implementationspecific manner.

The server MUST initialize the SVHDX\_TUNNEL\_OPERATION\_HEADER as follows:

OperationCode MUST be set to the OperationCode value of the request.

If the virtual SCSI disk indicates command success, the **Status** MUST be set to STATUS\_SUCCESS; otherwise, it MUST be set to the error code provided by the virtual SCSI disk.

The **RequestId** field MUST be set to the value received in the request.

The response MUST be sent to the client.

#### 3.2.5.5.11 Receiving a Change Tracking Get Parameter Request

When the server receives a request with an **OperationCode** equal to RSVD\_TUNNEL\_CHANGE\_TRACKING\_GET\_PARAMETERS, the request handling proceeds as follows:

The server MUST issue a get tracking **Parameter** request to the virtual SCSI disk in an implementation-specific manner.

If the virtual SCSI disk indicates an error, the server MUST return the SVHDX TUNNEL OPERATION HEADER to the client initialized as below:

- The OperationCode field MUST be set to the OperationCode value of the request.
- The Status field MUST be set to the error returned by the Virtual SCSI disk.
- The RequestId field MUST be set to the value received in the request.

Otherwise, the server MUST construct an SVHDX\_TUNNEL\_DISK\_INFO\_RESPONSE structure as specified in section 2.2.4.4, with the following values:

The SVHDX\_TUNNEL\_OPERATION\_HEADER MUST be initialized as follows:

- OperationCode MUST be set to the OperationCode value of the request.
- Status MUST be set to STATUS SUCCESS.
- The **RequestId** field MUST be set to the value received in the request.

The SVHDX\_CHANGE\_TRACKING\_GET\_PARAMETERS\_RESPONSE structure MUST be initialized as follows:

- Set ChangeTrackingStatus as the status returned by the virtual SCSI disk.
- Set **LogFileSize** returned by the virtual SCSI disk.

The response MUST be sent to the client.

# 3.2.5.5.12 Receiving a Change Tracking Start Request

When the server receives a request with **OperationCode** equal to RSVD TUNNEL CHANGE TRACKING START, the request handling proceeds as follows:

If **LogFileNameLength** is not 0, the server MUST fail the request with STATUS INVALID PARAMETER.

The server MUST issue a start tracking request to the virtual SCSI disk in an implementation-specific manner.

The snapshots created after the successful start of change tracking, where **AppendData** is set to FALSE, form the active list of snapshots. The server MUST initialize the SVHDX TUNNEL OPERATION HEADER as follows:

- The **OperationCode** field MUST be set to the **OperationCode** value of the request.
- The Status field MUST be set to the value received from the virtual SCSI disk.
- The RequestId field MUST be set to the value received in the request.

The response MUST be sent to the client.

# 3.2.5.5.13 Receiving a Change Tracking Stop Request

When the server receives a request in which **OperationCode** is equal to RSVD TUNNEL CHANGE TRACKING STOP, the request handling proceeds as follows:

The server MUST issue a stop tracking request to the virtual SCSI disk in an implementation-specific manner.

After a change-tracking request has been successfully stopped, the snapshots in the active list are moved into the inactive list of snapshots.

The server MUST initialize the SVHDX TUNNEL OPERATION HEADER as follows:

- The OperationCode field MUST be set to the OperationCode value of the request.
- The Status field MUST be set to the value received from the virtual SCSI disk.
- The RequestId field MUST be set to the value received in the request.

The SVHDX\_CHANGE\_TRACKING\_STOP\_RESPONSE structure MUST be initialized as follows:

Regardless of the **Status** field's value, the **ChangeTrackingStatus** field MUST be set to one of
the change-tracking status values specified in section <u>2.2.4.30</u>; this value is received from the
virtual SCSI disk, indicating any error in the change tracking.

The response MUST be sent to the client.

# 3.2.5.5.14 Receiving a Query Virtual Disk changes request

When the server receives a request with an **OperationCode** equal to RSVD\_TUNNEL\_QUERY\_VIRTUAL\_DISK\_CHANGES, the request handling proceeds as follows:

If the input buffer is less than (size of SVHDX\_TUNNEL\_OPERATION\_HEADER + size of SVHDX\_TUNNEL\_QUERY\_VIRTUAL\_DISK\_CHANGES\_REQUEST), the server MUST fail the request with STATUS\_BUFFER\_TOO\_SMALL.

If **SnapshotType** is not **SvhdxSnapshotTypeVM**, the server MUST fail the request with STATUS INVALID PARAMETER.

The server MUST search for a snapshot in **Open.SnapshotList** where **Snapshot.SnapshotId** is equal to **TargetSnapshotId**. If no matching entry is found, the server MUST fail the request and return an error in an implementation-specific manner.

The server MUST search for a snapshot in **Open.SnapshotList** where **Snapshot.SnapshotId** is equal to **LimitSnapshotId**. If no matching entry is found, the server MUST fail the request and return an error in an implementation-specific manner.

If **Snapshot.ChangeTracking**, identified using **TargetSnapshotId**, or **Snapshot.ChangeTracking**, identified using **LimitSnapshotId**, is FALSE, the server MUST fail the request and return an error in an implementation-specific manner.

The server MUST issue a get virtual disk changes request to the virtual SCSI disk in an implementation-specific manner.

The server MUST construct an **SVHDX\_TUNNEL\_OPERATION\_HEADER** structure as specified in section <u>2.2.4.11</u>, with the following values:

- The OperationCode field MUST be set to the OperationCode value of the request.
- The Status field MUST be set to status returned by virtual SCSI disk.
- The **RequestId** field MUST be set to the value received in the request.

If the **Status** is not zero, the server MUST return **SVHDX\_TUNNEL\_OPERATION\_HEADER** to the client, and no further processing is done.

Otherwise the server MUST append an

**SVHDX\_TUNNEL\_QUERY\_VIRTUAL\_DISK\_CHANGES\_REPLY** structure to the **SVHDX\_TUNNEL\_OPERATION\_HEADER** in response, as specified in section <u>2.2.4.38</u>, with the following values:

- The ProcessedByteLength field MUST be set to the total byte length of the virtual disk region that was processed. This MUST be ByteLength from the SVHDX\_TUNNEL\_QUERY\_VIRTUAL\_DISK\_CHANGES\_REQUEST or a smaller value, if the provided buffer was not large enough to contain all the information that was available about the requested virtual disk region.
- The **RangeCount** field MUST be set to the number of changed regions in the virtual disk region that was processed. This is also equal to the number of entries in the **Ranges** field.
- The Reserved field MUST be set to zero.
- The Ranges field is filled with an array of SVHDX\_VIRTUAL\_DISK\_CHANGED\_RANGE structures
  returned from the virtual SCSI disk in an implementation-specific manner, each initialized as
  follows:
  - The ByteOffset field MUST be set to the byte offset indicating the beginning of the changed range.
  - The **ByteLength** field MUST be set to the byte length of the changed range.
  - The Reserved field MUST be set to zero.

The server MUST send the response to the client.

#### 3.2.5.5.15 Receiving a Safe Size Request

When the server receives a request with an **OperationCode** equal to RSVD\_TUNNEL\_QUERY\_SAFE\_SIZE, the request handling proceeds as follows:

If **MaxOutputResponse** is less than 24 (size of SVHDX\_TUNNEL\_OPERATION\_HEADER + size of SVHDX\_TUNNEL\_QUERY\_SAFE\_SIZE\_RESPONSE), the server MUST fail the request with STATUS BUFFER TOO SMALL.

The server MUST query the smallest size from the virtual SCSI disk in an implementation-specific manner.

The server MUST construct an SVHDX\_TUNNEL\_SMALLEST\_SAFE\_VIRTUAL\_SIZE\_RESPONSE structure, as specified in section 2.2.4.40, with the following values:

The SafeVirtualSize field MUST be set to the value returned by virtual SCSI disk.

The SVHDX TUNNEL OPERATION HEADER MUST be initialized as follows:

- The OperationCode field MUST be set to the OperationCode value of the request.
- If the virtual SCSI disk indicates error, the **Status** field MUST be set to the error code returned by the virtual SCSI disk. Otherwise, set to STATUS\_SUCCESS.
- The RequestId field MUST be set to the value received in the request.

The response MUST be sent to the client.

# 3.2.5.6 Receiving a Query Shared Virtual Disk Support Request

If **MaxOutputResponse** is less than 8 (size of SVHDX\_SHARED\_VIRTUAL\_DISK\_SUPPORT\_RESPONSE), the server MUST fail the request with STATUS BUFFER TOO SMALL.

The server MUST construct an SVHDX\_SHARED\_VIRTUAL\_DISK\_SUPPORT\_RESPONSE, as specified in section 2.2.4.16, with the following values:

- If ServerServiceVersion is equal to RSVD Protocol version 1(0x00000001), the server MUST set the SharedVirtualDiskSupport field of the response to SharedVirtualDiskSupported. If ServerServiceVersion is equal to RSVD Protocol version 2(0x00000002), the server MUST set the SharedVirtualDiskSupport field of the response to SharedVirtualDiskSnapshotsSupported.
- The server MUST search the **OpenTable** where **Open.FileName** matches the file name. If no **Open** is found, the server MUST set the **SharedVirtualDiskHandleState** field of the response to **HandleStateNone**. If any **Open** is found for which **Open.LocalOpen** matches the application-provided handle, the server MUST set the **SharedVirtualDiskHandleState** field of the response to **HandleStateShared**. Otherwise, the server MUST set the **SharedVirtualDiskHandleState** field of the response to **HandleStateFileShared**.

The response MUST be sent to the client.

# 3.2.5.7 Receiving an Open Request with a Target Specifier

The received buffer MUST be interpreted as the FILE\_FULL\_EA\_INFORMATION structure specified in [MS-FSCC] section 2.4.15.

If **EaValueLength** is greater than or equal to 24, and **EaName** is equal to "RSVD\_TARGET\_SPECIFIER\_EA", the server MUST process as follows:

- The **EaValue** MUST be interpreted as the RSVD\_BLOCK\_DEVICE\_TARGET\_SPECIFIER structure specified in section <u>2.2.4.34</u>.
- If EaValue.RsvdBlockDeviceTargetNamespace is not SnapshotId, the server MUST return STATUS INVALID PARAMETER.

- If **EaValue.TargetInformationSnapshot.SnapshotType** is not **SvhdxSnapshotTypeVM** or **SvhdxSnapshotTypeWriteable**, then the server MUST return STATUS\_INVALID\_PARAMETER.
- Otherwise, the server MUST look up an Open in OpenTable where Open.VHDSSnapshotId is equal to EaValue.TargetInformationSnapshot.SnapshotID. If an entry is found, the server MUST return STATUS\_SUCCESS, and no further processing is specified. If an entry is not found, the server MUST issue an Open on the VHD set file to the virtual SCSI disk in an implementation-specific manner. If the virtual SCSI disk indicates success, the server MUST initialize an Open object with the following:
  - **Open.LocalOpen** is set to the **Open** of the object in the local resource received as part of the local create operation.
  - **Open.FileName** MUST be set to the application-provided file name.
  - Open.SenseErrorDataList MUST be set to empty.
  - **Open.SenseErrorSequence** MUST be set to 0x00.
  - Open.CreateOptions MUST be set to the CreateOptions received as part of the local create
    operation.
  - Open.IsVHDSet MUST be set to TRUE.
  - Open.PendingDelete MUST be set to FALSE.
  - Open.VHDSSnapshotId MUST be set to EaValue.TargetInformationSnapshot.SnapshotID.
- The server MUST return the status returned by the virtual SCSI disk.

# 3.2.5.8 Receiving a Query Info Request

If **FileInfoClass** is FileStandardInformation and **OutputBufferLength** is less than the size of FILE\_STANDARD\_INFORMATION, the server MUST fail the request with STATUS\_BUFFER\_TOO\_SMALL.

If **FileInfoClass** is FileNetworkOpenInformation and **OutputBufferLength** is less than the size of FILE\_NETWORK\_OPEN\_INFORMATION, the server MUST fail the request with STATUS\_BUFFER\_TOO\_SMALL.

If **FileInfoClass** is FileEndOfFileInformation and **OutputBufferLength** is less than the size of FILE\_END\_OF\_FILE\_INFORMATION, the server MUST fail the request with STATUS\_BUFFER\_TOO\_SMALL.

If **Open.IsVirtualSCSIDisk** is FALSE, the server MUST query the information requested from the underlying object store; otherwise, the server MUST query the information requested from the virtual SCSI disk in an implementation-specific manner. The server MUST return the file information result to the client.

# 3.2.5.9 Receiving a Set Info Request

If **FileInfoClass** is FileRenameInformation, the server MUST fail the request with STATUS\_NOT\_SUPPORTED.

If **FileInfoClass** is FileLinkInformation, the server MUST fail the request with STATUS\_INVALID\_PARAMETER.

The server MUST apply the information requested to the virtual SCSI disk in an implementationspecific manner. The server MUST return the status returned from the virtual SCSI disk.

# 3.2.6 Timer Events

None.

# 3.2.7 Other Local Events

None.

# 4 Protocol Examples

The following section describes common scenarios that indicate normal traffic flow in order to illustrate the function of the Remote Shared Virtual Disk (RSVD) Protocol.

# 4.1 Retrieving Virtual Disk File Information

The following diagram demonstrates the steps taken to open a shared virtual disk file, retrieve virtual disk file information, and close it.

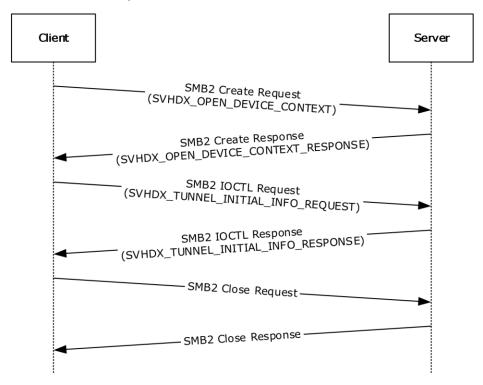


Figure 2: Retrieving virtual disk file information

1. The client sends an SMB2 CREATE Request with the SVHDX\_OPEN\_DEVICE\_CONTEXT create context to open a shared virtual disk file.

```
Version: 1 (0x00000001)
HasInitiatorId: 1 (0x01)
Reserved: 0 (0x000000)
InitiatorId: (0x07770D201F2740834579D46F5AC43B73)
Flags: 0 (0x00000000)
OriginatorFlags: SVHDX_ORIGINATOR_PVHDPARSER (0x00000001)
OpenRequestId: (0x00000001EC7871E)
InitiatorHostNameLength: 16 (0x0010)
InitiatorHostName: client01 (0x0063006C00690065006E007400300031)
```

2. The server responds with an SMB2 CREATE Response giving the handle to the open identifying the shared virtual disk file and SVHDX\_OPEN\_DEVICE\_CONTEXT\_RESPONSE context.

```
Version: 1 (0x00000001)
HasInitiatorId: 1(0x01)
Reserved: 0 (0x000000)
InitiatorId: (0x07770D201F2740834579D46F5AC43B73)
```

```
Flags: 0 (0x00000000)
OriginatorFlags: SVHDX_ORIGINATOR_PVHDPARSER(0x00000001)
OpenRequestId: (0x000000001EC7871E)
InitiatorHostNameLength: 16 (0x0010)
InitiatorHostName: client01 (0x0063006C00690065006E007400300031)
```

3. The client sends an SMB2 IOCTL Request with SVHDX\_TUNNEL\_INITIAL\_INFO\_REQUEST to retrieve the virtual disk information.

```
OperationCode: RSVD_TUNNEL_GET_INITIAL_INFO_OPERATION (0x02001001) Status: 0 (0x00000000) RequestId: (0x000000001Ec7871E)
```

4. The server sends an SMB2 IOCTL Response with SVHDX\_TUNNEL\_INITIAL\_INFO\_RESPONSE containing the virtual disk information.

```
OperationCode: RSVD_TUNNEL_GET_INITIAL_INFO_OPERATION (0x02001001)
Status: 0 (0x00000000)
RequestId: (0x00000001Ec7871E)
ServerVersion: 1 (0x00000001)
SectorSize: 512 (0x00000200)
PhysicalSectorSize: 256 (0x00000100)
Reserved: 0 (0x00000000)
VirtualSize: (0x4000000000000000)
```

- 5. The client sends an SMB2 CLOSE Request to close the shared virtual disk file.
- 6. The server sends an SMB2 CLOSE Response indicating the close was successful.

# 4.2 Executing a SCSI Command

The following diagram demonstrates the steps taken to open a shared virtual disk file, execute a SCSI command, and close it.

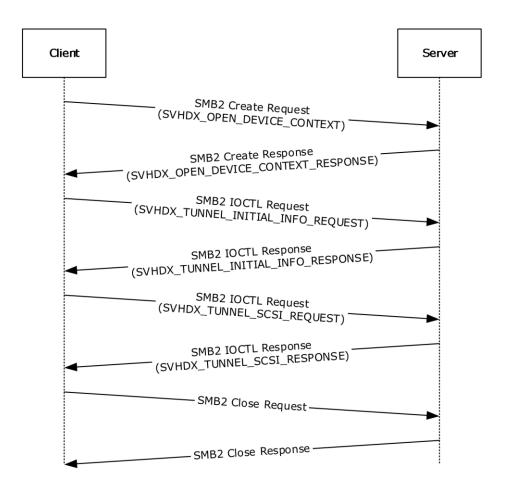


Figure 3: Executing a SCSI command

1. The client sends an SMB2 CREATE Request with the SVHDX\_OPEN\_DEVICE\_CONTEXT create context to open a shared virtual disk file.

```
Version: 1(0x00000001)
HasInitiatorId: 1 (0x01)
Reserved: 0 (0x000000)
InitiatorId: (0x07770D201F2740834579D46F5AC43B73)
Flags: 0 (0x00000000)
OriginatorFlags: SVHDX ORIGINATOR PVHDPARSER (0x00000001)
OpenRequestId: (0x00000001EC7871E)
InitiatorHostNameLength: 16 (0x0010)
InitiatorHostName: client01 (0x0063006C00690065006E007400300031)
```

2. The server responds with an SMB2 CREATE Response giving the handle to the open identifying the shared virtual disk file and SVHDX OPEN DEVICE CONTEXT RESPONSE context.

```
Version: 1(0x00000001)
HasInitiatorId: 1 (0x01)
Reserved: 0 (0x000000)
InitiatorId: (0x07770D201F2740834579D46F5AC43B73)
Flags: 0 (0x00000000)
OriginatorFlags: SVHDX ORIGINATOR PVHDPARSER (0x00000001)
OpenRequestId: (0x00000001EC7871E)
InitiatorHostNameLength: 16 (0x0010)
InitiatorHostName: client01 (0x0063006C00690065006E007400300031)
```

3. The client sends an SMB2 IOCTL Request with SVHDX\_TUNNEL\_INITIAL\_INFO\_REQUEST to retrieve the virtual disk information.

```
OperationCode: RSVD_TUNNEL_GET_INITIAL_INFO_OPERATION (0x02001001)
Status: 0 (0x00000000)
RequestId: (0x000000001Ec7871E)
```

4. The server sends an SMB2 IOCTL Response with SVHDX\_TUNNEL\_INITIAL\_INFO\_RESPONSE containing the virtual disk information.

```
OperationCode: RSVD_TUNNEL_GET_INITIAL_INFO_OPERATION (0x02001001)
Status: 0 (0x00000000)
RequestId: (0x00000001Ec7871E)
ServerVersion: 1 (0x00000001)
SectorSize: 512 (0x00000200)
PhysicalSectorSize: 256 (0x00000100)
Reserved: 0 (0x00000000)
VirtualSize: (0x4000000000000000)
```

5. The client sends an SMB2 IOCTL Request with SVHDX\_TUNNEL\_SCSI\_REQUEST to execute a SCSI command.

6. The server sends an SMB2 IOCTL Response with SVHDX\_TUNNEL\_SCSI\_RESPONSE containing the response.

- 7. The client sends an SMB2 CLOSE Request to close the shared virtual disk file.
- 8. The server sends an SMB2 CLOSE Response indicating the close was successful.

# 4.3 Creating a Virtual Machine Snapshot

The following diagram demonstrates the steps taken to open a VHD Set, create a virtual machine snapshot, and close it.

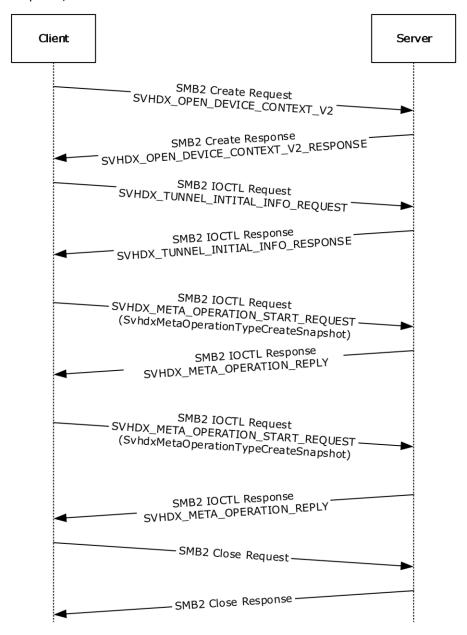


Figure 4: Creating a Virtual Machine Snapshot

1. The client sends a SMB2 CREATE Request with the SVHDX\_OPEN\_DEVICE\_CONTEXT\_V2 create context to open a shared virtual disk file.

Version: 2 (0x00000002)
HasInitiatorId: 1
Reserved: 0 (0x000000)
InitiatorId: (68bad672-2a73-4cd8-9f58-6a4b67232e0d)
Flags: 0 (0x00000000)
OriginatorFlags: SVHDX ORIGINATOR PVHDPARSER(0x00000001)

```
OpenRequestId: (0x3028E5B600000000)
InitiatorHostNameLength: 20 (0x0014)
InitiatorHostName: SMBD-SUT01(0x0053004D00420044002D00530055005400300031)
VirtualDiskPropertiesInitialized: 0 (0x00000000)
ServerServiceVersion: 0 (0x00000000)
VirtualSectorSize: 0 (0x00000000)
PhysicalSectorSize: 0 (0x00000000)
VirtualSize: 0 (0x0000000000000000)
```

2. The server responds with an SMB2 CREATE Response giving the handle to the open identifying the shared virtual disk file and SVHDX\_OPEN\_DEVICE\_CONTEXT\_V2\_RESPONSE context.

```
Version: 2 (0x00000002)
HasInitiatorId: 1(0x01)
Reserved: 0 (0x000000)
InitiatorId: 68bad672-2a73-4cd8-9f58-6a4b67232e0d
Flags: 0 (0x00000000)
OriginatorFlags: SVHDX_ORIGINATOR_PVHDPARSER(0x00000001)
OpenRequestId: (0x3028E5B600000000)
InitiatorHostNameLength: 20 (0x0014)
InitiatorHostName: SMBD-SUT01(0x0053004D00420044002D00530055005400300031)
VirtualDiskPropertiesInitialized: 1 (0x00000001)
ServerServiceVersion: 2 (0x0000002)
VirtualSectorSize: 512 (0x00000200)
PhysicalSectorSize: 4096 (0x00001000)
VirtualSize: (0x4000000000000000)
```

3. The client sends an SMB2 IOCTL Request with SVHDX\_TUNNEL\_INITIAL\_INFO\_REQUEST to retrieve the virtual disk information.

```
OperationCode: RSVD_TUNNEL_GET_INITIAL_INFO_OPERATION (0x02001001) Status: 0 (0x00000000) RequestId: (0x3028E5B600000001)
```

4. The server sends an SMB2 IOCTL Response with SVHDX\_TUNNEL\_INITIAL\_INFO\_RESPONSE containing the virtual disk information.

```
OperationCode: RSVD_TUNNEL_GET_INITIAL_INFO_OPERATION (0x02001001)
Status: 0 (0x00000000)
RequestId: (0x3028E5B600000001)
ServerVersion: 2 (0x00000002)
SectorSize: 512 (0x000000200)
PhysicalSectorSize: 256 (0x00000100)
Reserved: 0 (0x00000000)
VirtualSize: (0x4000000000000000)
```

5. The client sends an SMB2 IOCTL Request with SVHDX\_META\_OPERATION\_START\_REQUEST to begin creation of a Virtual Machine snapshot.

```
SVHDX_TUNNEL_OPERATION_HEADER:
OperationCode: RSVD_TUNNEL_META_OPERATION_START (0x02002101)
Status: 0 (0x00000000)
RequestId: (0x3028E5B600000002)

SVHDX_META_OPERATION_START_REQUEST:
TransactionId: 6abc134e-c798-11e4-aecf-0202c94fdld1
OperationType: SvhdxMetaOperationTypeCreateSnapshot(0x00000001)
SVHDX_META_OPERATION_CREATE_SNAPSHOT:
Padding: 0 (0x000000000)
```

```
SnapshotType: SvhdxSnapshotTypeVM(0x00000001)
Flags: SVHDX_SNAPSHOT_DISK_FLAG_ENABLE_CHANGE_TRACKING (0x00000001)
Stage1: SvhdxSnapshotStageInitialize(0x00000001)
Stage2: SvhdxSnapshotStageInvalid (0x00000000)
Stage3: SvhdxSnapshotStageInvalid (0x00000000)
Stage4: SvhdxSnapshotStageInvalid (0x00000000)
Stage5: SvhdxSnapshotStageInvalid (0x00000000)
Stage6: SvhdxSnapshotStageInvalid (0x00000000)
Stage6: SvhdxSnapshotStageInvalid (0x00000000)
SnapshotId: 5ac07013-edb8-4e2c-9784-6edd2843f269
ParametersPayloadSize: 0(0x00000000)
```

6. The server sends an SMB2 IOCTL Response with SVHDX\_META\_OPERATION\_REPLY containing status.

```
SVHDX TUNNEL OPERATION HEADER:
OperationCode: RSVD_TUNNEL_META_OPERATION_START (0x02002101)
Status: 0 (0x00000000)
RequestId: (0x3028E5B600000002)
SVHDX META OPERATION REPLY:
ChangeTrackingErrorStatus: 0 (0x00000000)
```

7. The client sends an SMB2 IOCTL Request with SVHDX\_META\_OPERATION\_START\_REQUEST to finalize creation of Virtual Machine snapshot.

```
SVHDX TUNNEL OPERATION HEADER:
OperationCode: RSVD TUNNEL META OPERATION START (0x02002101)
Status: 0 (0x0000000)
RequestId: (0x3028E5B600000003)
SVHDX META OPERATION START REQUEST:
TransactionId: 6abc134e-c798-11e4-aecf-0202c94fd1d1
OperationType: SvhdxMetaOperationTypeCreateSnapshot(0x00000001)
SVHDX META OPERATION CREATE SNAPSHOT:
Padding: 0 (0 \times 000000000)
SnapshotType: SvhdxSnapshotTypeVM(0x00000001)
Flags: 0 (0x0000000)
Stage1: SvhdxSnapshotStageBlockIO (0x00000002)
Stage2: SvhdxSnapshotStageSwitchObjectStore (0x00000003)
Stage3: SvhdxSnapshotStageUnblockIO (0x00000004)
Stage4: SvhdxSnapshotStageFinalize (0x00000005)
Stage5: SvhdxSnapshotStageInvalid (0x00000000)
Stage6: SvhdxSnapshotStageInvalid (0x00000000)
SnapshotId: 5ac07013-edb8-4e2c-9784-6edd2843f269
ParametersPayloadSize: 0(0x0000000)
```

8. The server sends an SMB2 IOCTL Response with SVHDX\_META\_OPERATION\_REPLY containing status.

```
SVHDX_TUNNEL_OPERATION_HEADER:
OperationCode: RSVD_TUNNEL_META_OPERATION_START (0x02002101)
Status: 0 (0x00000000)
RequestId: (0x3028E5B600000003)
SVHDX_META_OPERATION_REPLY:
ChangeTrackingErrorStatus: 0 (0x00000000)
```

- 9. The client sends an SMB2 CLOSE Request to close the shared virtual disk file.
- 10. The server sends an SMB2 CLOSE Response indicating the close was successful.

# 4.4 Retrieving a VHD Set Snapshot List

The following diagram demonstrates the steps taken to open a VHD Set, retrieve snapshot IDs of the VHD Set, and close it.

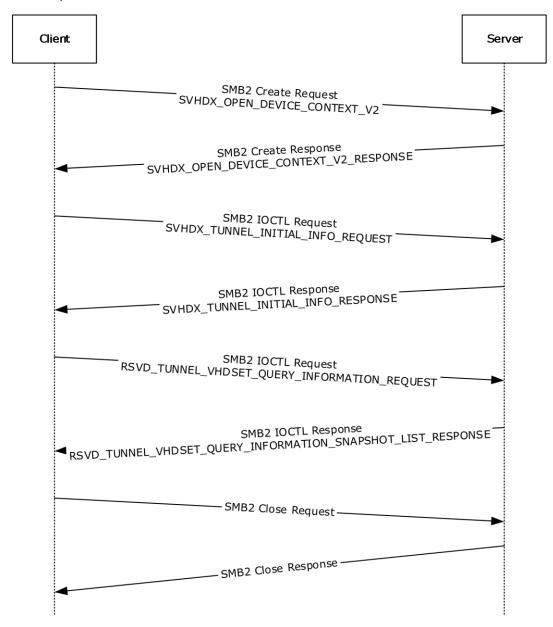


Figure 5: Retrieving VHD Set Information

1. The client sends a SMB2 CREATE Request with the SVHDX\_OPEN\_DEVICE\_CONTEXT\_V2 create context to open a shared virtual disk file.

```
Version: 2 (0x00000002)
HasInitiatorId: 1
Reserved: 0 (0x000000)
InitiatorId: 68bad672-2a73-4cd8-9f58-6a4b67232e0d
Flags: 0 (0x00000000)
OriginatorFlags: SVHDX ORIGINATOR PVHDPARSER(0x0000001)
OpenRequestId: (0x550916A700000000)
```

2. The server responds with an SMB2 CREATE Response giving the handle to the open identifying the shared virtual disk file and SVHDX\_OPEN\_DEVICE\_CONTEXT\_V2\_Response context

```
Version: 2 (0x00000002)
HasInitiatorId: 1
Reserved: 0 (0x000000)
InitiatorId: 68bad672-2a73-4cd8-9f58-6a4b67232e0d
Flags: 0 (0x00000000)
OriginatorFlags: SVHDX_ORIGINATOR_PVHDPARSER(0x00000001)
OpenRequestId: (0x550916A700000000)
InitiatorHostNameLength: 20 (0x0014)
InitiatorHostName: SMBD-SUT01(0x0053004D00420044002D00530055005400300031)
VirtualDiskPropertiesInitialized: 1 (0x00000001)
ServerServiceVersion: 2 (0x00000020)
VirtualSectorSize: 512 (0x00000200)
PhysicalSectorSize: 4096 (0x00001000)
VirtualSize: (0x40000000000000000)
```

3. The client sends an SMB2 IOCTL Request with SVHDX\_TUNNEL\_INITIAL\_INFO\_REQUEST to retrieve the virtual disk information.

```
OperationCode: RSVD_TUNNEL_GET_INITIAL_INFO_OPERATION (0x02001001)
Status: 0 (0x00000000)
RequestId: (0x550916A700000001)
```

4. The server sends an SMB2 IOCTL Response with SVHDX\_TUNNEL\_INITIAL\_INFO\_RESPONSE containing the virtual disk information.

```
OperationCode: RSVD_TUNNEL_GET_INITIAL_INFO_OPERATION (0x02001001) Status: 0 (0x00000000) RequestId: (0x550916A700000001) ServerVersion: 2 (0x00000002) SectorSize: 512 (0x00000200) PhysicalSectorSize: 256 (0x00000100) Reserved: 0 (0x00000000) VirtualSize: (0x400000000000000)
```

 The client sends an SMB2 IOCTL Request with SVHDX\_TUNNEL\_VHDSET\_QUERY\_INFORMATION\_REQUEST to retrieve the list of snapshot IDs of the VHD Set.

```
SVHDX_TUNNEL_OPERATION_HEADER:
OperationCode: RSVD_TUNNEL_VHDSET_QUERY_INFORMATION (0x02002005)
Status: 0 (0x00000000)
RequestId: (0x550916A700000002)

SVHDX TUNNEL VHDSET QUERY INFORMATION REQUEST:
VHDSetInformationType: SvhdxVHDSetInformationTypeSnapshotList(0x00000002)
SnapshotType: SvhdxSnapshotTypeVM(0x00000001)
SnapshotId: 00000000-0000-0000-0000-00000000000
```

6. The server sends an SMB2 IOCTL Response with SVHDX\_TUNNEL\_VHDSET\_QUERY\_INFORMATION\_SNAPSHOT\_LIST\_RESPONSE containing the list of snapshot IDs.

```
SVHDX_TUNNEL_OPERATION_HEADER:
OperationCode: RSVD_TUNNEL_VHDSET_QUERY_INFORMATION (0x02002005
Status: 0 (0x00000000)
RequestId: (0x550916A700000002)

SVHDX_TUNNEL_VHDSET_QUERY_INFORMATION_SNAPSHOT_LIST_RESPONSE:
VHDSetInformationType: SvhdxVHDSetInformationTypeSnapshotList(0x00000002)
Padding: 1(0x00000001)
ResponseComplete: 0x01
Reserved: 0 (0x0000000)
NumberOfSnapshots: 2(0x00000002)
SnapshotIds: 4d051d8d-d120-4ec5-ba14-a2bc02219678, 71836f8b-210e-4896-aac6-1c4e3a8e81ef
```

- 7. The client sends an SMB2 CLOSE Request to close the shared virtual disk file.
- 8. The server sends an SMB2 CLOSE Response indicating the close was successful.

# **5** Security

# **5.1** Security Considerations for Implementers

None.

# **5.2 Index of Security Parameters**

None.

# 6 Appendix A: Product Behavior

The information in this specification is applicable to the following Microsoft products or supplemental software. References to product versions include released service packs.

- Windows 8.1 operating system
- Windows Server 2012 R2 operating system
- Windows 10 operating system
- Windows Server 2016 operating system

Exceptions, if any, are noted below. If a service pack or Quick Fix Engineering (QFE) number appears with the product version, behavior changed in that service pack or QFE. The new behavior also applies to subsequent service packs of the product unless otherwise specified. If a product edition appears with the product version, behavior is different in that product edition.

Unless otherwise specified, any statement of optional behavior in this specification that is prescribed using the terms "SHOULD" or "SHOULD NOT" implies product behavior in accordance with the SHOULD or SHOULD NOT prescription. Unless otherwise specified, the term "MAY" implies that the product does not follow the prescription.

<1> Section 1.7: The following table illustrates Windows operating system versions that support RSVD clients and RSVD servers.

RSVD client	RSVD server
Windows 8.1	Windows Server 2012 R2
Windows Server 2012 R2	Windows Server 2016
Windows 10	
Windows Server 2016	

- <2> Section 3.1.3: Windows 8.1 and Windows Server 2012 R2 set ClientServiceVersion to RSVD Protocol version 1(0x00000001). Windows 10 and Windows Server 2016 set ClientServiceVersion to RSVD Protocol version 2(0x00000002).
- <3> Section 3.1.3: Windows-based RSVD clients initialize to zero.
- <4> Section 3.1.4.9: Microsoft Windows applications set unspecified bits in **SrbFlags** in addition to the specified bits in section 2.2.4.7. All bits set in **SrbFlags** are ignored for processing by Windows servers as specified in section 3.2.5.5.5.
- <5> Section 3.1.4.9: Windows-based RSVD clients set **Disposition** to 0x01 when **SrbFlags** includes both SRB\_FLAGS\_DATA\_IN and SRB\_FLAGS\_DATA\_OUT.
- <6> Section 3.2.2: The time-out on Windows-based servers is 700 milliseconds.
- <7> Section 3.2.3: Windows Server 2012 R2 sets **ServerServiceVersion** to RSVD Protocol version 1 (0x00000001). Windows Server 2016 sets **ServerServiceVersion** to RSVD Protocol version 2(0x00000002).
- $\leq$ 8> Section 3.2.5.1: Windows Server 2012 R2 without [MSKB-3025091] fails the operation with status code zero (0x00000000).

- <9> Section 3.2.5.1: When the shared virtual disk file does not previously exist, Windows Server 2012 R2 and Windows Server 2016 attempt to open with a create disposition which allows an empty file to be created. This in turn will cause the RSVD request to return STATUS FILE CORRUPT ERROR.
- <10> Section 3.2.5.1: If the **OriginatorFlags** in the request is 0x00000008, Windows-based RSVD servers will incorrectly set **Open.IsVirtualSCSIDisk** to FALSE.
- <11> Section 3.2.5.1: Windows Server 2012 R2 without [MSKB-3025091] doesn't return SVHDX\_OPEN\_DEVICE\_CONTEXT\_RESPONSE.
- <13> Section 3.2.5.3: Windows Server 2012 R2 without [MSKB-3025091] sets the returned sense data to an arbitrary value.
- <15> Section 3.2.5.4: Windows Server 2012 R2 without [MSKB-3025091] sets the returned sense data to an arbitrary value.
- <16> Section 3.2.5.5.4: Windows sets BlockSize to zero for DiskType VHD\_TYPE\_FIXED.
- <17> Section 3.2.5.5.4: Windows sets **LinkageID** to zero.
- <18> Section 3.2.5.5.5: Windows Server 2012 R2 and Windows Server 2016 append [MaxOutputResponse (size of SVHDX\_TUNNEL\_OPERATION\_HEADER + size of SVHDX\_TUNNEL\_SCSI\_RESPONSE)] number of bytes, all set to zeroes, at the end of the response.
- <19> Section 3.2.5.5.5: Windows-based servers return 18 bytes of valid sense data, but send a 20-byte buffer with the final 2 bytes set to any value.

# 7 Change Tracking

This section identifies changes that were made to this document since the last release. Changes are classified as Major, Minor, or None.

The revision class **Major** means that the technical content in the document was significantly revised. Major changes affect protocol interoperability or implementation. Examples of major changes are:

- A document revision that incorporates changes to interoperability requirements.
- A document revision that captures changes to protocol functionality.

The revision class **Minor** means that the meaning of the technical content was clarified. Minor changes do not affect protocol interoperability or implementation. Examples of minor changes are updates to clarify ambiguity at the sentence, paragraph, or table level.

The revision class **None** means that no new technical changes were introduced. Minor editorial and formatting changes may have been made, but the relevant technical content is identical to the last released version.

The changes made to this document are listed in the following table. For more information, please contact <a href="mailto:dochelp@microsoft.com">dochelp@microsoft.com</a>.

Section	Description	Revisio n class
2.2.4.7 SVHDX_TUNNEL_SCSI_REQUEST Structure	7321 : Renamed the DataIn field to Disposition.	Major
2.2.4.8 SVHDX_TUNNEL_SCSI_RESPONSE Structure	7321 : Renamed the DataIn field to Disposition.	Major
2.2.4.11 SVHDX_TUNNEL_OPERATION_HEADER Structure	7381 : Updated the description of the Status field.	Major
2.2.4.17 SVHDX_META_OPERATION_START_REQUEST Structure	7312 : Renamed the Padding field to Reserved.	Major
2.2.4.17.2 SVHDX_META_OPERATION_EXTRACT Structure	7312 : Renamed the Padding field to Reserved.	Major
2.2.4.20 SVHDX_TUNNEL_VHDSET_QUERY_INFORMATION_SNAPSHOT_LIST_RES PONSE Structure	7312 : Renamed the Padding field to Reserved1.	Major
2.2.4.21 SVHDX_TUNNEL_VHDSET_QUERY_INFORMATION_SNAPSHOT_ENTRY_R ESPONSE Structure	7313 : Updated the description of VHDSetInformationTy pe.	Major
2.2.4.21 SVHDX_TUNNEL_VHDSET_QUERY_INFORMATION_SNAPSHOT_ENTRY_R ESPONSE Structure	7312 : Added the Reserved field.	Major
2.2.4.22 SVHDX_TUNNEL_VHDSET_QUERY_INFORMATION_OPTIMIZE_RESPONSE Structure	7312 : Added the Reserved field.	Major

Section	Description	Revisio n class
2.2.4.25 SVHDX_CHANGE_TRACKING_GET_PARAMETERS_RESPONSE Structure	7312 : Renamed the Padding field to Reserved.	Major
2.2.4.27 SVHDX_CHANGE_TRACKING_START_REQUEST Structure	7312 : Renamed the Padding field to Reserved.	Major
3.1.4.9 Application Requests Execution of SCSI Command	7321 : Renamed the DataIn field to Disposition.	Major
3.2.5.5.5 Receiving a SCSI Command Request	7321 : Renamed the DataIn field to Disposition.	Major
4.2 Executing a SCSI Command	7321 : Renamed the DataIn field to Disposition.	Major

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